

# Optimization of Triple-Pressure Combined-Cycle Power Plants by Generalized Disjunctive Programming and Extrinsic Functions.

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## Abstract:

A new mathematical framework for optimal synthesis, design, and operation of triple-pressure steam-reheat combined-cycle power plants (CCPP) is presented. A superstructure-based representation of the process, which embeds a large number of candidate configurations, is first proposed. Then, a generalized disjunctive programming (GDP) mathematical model is derived from it. Series, parallel, and combined series-parallel arrangements of heat exchangers are simultaneously embedded. Extrinsic functions executed outside GAMS from dynamic-link libraries (DLL) are used to estimate the thermodynamic properties of the working fluids. As a main result, improved process configurations with respect to two reported reference cases were found. The total heat transfer areas calculated in this work are by around 15% and 26% lower than those corresponding to the reference cases.

This paper contributes to the literature in two ways: (i) with a disjunctive optimization model of natural gas CCPP and the corresponding solution strategy, and (ii) with improved HRSG configurations.

**Keywords:** Generalized Disjunctive Programming; Extrinsic Functions; Three-Pressure Reheat Combined-Cycle Power Plant; Heat Recovery Steam Generator HRSG; GAMS.

## 1. Introduction

Combined cycle power plants (CCPP) are widely used industrial plants or larger distribution networks to provide both electricity and heat as energy vectors. The overall thermal efficiency of combined-cycle power plants (CCPPs) depends strongly on the gas and steam turbine technologies as well as the configuration and design of the heat recovery steam generators (HRSGs). Improved CCPPs lead to reduce fuel consumption and, consequently, the greenhouse gas emissions. The configuration,

34 design, and operating conditions of HRSGs are critical because they couple the gas turbine-based  
35 topping cycle with the steam turbine-based bottoming cycle. The exhaust waste energy of gas turbines  
36 can be recovered in HRSGs using different reheat cycles: from a single-pressure to triple-pressure  
37 cycles. In a CCPP, the optimal configuration of the HRSG depends strongly on the desired level of  
38 electricity to be generated, and, if it is the case, on the amount of steam required as utility heating if the  
39 CCPP is integrated to an industrial plant. Therefore, it is of great interest to still study the optimization  
40 of CCPPs through detailed process models [and simultaneous optimization methods](#) (Blumberg et al.,  
41 2017; Nadir and Ghenaiet, 2015), as it is proposed in this paper.

42 There are many published papers addressing the mathematical modeling and optimization of  
43 combined heat and power (CHP) generation systems, which differ in the criteria used to solve the  
44 resulting mathematical models (energy, exergy, cost, exergo-economic analyses, simulation-based  
45 optimization, simultaneous optimization, or meta-heuristic approaches), the number of optimization  
46 criteria (single or multi-objective optimization), and/or the model assumptions and design  
47 specifications considered for the analysis (fixed or variable process configurations, fixed or variable  
48 number of pressure levels, fixed or variable amount of steam and/or electricity to be generated).

49 Exergy and exergo-economic analyses of energy conversion systems to systematically locate the  
50 most inefficient system components have been used as a valuable decision-making tool (Bracco and  
51 Siri, 2010; Boyaghchi and Molaie, 2015; Bakhshmand et al., 2015; Tsatsaronis and Park, 2002;  
52 Morosuk and Tsatsaronis, 2011; Tsatsaronis, 1999; Sahoo, 2008; Ahmadi and Dincer, 2011). For  
53 instance, the retrofit of an already existing process can be improved by switching out and/or  
54 introducing new components towards a lower value of the total irreversibility of the system. These  
55 analyses are iterative in nature and contribute to improving a thermal system as a whole or at a  
56 component level. Although the calculation of exergy is more complex than the calculation of energy,  
57 the exergy analysis allows quantifying more accurately the types, causes, and locations of  
58 inefficiencies. Bakhshmand et al. (2015) performed an exergo-economic analysis and optimization of a  
59 triple-pressure combined cycle. To do this, they implemented a simulation code in MATLAB using an  
60 evolutionary algorithm. The objective function included both product cost rate and cost rates  
61 associated with exergy destruction. The obtained results allowed to propose optimal performance  
62 criteria for the studied process. The authors highlighted that this methodology is applicable to optimize  
63 steady state operation parameters of a given combined cycle, but it is not suitable to optimize the  
64 design of new cycles. Tsatsaronis and Park (2002) and Morosuk and Tsatsaronis (2011) concluded  
65 about the advantages of dividing exergy destruction and economic costs into avoidable and  
66 unavoidable parts in cogeneration plants (Tsatsaronis and Park, 2002) and simple gas turbine systems

67 (Morosuk and Tsatsaronis, 2011), showing the potential for improvement and the interactions among  
68 the system components. In exergy analyses, structural coefficients are used to consider how the overall  
69 irreversibility of the cycle is influenced by the local irreversibilities of each component. These  
70 structural coefficients can be calculated once the irreversibilities of the components and the whole  
71 cycle are known. Therefore, in a system with many components with a large number of discrete  
72 decisions, the calculation of these coefficients may require a high number of simulation runs resulting  
73 in a time-consuming procedure (Tsatsaronis, 1999). Most exergy and exergo-economic optimization  
74 approaches are subjective in nature as they require the designer's interpretation at each iteration to find  
75 the final configuration (Sahoo, 2008).

76 On the other hand, the degree of development of the optimization methods and software, and  
77 the availability of powerful computational systems have motivated a renewed interest in applying  
78 evolutionary algorithms, mathematical programming techniques in industry, including utility plants  
79 and CHP systems.

80 Applications of evolutionary algorithms – such as simulated annealing (SA) and genetic  
81 algorithms (GA) – can be found in Ahmadi and Dincer, 2011; Ahmadi et al., 2012; Kaviri et al., 2012;  
82 Mehrpanahi et al., 2019; Ameri et al., 2018; Mehrgoo et al., 2017; Naserabad et al., 2018; Rezaie et al.,  
83 2019). These algorithms have been successfully applied for optimization of power plants with known  
84 (fixed) configurations. GAs and derivative-free algorithms are well suitable when no information is  
85 available about the gradient of the function at the evaluated points. As GAs can be parallelized with  
86 little effort, a lot of paths to the optimum are considered in parallel, which is important in high-  
87 complexity problems with many solutions. However, GAs require many parameters, such as the  
88 number of generations, population, crossover and mutation rates, and tournament size (number of  
89 individuals needed to fill a tournament during selection) that can significantly affect the obtained  
90 solutions.

91 The use of advanced optimization methods and the development of rigorous mathematical  
92 models made possible to find new HRSG configurations with the corresponding optimal operating  
93 conditions. In this context, there are several articles addressing the study of energy systems, including  
94 power and heat plants, which employ [gradient-based](#) optimization algorithms and deterministic mixed-  
95 integer nonlinear programming techniques (MINLP). The use of MINLP techniques for some  
96 representative applications can be found in Kim and Edgar (2014) and particularly in Gopalakrishnan  
97 and Kosanovic (2015) for optimal scheduling of CHP plants, in Santos and Urtubey (2018) for optimal  
98 energy dispatch in cogeneration plants, in Elsidio et al. (2017) for optimal design of organic Rankine  
99 cycles (ORC), and in Perez-Uresti et al. (2019) for optimal design of renewable-based utility plants.

100 Other applications include the design of supercritical coal-fired power plants (Wang et al., 2014),  
101 short-term planning of cogeneration power plants (Taccari et al., 2015; Bruno et al., 1998), optimal  
102 synthesis and design of single and/or dual-purpose seawater desalination plants (Tanvir and Mujtaba,  
103 2008; Mussati et al., 2003a; Mussati et al., 2003b; Mussati et al., 2004; Mussati et al., 2005), as well as  
104 optimal integration of natural gas combined cycle (NGCC) power plants and CO<sub>2</sub> capture plants  
105 (Manassaldi et al., 2014; Mores et al., 2018). Also, MINLP models were successfully applied in other  
106 areas such as design of water and wastewater treatment processes (Lu et al., 2017; Faria and  
107 Bagajewicz, 2012; Ahmetovic and Grossmann, 2011), heat exchanger network in fuel processing  
108 systems for PEM fuel cells (Oliva et al., 2011), design and dispatch of SOFC-based CCHP system  
109 (Jing et al., (2017)), scheduling and retrofit of refinery preheat trains (Izyan et al., 2014), among other  
110 applications. Leon and Martin (2016) addressed the optimization of a combined cycle power plant by  
111 considering biogas as fuel. To this end, the authors implemented a mixed integer nonlinear  
112 programming (MINLP) model in GAMS and investigated two alternative schemes for the steam  
113 production. The calculation of the thermodynamics for the steam was included in the model via  
114 surrogate models. Although MINLP formulations are in general hard to solve (especially when the  
115 feasible regions are non-convex), they are the most suitable alternative for highly nonlinear and  
116 combinatorial optimization problems and large-size mathematical models (problems involving many  
117 discrete and continuous decisions and nonlinear equality constraints). In this work, due to the  
118 characteristics of the proposed optimization models, the MINLP technique is used.

119 Despite the existence of many articles concerning with the study of NGCC power plants under  
120 different assumptions and using different computational tools, only a few papers considering the  
121 simultaneous optimization of the HRSG configuration, process-unit sizes, and operating conditions can  
122 be found in literature (Ahadi-Oskui et al., 2010, Martelli et al., 2017; Zhang et al., 2014; Manassaldi et  
123 al., 2016; Franco and Giannini, 2006). Ahadi-Oskui et al. (2010) applied mathematical programming  
124 methods to simultaneously optimize the configuration and operating conditions of a combined-cycle-  
125 based cogeneration plant. To this end, the authors formulated a nonconvex mixed-integer nonlinear  
126 problem (MINLP). The resulting model was successfully solved by using their own MINLP solver  
127 called LaGO which generates a convex relaxation of the MINLP and applies a Branch and Cut  
128 algorithm to the relaxation. Martelli et al. (2017) proposed a two-stage methodology to optimize  
129 HRSGs of simple CHP cycles considering external heat/steam sources/users with the possibility of  
130 multiple supplementary firing. The proposed methodology was clearly described through an integrated  
131 gasification combined cycle (IGCC) plant with CO<sub>2</sub> capture. Zhang et al. (2014) proposed a  
132 superstructure-based MINLP model to optimize the configuration of a HRSG embedding several

133 candidate matches between the HRSG and external heat flows. The resulting model is non-convex  
134 because of the presence of bilinear terms. The solver BARON (Branch-And-Reduce Optimization  
135 Navigator) (Sahinidis, 2000), which is supported in GAMS (General Algebraic Modeling System)  
136 (Brooke et al., 1992), was used as a global optimizer. Several case studies considering different  
137 pressure levels, with and without steam reheating, were successfully solved. Franco and Giannini  
138 (2006) proposed a two-level optimization framework of HRSGs. The former level consists on  
139 obtaining the main operating conditions, and the second one the detailed design of each section (sizes  
140 and geometric variables). The framework uses the optimal output of the first level as the input to the  
141 second level. The authors successfully verified the proposed framework using already existing HRSG  
142 structures. Also, simultaneous optimization has been successfully applied to other integrated systems  
143 such as biomass Fischer-Tropsch liquids plants. Manassaldi et al. (2016) proposed a discrete and  
144 continuous mathematical model to optimize the synthesis and design of dual-pressure HRSGs coupled  
145 to two steam turbines. The optimization problem consisted in determining how the heat exchangers  
146 (economizers, evaporators, and superheaters) should be connected in the HRSG to maximize the total  
147 net power keeping fixed the total heat transfer area, or either to minimize the total heat transfer area  
148 keeping fixed the total net power. Also, the optimal operating conditions and size of each process unit  
149 were determined simultaneously. The resulting MINLP problem was solved using SBB (Standard  
150 Branch and Bound) (Bussieck and Drud, 2001) and the solver CONOPT for the nonlinear problems  
151 (NLP) (Drud, 1992). The authors found a novel HRSG configuration not previously reported in the  
152 literature. Recently, Bongartz et al. (2020) discussed three bottoming cycles for combined cycle power  
153 plants of increasing complexity. The authors employed their open-source deterministic global solver  
154 MAiNGO and developed a novel method for constructing relaxations of the functions reported in  
155 IAPWS-IF97 to calculate the thermodynamic properties of water and steam. The relaxations were  
156 implemented in the MC++ library (<https://omega-icl.github.io/mcpp/index.html>). The authors  
157 concluded that the proposed relaxations considerably reduce the computational time required to find  
158 the global optimal solution with respect to McCormick relaxations.

159 Generalized disjunctive programming (GDP) is an alternative modeling framework to represent  
160 optimization problems with discrete and continuous decisions (Chen and Grossmann, 2019). In GDP  
161 formulations, discrete decisions are represented in a natural way through the use of disjunctions in the  
162 continuous space and logic propositions in the discrete space which are then relaxed, obtaining a  
163 MINLP problem (Lee and Grossmann, 2000). GDPs can be reformulated via the convex hull  
164 (Grossmann and Lee, 2003) or via Big-M formulations (Grossmann and Ruiz, 2012). Vecchiotti et al.

165 (2003) developed the computer code LogMIP to solve discrete/continuous nonlinear optimization  
166 problems that are modeled with either algebraic, disjunctive, or hybrid formulations.

167 This paper is a natural continuation of the work presented by Manassaldi et al. (2016). Here,  
168 the superstructure-based model developed by Manassaldi et al. (2016) is used as a basis and it is  
169 properly extended to include three pressure levels as well as more candidate process configurations,  
170 thus highly increasing the combinatorial nature of the resulting superstructure-based optimization  
171 model. From a qualitative point of view, the main differences between this work and that of  
172 Manassaldi et al. (2016) are: (a) the type of the combined cycle to be studied (the inclusion of a third  
173 pressure level significantly increases the degrees of freedom for the optimization problems), (b) the  
174 mathematical modeling strategy (a generalized disjunctive programming (GDP) model is formulated  
175 instead of a pure MINLP model), and (c) the solution strategy includes a dynamic-link library (DLL)  
176 to estimate the thermodynamic properties of both circulating fluids (flue gas and water) at different  
177 conditions (in the case of water as subcooled and saturated liquid, saturated and superheated steam).  
178 On the other hand, the main difference between this work and papers published by other authors is the  
179 obtaining of improved configurations for a triple-pressure HRSG. Thus, to the best of our knowledge,  
180 this paper contributes to the literature of this field in two ways: (i) with a mathematical optimization  
181 model of NGCC power plants operated at three pressure levels and the corresponding solution  
182 strategy, and (ii) with improved HRSG configurations with respect to reference configurations taken  
183 from the literature.

184 The paper is organized as follows. Section 2 describes the process superstructure  
185 representation. Section 3 defines the problem statement. Section 4 presents the mathematical model.  
186 Section 5 discusses the obtained results. Finally, Section 6 provides the conclusions of the  
187 investigation.

188

## 189 **2. Process superstructure representation**

190 As mentioned earlier, the heat exchangers in a HRSG operating at three pressure levels can be  
191 arranged in different ways. Also, the inlet of the working fluid in the HRSG can be located in the low-  
192 pressure (LP) level, or in the LP and medium-pressure (MP) levels, or in the LP, MP and high (HP)  
193 pressure levels. As an illustration, Fig. 1 presents three candidate configurations, which differ in the  
194 way of feeding the working fluid to the different pressure levels and in the location of some heat  
195 exchangers. It is important to mention here that there are many more ways to combine the heat  
196 exchangers, which are not shown in Fig. 1 but included in the formulation of the model.

197

**Insert Figure 1**

198 In the process configuration shown in Fig. 1a, the three pressure levels are fed from the  
199 condenser. An LP economizer (section 10), an LP evaporator (section 9), and an LP superheater  
200 (section 8) are located in the coldest zone of the HRSG. Subsequently, in the intermediate-temperature  
201 zone, an MP economizer (section 7) and an MP evaporator (section 6) are located, followed by an HP  
202 economizer (section 5). Finally, in the hottest zone, an MP superheater, an HP evaporator, and a  
203 second MP superheater are placed, followed by an HP superheater (sections 4, 3, 2, and 1,  
204 respectively). In the process configuration shown in Fig. 1b, the LP and MP levels are fed from the  
205 condenser while the HP level is fed from the MP level. In this way, the HP economizer (section 5) is  
206 fed with a liquid stream with a temperature higher than that in Fig. 1a coming from the condenser, but  
207 implying a higher heat load in the MP economizer (section 8). On the other hand, the LP superheater –  
208 which was located in the section 8 in Fig. 1a – is now located in the section 7, where the gases can  
209 reach a higher temperature. Finally, in the process configuration shown in Fig. 1c, the MP and HP  
210 levels are fed from the corresponding inferior pressure level, i.e. the MP level from the LP level and  
211 the HP level from the MP level. This increases the temperature at which water enters the economizers  
212 but increases the heat load in the LP and MP levels (sections 9 and 7, respectively). Also, unlike in the  
213 previous two cases, an MP superheater is removed and only one heat exchanger is kept in the hottest  
214 gas section (section 1). In this configuration, the superheated steam stream coming from the steam  
215 turbines mixes with saturated steam and enters the unit of the section 1. In addition, the LP superheater  
216 is located in a zone hotter than in the previous configuration (Fig. 1b); indeed, it moves from the  
217 section 7 to 5.

218 In order to find the optimal configuration of the HRSG, the superstructure shown in Fig. 2 is  
219 proposed for optimization. As mentioned, this superstructure embeds, not only the process  
220 configurations shown in Fig. 1, but also many other candidate configurations, where the heat  
221 exchangers are combined in different alternative arrangements (as will be detailed in the presentation  
222 of the mathematical model).

223

224

**Insert Figure 2**

225

### 226 **3. Optimization problem statement**

227 Given are the process superstructure representation shown in Fig. 2 and the flow rate and inlet  
228 temperature of the flue gas stream. The optimization problem is formulated as follows.

229

230

231 Minimize (THTA)  
232 subject to:  
233 -Mass balances  
234 -Energy balances  
235 -Design equations (sizing)  
236 -Thermodynamic property estimation equations  
237 - Process design specifications (a fixed net electrical power generation).

238  
239 As result, the optimal values of the following decisions are obtained:

- 240 - Discrete decisions:
- 241 - Optimal structure (layout) of heat exchangers. This implies to select the number of the
  - 242 heat exchangers and their locations inside the HRSG indicating how they should be interconnected
  - 243 (series or series-parallel, or parallel arrangements).
  - 244 - Optimal number of pressure levels. The results should indicate if the HRSG should be
  - 245 operated with three or two or one pressure levels. For instance, if the high pressure level is removed,
  - 246 the associated economizer, evaporator and superheater must be also removed.
  - 247 - Optimal location of the reheating stream.
- 248 - Continuous decisions
- 249 - Optimal allocation of the total heat transfer area.
  - 250 - Optimal values of mass flow rate, pressure, temperature, and composition of the process
  - 251 streams.
  - 252 - Optimal heat loads at the system components.

253  
254 The proposed optimization problem is solved and compared with two reference cases taken  
255 from the literature. As will be shown in the next section, the main difference between the  
256 superstructure here proposed and the configurations of the reference cases is the possibility of using  
257 candidate pumps properly located to increase, if it is beneficial, the inlet pressure in the economizers.  
258 Another difference is the consideration of more candidate configurations of heat exchangers as well as  
259 different ways for steam reheating.

260

#### 261 **4. Mathematical model**

262 The entire mathematical model consists of the mass and energy balances of each process unit  
263 (steam turbines, pumps, heat recovery steam generator), equations to calculate the associated heat

264 transfer areas, installed power of turbines and pumps, and equations to estimate the physico-chemical  
265 properties of process streams. The main discrete decisions are those related to the configuration of the  
266 heat exchangers in the HRSG and the selection of the corresponding pumps. The configuration of the  
267 steam turbines is fixed but not their operating conditions and sizes. The main continuous decisions are  
268 the pressure, temperature, and flow rate of the process streams of each working fluid (gas in the gas  
269 turbine and water/steam in the steam turbines). Next, the main constraints used to model the discrete  
270 decisions associated with the HRSG are presented.

271

#### 272 **4.1 HRSG mathematical model**

273 In order to perform an easier implementation of the model in GAMS and identification of each  
274 heat exchanger, the HRSG is divided into several sections and pressure levels, as shown in Fig. 3. To  
275 do this, the following sets are declared: the set 'I', with 13 elements 'i', is used to identify different  
276 sections of the HRSG and the set 'J', with 3 elements 'j', is used to identify the different pressure  
277 levels. Also, a set 'K', with 78 elements 'k', is declared to number the process streams associated with  
278 the *water/steam* working fluid. Thus, each heat exchanger is identified by a 3-tuple (i,j,k). As  
279 explained later, the element k is important to properly associate streams with heat exchangers. It  
280 should be noticed that the streams associated with the *gas* working fluid can be numbered using the set  
281 I already defined to identify the sections of the HRSG. Figure 3a shows the representation of a generic  
282 section i of the HRSG with a heat exchanger at each pressure level j (LP, MP, HP) with the used  
283 nomenclature, and Fig. 3b shows how it is instantiated for the section i=13. As illustrated, the three  
284 (candidate) heat exchangers located in the section i=13 are identified by the following 3-tuples  
285 (13,LP,1), (13,MP,13), and (13,HP,35). Now, an element k is linked to a specific heat exchanger, so it  
286 is convenient to define a subset HE that properly links elements k with elements i and j. That is, in Fig.  
287 3b, the elements k=1, k=13, and k=35 correspond only to section i=13 and not to the rest of the  
288 sections. In this way, the subset HE contains all heat exchangers (31 heat exchangers) through the  
289 correspondence between i, j, and k. Finally, it is important to note that the evaporators are fixed in the  
290 superstructure and, therefore, no discrete decisions are associated with them. Then, a new subset EV is  
291 defined for evaporators in terms of set I. Thus, EV contains the three evaporators located in the  
292 sections i=3, 7, 11.

293

**Insert Figure 3**

##### 294 **4.1.1 Energy balances**

295 Equation (1) calculates the heat load in a heat exchanger in the HRSG (in terms of the  
296 water/steam working fluid).

297  $Q_{i,j} = m_{k+1}h_{k+1} - m_k h_k \quad i, j, k \in HE(i, j, k) \quad (1)$

Then, the energy balance in each section i is expressed as follows:

298 
$$\sum_{j \in HE(i,j,k)} Q_{i,j} = m^G (h_i^G - h_{i+1}^G) \quad \forall i \quad (2)$$

298

#### 299 4.1.2 Heat transfer area

300 The heat transfer area  $A_{i,j}$  required by the heat exchanger 'i,j,k' is calculated as follows:

301  $Q_{i,j} = U_{i,j} A_{i,j} \Delta T_{i,j} \quad i, j \in HE(i, j, k) \quad (3)$

302 where  $Q_{i,j}$ ,  $U_{i,j}$ , and  $\Delta T_{i,j}$  refer to the heat load, the overall heat transfer coefficient, and the driving force, respectively.

303 The Chen approximation (Chen (1987) [49]) (Eq. (4)) is used instead of the logarithmic mean  
304 temperature difference (LMTD), because it facilitates the model convergence when a heat exchanger is  
305 removed from the superstructure.

306

307 
$$\Delta T_{i,j} = \sqrt[3]{0.5(T_i^G - T_{k+1})(T_{i+1}^G - T_k) \left[ (T_i^G - T_{k+1}) + (T_{i+1}^G - T_k) \right]} \quad i, j, k \in HE(i, j, k) \quad (4)$$

307

#### 308 4.2 Logical constraints to select economizers and superheaters

309 In order to select or remove a heat exchanger located in the section i at the pressure level j, the  
310 following two-term disjunction, expressed in terms of the Boolean variable  $X_{i,j}$ , is proposed:

311

312 
$$\left[ \begin{array}{c} X_{i,j} \\ Q_{i,j} \leq |Q_{i,j}|_{up} \\ Q_{i,j} \geq |Q_{i,j}|_{lo} \end{array} \right] \vee \left[ \begin{array}{c} \neg X_{i,j} \\ Q_{i,j} = 0 \end{array} \right] \quad i, j \in HE(i, j, k) \wedge i \notin EV(i) \quad (D1)$$

312

313 The Boolean variable  $X_{i,j}$  establishes whether a given term in the disjunction is TRUE or  
314 FALSE. The disjunction D1 states that, if  $X_{i,j}$  is TRUE, then the optimal value of the variable  $Q_{i,j}$  is  
315 lower than  $|Q_{i,j}|_{up}$  (upper bound) and higher than  $|Q_{i,j}|_{lo}$  (lower bound); consequently,  $A_{i,j} \neq 0$  due to  
316 Eq. (3). Otherwise, if  $X_{i,j}$  is FALSE, then  $Q_{i,j} = 0$  and, consequently,  $A_{i,j} = 0$ . The disjunction D1 does  
317 not apply for the subset EV (i=3, 7, 11) because it contains the three evaporators that are fixed in the  
318 superstructure. Then, by associating the binary variable  $x_{i,j}$  with the Boolean variable  $X_{i,j}$  and applying

319 **Big-M reformulations**, the proposed disjunction is translated into the following two algebraic  
 320 inequality constraints:

$$Q_{i,j} \leq x_{i,j} \left| Q_{i,j} \right|_{up} \quad i, j \in HE(i, j, k) \wedge i \notin EV(i) \quad (5)$$

$$Q_{i,j} \geq x_{i,j} \left| Q_{i,j} \right|_{lo} \quad i, j \in HE(i, j, k) \wedge i \notin EV(i) \quad (6)$$

321 As explained, if  $x_{i,j} = 0$ , then  $Q_{i,j} = 0$  and, consequently,  $A_{i,j} = 0$ . Otherwise, if  $x_{i,j} = 1$ , then  $Q_{i,j}$  is in  
 322 between  $\left| Q_{i,j} \right|_{lo}$  and  $\left| Q_{i,j} \right|_{up}$  and, consequently,  $A_{i,j} \neq 0$ .

323 Disjunctions similar to D1 are proposed to select pumps and the location of the inlet of the  
 324 steam stream for reheating, as described later.

325

#### 326 **4.2.1 Logical constraints to avoid equivalent solutions**

327 Equivalent solutions can be frequently obtained when a superstructure-based model is proposed  
 328 for optimization. That is, although the obtained values of the binary variables are different, it is  
 329 possible to obtain optimal solutions that represent the same process configuration. Certainly, the  
 330 superstructure proposed in Fig. 2 embeds several equivalent solutions when superheaters and/or  
 331 economizers are removed from the superstructure.

332 **Insert Figure 4**

333

334 Figure 4 shows three equivalent solutions that may be obtained when only one low-pressure  
 335 (LP) superheater is selected. It can be observed that the same resulting heat transfer process can be  
 336 represented by selecting the superheater of either the section  $i=6$  ( $x_{6,LP}=1, x_{5,LP}=0, x_{4,LP}=0$  in Fig. 4a),  
 337 or section  $i=5$  ( $x_{6,LP}=0, x_{5,LP}=1, x_{4,LP}=0$  in Fig. 4b), or section  $i=4$  ( $x_{6,LP}=0, x_{5,LP}=0, x_{4,LP}=1$  in Fig. 4c).  
 338 Thus, the same values of heat transfer area, driving force, and amount of heat transferred between the  
 339 streams #9 and #4 can be obtained by several combinations of the binary variables.

340 Other equivalent solutions can be obtained if two heat exchangers of the same type are selected.  
 341 As shown in Fig. 5, in both configurations, the first heat exchange between the gas and water streams  
 342 takes place at the MP level and the second one at the LP level. Thus, the same resulting configuration  
 343 can be represented by two different sets of binary variable values ( $x_{6,LP}=1, x_{5,MP}=1, x_{4,LP}=x_{4,MP}=$   
 344  $x_{4,HP}=0$  in Fig. 5a, and  $x_{6,LP}=x_{6,MP}=x_{6,HP}=0, x_{5,LP}=1, x_{4,MP}=1$  in Fig. 5b).

345

346 **Insert Figure 5**

347 In order to avoid the occurrence of the equivalent solutions described in Figs. 4 and 5, it is  
 348 proposed to select the heat exchangers from left to right, or equivalently, to remove them from right to  
 349 left. To model this, the following logic propositions are imposed for two successive heat exchangers.

$$\neg\left(\bigvee_{j \in HE(i,j,k)} X_{i,j}\right) \Rightarrow \neg\left(\bigvee_{j \in HE(i-1,j,k)} X_{i-1,j}\right) \quad i = 13, 10, 9, 6, 5, 2 \quad (D2)$$

350 As presented, the logic propositions apply to the sections  $i=13, 10, 9, 6, 5$ , and  $2$ , establishing  
 351 that if no heat exchanger is selected in the section  $i$ , then no heat exchanger is selected in the previous  
 352 section  $i-1$ . This logical proposition can be translated into the following algebraic inequality  
 353 constraints (Eqs. (7)–(11)):

$$x_{i,LP} + x_{i,MP} + x_{i,HP} + 1 - x_{i-1,LP} \geq 1 \quad i = 13, 10, 9, 6, 5 \quad (7)$$

$$x_{i,LP} + x_{i,MP} + x_{i,HP} + 1 - x_{i-1,MP} \geq 1 \quad i = 13, 10, 9, 6, 5 \quad (8)$$

$$x_{i,LP} + x_{i,MP} + x_{i,HP} + 1 - x_{i-1,HP} \geq 1 \quad i = 13, 10, 9, 6, 5 \quad (9)$$

354 According to Eqs. (7)–(9), if  $x_{i,LP} = x_{i,MP} = x_{i,HP} = 0$ , then  $x_{i-1,LP} = x_{i-1,MP} = x_{i-1,HP} = 0$ . Also, it  
 355 can be observed that if  $x_{i,LP} = 1$  or  $x_{i,MP} = 1$  or  $x_{i,HP} = 1$  or  $x_{i,LP} = x_{i,MP} = x_{i,HP} = 1$ , then  $x_{i-1,LP}$ ,  $x_{i-1,MP}$ ,  
 356 and  $x_{i-1,HP}$  can be individually 0 or 1. These three constraints apply to the sections that involve the  
 357 three pressure levels. Since no low-pressure level is involved in the section  $i=2$ , the following  
 358 constraints apply in this case:

$$x_{i,MP} + x_{i,HP} + 1 - x_{i-1,MP} \geq 1 \quad i = 2 \quad (10)$$

$$x_{i,MP} + x_{i,HP} + 1 - x_{i-1,HP} \geq 1 \quad i = 2 \quad (11)$$

359 However, it should be mentioned that no equivalent solutions can be obtained if three heat  
 360 exchangers are selected, as illustrated in Fig. 6. In this case, the order in which the gas and water/steam  
 361 streams exchange heat in Fig. 6a is different from that in Fig. 6b.

362 **Insert Figure 6**

363  
 364 **4.2.2. Selection of the location and configuration of the reheating process**

365 A similar disjunction to D1 is here proposed to select the steam stream that comes from the HP  
 366 steam turbine ST1 for reheating. As shown in Fig. 2, the steam for reheating that comes from ST1 can  
 367 be fed through five candidate streams (#53 to #57). The disjunction D3 is proposed in terms of the  
 368 Boolean variable  $Z_k$ .

$$\left[ \begin{array}{c} Z_k \\ m_k \leq |m_k|_{up} \\ m_k \geq |m_k|_{lo} \end{array} \right] \vee \left[ \begin{array}{c} \neg Z_k \\ m_k = 0 \end{array} \right] \quad 53 \leq k \leq 57 \quad (D3)$$

369 As established in D3, if  $Z_k$  is TRUE, then the optimal value of the variable  $m_k$  is lower than  
 370  $|m_k|_{up}$  (upper bound) and higher than  $|m_k|_{lo}$  (lower bound); consequently,  $m_k \neq 0$ . Otherwise, if  $Z_k$  is  
 371 FALSE, then  $m_k = 0$ . Then, by associating the binary variable  $z_k$  with the Boolean variable  $Z_k$ , D3 is  
 372 translated into the following two algebraic inequality constraints:

$$m_k \leq z_k |m_k|_{up} \quad 53 \leq k \leq 57 \quad (12)$$

$$m_k \geq z_k |m_k|_{lo} \quad 53 \leq k \leq 57 \quad (13)$$

373 As a first approximation, only one of these candidate streams can be selected, what is imposed  
 374 through the logical proposition D4, which leads to the algebraic constraint given by Eq. (14):

$$Z_{53} \vee Z_{54} \vee Z_{55} \vee Z_{56} \vee Z_{57} \quad (D4)$$

$$\sum_{k=53}^{57} z_k = 1 \quad (14)$$

375

### 376 4.2.3 Selection of the working fluid pumps

377 A similar disjunction to D1 is also proposed to select the required pumps (D5). As shown, a  
 378 pump is selected in terms of the associated flowrate value. If a pump is not selected, then the  
 379 associated inlet flow is zero.

$$\left[ \begin{array}{c} Y_n \\ m_k \leq |m_k|_{up} \\ m_k \geq |m_k|_{lo} \end{array} \right] \vee \left[ \begin{array}{c} \neg Y_n \\ m_k = 0 \end{array} \right] \quad n, k \in PUMP(n, k, k') \wedge n \leq 9 \quad (D5)$$

380

381 Then, by associating the binary variable  $y_k$  with the Boolean variable  $Y_n$ , D5 is translated into  
 382 the following two algebraic inequality constraints:

$$m_k \leq y_n |m_k|_{up} \quad n, k \in PUMP(n, k, k') \wedge n \leq 9 \quad (15)$$

$$m_k \geq y_n |m_k|_{lo} \quad n, k \in PUMP(n, k, k') \wedge n \leq 9 \quad (16)$$

383

384 For instance, in Fig. 2, if the value of the binary variable associated to the pump #9 ( $y_9$ ) is zero,  
 385 then Eqs. (15) and (16) force the associated flow to be zero ( $m_{77} = 0$ ), which is equivalent to  
 386 eliminating the pump from the solution.

387 On the other hand, the feed inlet to the MP and HP levels may optionally come from the  
 388 condenser or from an inferior pressure level (i.e. MP from LP and HP from MP), as is shown in Fig. 2.

389 Propositions D6 and D7 are included in the model in order to select a unique feed pump at the MP and  
 390 HP levels, which lead to the algebraic constraints given by Eqs. (17) and (18):

$$Y_1 \vee Y_2 \vee Y_9 \quad (D6)$$

$$Y_3 \vee Y_4 \vee Y_5 \vee Y_6 \vee Y_7 \vee Y_8 \quad (D7)$$

$$y_1 + y_2 + y_9 = 1 \quad (17)$$

$$y_3 + y_4 + y_5 + y_6 + y_7 + y_8 = 1 \quad (18)$$

#### 391 4.2.4 Logical constraints between heat exchangers and pumps

392 It is interesting to note that there are also logical relationships between candidate heat  
 393 exchangers and candidate pumps that may lead to equivalent solutions when deciding the presence (or  
 394 absence) of pumps by solving the proposed superstructure-based optimization model. To avoid the  
 395 occurrence of these equivalent solutions, the following two considerations are made.

396 *Consideration 1:* if there is no economizer feeding the pump, then the pump does not exist (proposition  
 397 D8). The Boolean variable  $Y_n$  represents the existence of the pump ‘n’. The subset NHNP relates the  
 398 economizer ‘(i,j)’ to the pump ‘n’.

$$\neg X_{i,j} \Rightarrow \neg Y_n \quad \forall i, j, n \in NHNP(i, j, n) \quad (D8)$$

399 Then, the logical proposition D8 is translated into the algebraic constraint given by Eq. (19):

$$x_{i,j} + 1 - y_n \geq 1 \quad \forall i, j, n \in NHNP(i, j, n) \quad (19)$$

400 *Consideration 2:* if a certain economizer exists, then there are no pumps after it at the inferior pressure  
 401 level (proposition D9). The HNP subset relates the exchanger ‘(i,j)’ to the pump ‘n’.

$$X_{i,j} \Rightarrow \neg Y_n \quad \forall i, j, n \in HNP(i, j, n) \quad (D9)$$

402 The logical proposition D9 is translated into the algebraic constraint given by Eq. (20):

$$1 - x_{i,j} + 1 - y_n \geq 1 \quad \forall i, j, n \in HNP(i, j, n) \quad (20)$$

403 In this way, Eqs. (15)–(20) allow an orderly elimination by relating the heat exchangers and the  
 404 associated pumps as appropriate.

405

#### 406 4.2.5 Possibility of selecting parallel heat exchangers

407 As mentioned earlier, the HRSG superstructure also includes the possibility of selecting heat  
 408 exchangers operating in parallel at each section of the HRSG, except for the sections that contain  
 409 evaporators. This possibility is allowed by the following constraint:

$$\sum_{j \in HE(i,j,k)} x_{i,j} \leq PE_i \quad i \notin EV(i) \quad (21)$$

410 where  $PE_i$  refers to the maximum number of heat exchangers operating in parallel at the section  $i$ ; it is  
411 a model parameter that can be varied.

412

#### 413 **4.2.6 Possibility of limiting the number of economizers and superhetars at each pressure level**

414 In addition, the model includes constraints related to the maximum number of economizers  $EC_j$   
415 (Eq. (22)) and superheaters  $SH_j$  (Eq. (23)) that are allowed to operate at each pressure level  $j$  (LP, MP,  
416 and HP):

$$\sum_{i \in EC(i,j)} x_{i,j} \leq EC_j \quad \forall j \quad (22)$$

$$\sum_{i \in SH(i,j)} x_{i,j} \leq SH_j \quad \forall j \quad (23)$$

417  $EC_j$  and  $SH_j$  are model parameters that can be varied.

418

#### 419 **4.3 Calculation of the physical-chemical properties**

420 The use of dynamic-link libraries (DLLs) as well as extrinsic functions allows to significantly  
421 enhance the model implementation compared to the traditional approach, and to considerably reduce  
422 the model size as well as the computational time required by the optimization algorithms. For instance,  
423 a MINLP model to optimize the process configuration of two coupled distillation columns including  
424 DLLs and extrinsic functions required almost 4000 constraints and variables less than if no DLLs and  
425 extrinsic functions are employed (Manassaldi et al. 2019). In addition, the time required to solve the  
426 NLP models was less than half in comparison with models without employing DLLs and extrinsic  
427 functions.

428

#### 429 **4.4 Objective function**

430 The optimization criterion is the minimization of the total heat transfer area (THTA) which is  
431 calculated in Eq. (24):

$$THTA = \sum_{i,j \in HE(i,j,k)} A_{i,j} + A_{COND} \quad (24)$$

432 where  $A_{COND}$  refers to the heat transfer area of the condenser in the Rankine cycle.

#### 433 **5. Discussion of results**

434 The results discussed in this section correspond to the performed model verification and the  
435 obtained optimal solutions.

436 Tables 1 and 2 list the numerical values of the model parameters and the lower and upper  
437 bounds, respectively, used for all optimizations.

438 **Table 1.** Values of model parameters used in all case studies.

Flue gas specification			Source
Mass flow rate of gas turbine exhaust gases	kg/s	445.4	(Franco and Giannini, 2006)
Temperature of gas turbine exhaust gases	K	778.15	(Franco and Giannini, 2006)
Minimum outlet temperature of gases leaving HRSG	K	348.15	(Franco and Giannini, 2006)
Process units			
Economizer overall heat transfer coefficient	W/(m <sup>2</sup> K)	42.60	(Franco and Russo, 2002)
Evaporator overall heat transfer coefficient	W/(m <sup>2</sup> K)	43.70	(Franco and Russo, 2002)
Superheater overall heat transfer coefficient	W/(m <sup>2</sup> K)	50.00	(Franco and Russo, 2002)
Minimum pinch point	K	10.00	(Franco and Giannini, 2006)
Minimum heat transfer temperature difference	K	10.00	(Franco and Giannini, 2006)
Condenser pressure	bar	0.1733	(Franco and Giannini, 2006)
Isentropic efficiency of steam turbines	dimensionless	0.90	(Franco and Russo, 2002)
Efficiency of pumps	dimensionless	0.75	(Manassaldi et al., 2016)

439

440

441 **Table 2.** Lower and upper bounds on optimization variables used in all case studies.

Variable		Lower bound	Upper bound
High pressure (P <sup>HP</sup> )	bar	110	180*
Medium pressure (P <sup>MP</sup> )	bar	10	60
Low pressure (P <sup>LP</sup> )	bar	1	10
Temperature (T)	K	330.15*	768.15*
Mass flow rate (m)	kg/s	0	100

442 \* Value taken from Franco and Giannini (2006).

443

444 The proposed mathematical model involves 588 continuous variables, 42 binary variables, and  
 445 773 constraints (equality and inequality constraints) and was implemented in GAMS 23.9.5 (General  
 446 Algebraic Modeling System). SBB (Standard Branch and Bound) (Bussieck and Drud, 2001) and  
 447 CONOPT (Drud, 1992) are the solvers used for the mixed-integer nonlinear problems (MINLP) and  
 448 nonlinear problems (NLP), respectively. SBB is employed because it is suitable for solving models  
 449 that have fewer discrete decisions but more difficult nonlinearities  
 450 ([https://www.gams.com/latest/docs/S\\_SBB.html#SBB\\_COMPARISON\\_OF\\_DICOT\\_AND\\_SBB](https://www.gams.com/latest/docs/S_SBB.html#SBB_COMPARISON_OF_DICOT_AND_SBB)),  
 451 characteristics involved by the model proposed in this work.

452 In the current model, DLLs are used to calculate the enthalpy, entropy, specific volume, and  
 453 density of the working fluid of the steam cycle (water, steam). As shown in Fig. 7, extrinsic functions  
 454 associated to the correlations reported in ‘Revised Release on the IAPWS Industrial Formulation 1997  
 455 for the Thermodynamic Properties of Water and Steam’ (IAPWS R7-97, 2012) are declared in the C  
 456 programming language in a DevC++ project generating the corresponding DLLs (extrinsic.DLL),  
 457 which are included in GAMS (\$funclibin IAPWS iapws.dll) and executed outside GAMS.

458

**Insert Figure 7**

459 In the file *extrfunc.h* all the definitions required to create the libraries are included. In the file  
 460 *mylibraryql.c* the architectures of the library and the functions are defined. Finally, in the *mylibrary.c*  
 461 the functions are programmed and/or imported. As illustrated in Fig. 7, for each  
 462 physicochemical property an extrinsic function has been declared. A detailed description about the  
 463 implementation of DLLs for all physicochemical properties and how they interact with GAMS can be  
 464 found in Manassaldi et al. (2019). The IAPWS.dll library is available and can be downloaded from the  
 465 GAMS World Forum (<https://forum.gamsworld.org/viewtopic.php?f=16&t=11547>). The model  
 466 involves many nonlinear constraints. For instance, the domains of many functions from the IAPWS-  
 467 IF97 are nonconvex (Bongartz et al., 2020). Also, bilinear terms appearing in the energy balances as  
 468 well as in the design equations used to calculate the heat transfer areas of all heat exchangers are  
 469 involved.

470

## 471 5.1 Model verification

472 The proposed model was successfully verified by comparing the model output with the optimal  
 473 solution presented in Franco and Giannini (2006) , whose optimal process configuration – hereafter  
 474 referred as the ‘RC configuration’ – is illustrated in Fig. 8. In order to perform a correct verification  
 475 and because the MINLP model developed in this work embeds many candidate configurations, several  
 476 (discrete and continuous) model variables were fixed at the optimal values reported for the RC  
 477 configuration. Then, an optimization problem consisting in the minimization of the sum of the  
 478 square errors between the data taken from Franco and Giannini (2006) and the values calculated by the  
 479 model (Eq. (25)), was solved:

480

$$481 \text{Min} \left( \sum_{k \in MK(k)} (m_k^{FG} - m_k)^2 + \sum_{k \in PK(k)} (P_k^{FG} - P_k)^2 + \sum_{k \in TK(k)} (T_k^{FG} - T_k)^2 + \sum_{(i,j) \in QK(i,j)} (Q_{i,j}^{FG} - Q_{i,j})^2 \right) \quad (25)$$

482

482 where the subscript **FG** refers to data reported by Franco and Giannini (2006); the subsets *MK*,  
 483 *PK*, *TK* contain the stream *k* with mass flow rate  $m_k$ , pressure  $P_k$ , temperature  $T_k$ , respectively. The  
 484 subset *QK* contains the heat load *Q* of the heat exchanger *i,j*.

485 Table 3 compares the values of pressure, temperature, and mass flow rate of the streams of the  
 486 circulating fluid in the Rankine cycle. Table 4 compares the gas stream temperatures. Table 5  
 487 compares the values of the total heat load in the HRSG and in each heat exchanger. The values that  
 488 were fixed in the MINLP model and that are used in Eq. (25) are marked with the symbol \* in these

489 tables. The remaining variables listed in the tables are the outputs used for comparison. The three  
 490 tables include the percentage error computed for each variable.

491  
 492

**Insert Figure 8**

493 **Table 3.** Comparison of the pressure, temperature, and mass flow rate values of the streams of  
 494 the circulating fluid in the Rankine cycle reported by Franco and Giannini (2006) and the obtained in  
 495 this work (MINLP model).

Stream # of the working fluid in the Rankine cycle	Franco and Giannini (2006)			This work			Error (%)		
	P (bar)	T (K)	m (kg/s)	P (bar)	T (K)	m (kg/s)	P (bar)	T (K)	m (kg/s)
6	6.0	432.0	13.62	6.0*	432.0	13.62*	0.00%	0.02%	0.00%
12	6.0	501.1	13.62	6.0	501.1*	13.62*	0.00%	0.00%	0.00%
18	53.0	501.1	45.79	54.387	501.1*	45.801	-2.62%	0.00%	-0.02%
24	53.0	540.7	15.33	54.387	542.4	15.353	-2.62%	-0.31%	-0.15%
26	53.0	603.1	15.33	54.387	603.4	15.353	-2.62%	-0.05%	-0.15%
28	53.0	624.9	45.79	54.387	624.9	45.801	-2.62%	0.00%	-0.02%
34	53.0	768.1	45.79	54.387	768.1	45.801	-2.62%	0.00%	-0.02%
49	169.0	624.9	30.46	168.525	624.7	30.449	0.28%	0.04%	0.04%
51	169.0	768.1	30.46	168.525	768.1*	30.449	0.28%	0.00%	0.04%
75	0.1733	330.1	59.41	0.1733*	330.1*	59.421	0.00%	0.00%	-0.02%

496 \* Numerical values fixed in the MINLP model that are used in Eq. (25).

497

498 **Table 4.** Comparison of the temperature values of the gas streams reported by Franco and Giannini  
 499 (2006) and the obtained in this work (MINLP model).

Stream # of gas	T <sup>G</sup> (K)		
	Franco and Giannini (2006)	This work	Error (%)
1	778.1	778.1 *	0.00%
3	702.6	704.4	-0.26%
4	651.1	652.7	-0.24%
6	634.9	636.7	-0.27%
7	607.8	610.0	-0.36%
8	558.1	560.7	-0.46%
10	540.8	542.3	-0.27%
11	508.3	509.5	-0.23%
12	450.3	451.5	-0.26%
14	395.6	398.5	-0.72%

500 \* Numerical value fixed in the MINLP model that are used in Eq. (25).

501 **Table 5.** Comparison of the values of the total heat load in the HRSG and in each heat  
 502 exchanger reported by Franco and Giannini (2006) and the obtained in this work (MINLP model).

	Heat load (MW)		
	Franco and Giannini (2006)	This work	Error (%)
<b>Total</b>	<b>191.43</b>	<b>190.23</b>	<b>0.63</b>
Heat exchanger (i,j)			
(13,LP)	26.24	25.63	-2.36
(10,MP)	14.14	14.11	-0.21
(9,MP)	8.59	9.15	6.16
(6,HP)	10.5	10.19	-3.04
(5,HP)	5.09	5.19	2.00
(11,LP)	28.39	28.41	0.06
(7,MP)	24.86	24.70	-0.64
(3,HP)	26.29	26.45	0.60
(10,LP)	1.95	2.11	7.61
(6,MP)	3.16	3.26	3.11
(5,MP)	3.12	2.93	-6.57
(2,MP)	17.24	16.23	-6.20
(2,HP)	21.86	21.86	-0.01

503  
 504 According to the values listed in Tables 3 and 4, the maximum deviation is -2.62%, which  
 505 corresponds to the pressure of stream #18. This deviation may be due to the fact that the correlations  
 506 used by Franco and Giannini (2006) to estimate the enthalpy and vapor pressure values of the  
 507 circulating fluid at different conditions (superheated and saturated steam, subcooled and saturated  
 508 liquid) are different from those used in this study. The deviations in the rest of the variables are  
 509 practically insignificant. Regarding the deviations computed for heat loads, it can be seen in Table 5  
 510 that the deviation in the total heat load in the HRSG is only 0.63% (191.43 MW vs. 190.23 MW), with  
 511 the particularity that the calculated values for some heat exchangers are higher than those reported by  
 512 Franco and Giannini (2006) , but for others they are lower. However, the variations along the HRSG  
 513 compensate, resulting in a total deviation of 0.63%. Then, based on the obtained percentage deviations,  
 514 it can be concluded that, for the purpose of this study, the implemented process model successfully  
 515 predicts the solution reported by Franco and Giannini (2006).

516

## 517 **5.2 Optimization results**

518 This section presents the optimization results obtained by solving the problem stated in Section  
 519 3, which consists in determining the optimal configuration of the heat exchangers with their  
 520 corresponding sizes and operating conditions that minimize the total heat transfer area of the HRSG to  
 521 generate a fixed, specified total net power. For comparison purpose, it is specified the total net power

522 value calculated in Franco and Giannini (2006) (RC configuration), which is equal to 63.026 MW. The  
 523 obtained optimal solution is hereafter named ‘OS’.

524 **Insert Figure 9**

525 For all optimization cases, the numerical values of the model parameters and bounds on  
 526 decision variables are the same as those listed in Tables 1 and 2. In addition, the model has been  
 527 solved by setting the option *optcr* at the minimum value supported by the solver ( $1.0 \times 10^{-9}$ ). To obtain  
 528 the integer solution of this case study, the optimization algorithm explored 34 nodes and stopped with  
 529 a relative gap of  $9.34 \times 10^{-16}$  requiring 3773 iterations and 23.32 NLP seconds.

530 Figure 9 illustrates the optimal configuration corresponding to OS and Fig. 10 compares the T-  
 531 H diagrams resulting from the RC and OS solutions. Tables 6–10 compare the optimal values obtained  
 532 for both RC and OS solutions.

533

534 **Table 6.** Comparison of optimal values obtained for RC and OS solutions (gas temperature, total heat  
 535 load, and total heat transfer area in each HRSG zone).

Point	HRSG zone	Gas temperature (K)		Heat load (MW)		Heat transfer area ( $\times 10^3 \text{ m}^2$ )	
		RC	OS	RC	OS	RC	OS
1	Hot zone	778.1	778.1	64.54	<b>68.57</b>	35.40	<b>25.65</b>
2		778.1	745.8	(3 HEXs)	(5 HEXs)		
3		704.5	710.0	2,HP/2,MP/3,HP	1,HP/1,MP/2,HP		
4		652.7	644.7		2,MP/3,HP		
5	Intermediate zone	652.7	642.7	46.27	<b>62.25</b>	27.17	<b>30.36</b>
6		636.7	578.2	(5 HEXs)	(6 HEXs)		
7		610.0	572.8	5,HP/5,MP/6,HP/	4,MP/5,HP/5,MP/		
8		560.7	520.4	6,MP/7,MP	6,MP/6,LP/7,MP		
9		560.7	520.4				
10	Cold zone	542.3	520.4	79.41	<b>61.31</b>	45.13	<b>35.72</b>
11		509.5	482.7	(5 HEXs)	(7 HEXs)		
12		451.5	438.2	9,MP/10,MP/	10,HP/10,MP/10,LP		
13		451.5	438.2	10,LP/11,LP/13,LP	11,LP/13,HP/		
14		398.6	394.5		13,MP/ 13,LP		
				<b>190.23</b>	<b>192.14</b>	<b>107.70</b>	<b>91.74</b>

536

537 Figures 8 and 9 clearly show the differences that exist between the configuration reported by  
 538 Franco and Giannini (2006) (RC) and the optimal configuration obtained by the proposed model (OS).  
 539 As can be seen in Fig. 9, the optimal number of heat exchangers in OS is 18, i.e., 5 heat exchangers  
 540 more than in RC (Fig. (8)). According to the results listed in Tables 6 and 7, it can be observed that the  
 541 total heat exchanged between the gas and the circulating fluid in the hottest zone of the HRSG  
 542 (sections  $i = 1-3$ ) is similar (64.54 MW in RC vs. 68.57 MW in OS) because the difference in the gas  
 543 outlet temperature in this zone ( $i = 3$ ) – which is an optimization variable of the model – only differs in  
 544 8 K (652.7 K in RC vs. 644.7 K in OS, Table 6). While the gas inlet temperature and flow rate in the

545 section  $i = 1$  are the same in both configurations since, as mentioned above, they are fixed and known  
546 values – i.e. model parameters – taken from Franco and Giannini (2006). Although in the hot zone the  
547 total heat exchanged in OS is slightly higher than in RC (4.03 MW according to Table 7), the area  
548 required in OS is 27.54 % lower than that required in RC (25650 m<sup>2</sup> vs. 35400 m<sup>2</sup>), which is obtained  
549 using 2 heat exchangers more than in RC, specifically two superheaters (1,HP) and (1,MP) at the high  
550 and medium pressure levels, respectively. In the OS configuration (Fig. 9), in addition to the  
551 evaporator EV1 ( $i = 3$ ), sections  $i = 1$  and 2 involve 4 heat exchangers in total, with 2 exchangers in  
552 each section, where the gas stream exchanges heat in parallel with the circulating fluid at MP and HP  
553 levels. On the other hand, in the RC configuration (Fig. 8), there are only 2 parallel heat exchangers,  
554 precisely in the section  $i = 2$ . The fact of using 4 heat exchangers in OS – not 2 as in RC – allows to  
555 increase the degrees of freedom of the optimization problem since it is possible to conveniently vary,  
556 not only the temperature of both the gas stream and circulating fluid, but also the corresponding flow  
557 rates, in such a way that the heat transfer area in OS is smaller than in RC to transfer practically the  
558 same amount of total heat in this zone of the HRSG. According to the values listed in Table 7 for OS,  
559 the heat exchangers selected in the MP and HP levels in the section  $i = 2$  ((2,MP) and (2,HP)) require  
560 1530 m<sup>2</sup> and 3000 m<sup>2</sup>, respectively, to transfer 6.11 MW and 12.37 MW, with a driving force of 80.04  
561 K and 82.47 K, respectively. While for RC, Table 7 shows that these two heat exchangers require 9870  
562 m<sup>2</sup> and 13260 m<sup>2</sup> to transfer 16.23 MW and 21.86 MW, respectively, with a driving force of 32.90 K  
563 and 32.95 K. In the section  $i = 1$ , the heat exchangers (1,MP) and (1,HP) selected in OS require 3270  
564 m<sup>2</sup> and 3950 m<sup>2</sup>, respectively, to transfer 7.71 MW and 9.04 MW with a driving force of 47.17 K and  
565 45.81 K. The section  $i = 3$  involves the evaporator (3,HP), which is fixed in the superstructure i.e. it is  
566 not a decision variable, as mentioned in the model presentation. The heat transfer area required by  
567 (3,HP) in OS is 1630 m<sup>2</sup> larger than in RC, transferring 6.87 MW more than in RC (33.32 MW vs.  
568 26.45 MW) with a driving force 5.51 K greater (54.84 K in OS vs. 49.33 K in RC). The operating  
569 temperature in (3,HP) – which corresponds to stream #49 of saturated steam in Table 8 – in OS is 8.65  
570 K lower than in RC (616.08 K vs. 624.73 K) and the associated flow rate in OS is 3.185 kg/s higher  
571 (33.634 kg/s vs. 30.449 kg/s). The temperature-enthalpy (T-H) diagrams corresponding to both RC and  
572 OS configurations are compared in Figure 10, which allow visualizing how these variables are  
573 influenced by the inclusion of 2 parallel heat exchangers in the section  $i = 1$ , affecting significantly the  
574 driving forces and the heat transfer areas of the different process units.

575 In the intermediate-temperature zone of the HRSG, consisting of sections  $i = 4-8$ , in addition to  
576 the evaporator EV2 ( $i=7$ ), the OS configuration includes one heat exchanger more than the RC  
577 configuration (5 vs. 4) and it shows a different arrangement of the process units and a different

578 location of the inlet point of the stream associated with the reheating of the steam coming from the  
579 turbine ST1. Unlike in the hot zone, the gas outlet temperature in the intermediate zone ( $T_9$  in Table 6)  
580 is 520.4 K in OS and 560.7 K in RC, resulting in a recovered heat amount and a heat transfer area  
581 required in OS by around 34.53% and 11.74% higher than in RC, respectively (62.25 MW vs. 46.27  
582 MW and 30360 m<sup>2</sup> vs. 27170 m<sup>2</sup>, according to Table 7). By comparing Figs. 8 and 9 it can be seen that  
583 the heat exchanged in parallel between the gas stream and the circulating fluid in the section  $i = 6$  takes  
584 place at LP and MP levels in OS (i.e. in (6,LP) and (6,MP)); whereas in RC the heat exchanges take  
585 place at MP and HP levels (i.e. in (6,MP) and (6,HP)). Another difference is the location at which  
586 steam superheating begins. In the OS configuration (Fig. 9), the steam leaving the turbine ST1 mixes  
587 with the saturated steam leaving the evaporator (7,MP) (stream #25) and enters the superheater  
588 (6,MP). Differently, in RC (Fig. 8), the stream leaving the evaporator (7,MP) is first reheated in the  
589 superheater (6,MP) and then it is mixed with the stream leaving ST1 (stream #25), entering a second  
590 superheater (5,MP). The T-H diagrams (Fig. 10) show the temperature differences on the hot and cold  
591 sides of each heat exchanger of both configurations, which determine the corresponding driving forces  
592 that affect the heat transfer areas. Compared to RC, Fig. 10 and Tables 7 and 8 show that the operating  
593 temperature in the evaporator (7,MP) in OS is 45.3 K lower (497.13 K vs. 542.40 K, in Table 8), its  
594 heat load is slightly higher (26.06 MW vs. 24.70 MW, in Table 7) but requiring less heat transfer area  
595 (13450 m<sup>2</sup> vs. 15030 m<sup>2</sup>, in Table 7) as a result of the temperature differences at the ends of the  
596 evaporator (23.3 K vs. 18.3 K at the cold end and 75.7 K vs. 67.6 K at the hot end), which implies a  
597 greater driving force (44.3 K vs. 37.6 K, in Table 7). The heat exchanger (6,MP) exhibits a different  
598 behavior to that observed for (7,MP) since the heat load in OS is 1.17 MW lower than in RC (2.09  
599 MW vs. 3.26 MW), requiring less heat transfer area (680 m<sup>2</sup> vs. 1350 m<sup>2</sup>) with a driving force of 61.1  
600 K, which is 12.76 K greater than in RC (48.4 K). However, the heat exchangers (5,HP) and (5,MP)  
601 exhibit a different behavior from the previous ones (7,MP and 6,MP) since not only the heat loads but  
602 also the heat transfer areas in OS are greater than those in RC, although the associated driving forces in  
603 OS are still greater than in RC. Precisely, the heat transfer areas required in OS by (5,HP) and (5,MP)  
604 are 10510 m<sup>2</sup> and 4830 m<sup>2</sup>, respectively, while those required in RC are 3970 m<sup>2</sup> and 1890 m<sup>2</sup>,  
605 respectively. From the analysis performed for each section of the intermediate-temperature zone of the  
606 HRSG, it is concluded that the transfer area increases of the heat exchangers (6,LP), (5,HP), and  
607 (5,MP) prevail over those of the heat exchangers (7,MP), (6,HP), and (6,MP), implying an increase of  
608 the total heat transfer area in OS with respect to RC (30360 m<sup>2</sup> vs. 27170 m<sup>2</sup>).

609

610 **Table 7.** Comparison of solutions obtained for RC and OS configurations (heat load, driving force, and  
611 heat transfer area values for each heat exchanger and HRSG zone).

Heat exchanger (‘section’, ‘pressure level’)	HRSG zone	RC solution			OS solution			
		Q (MW)	DF (K)	Area ( $\times 10^3$ m <sup>2</sup> )	Q (MW)	DF (K)	Area ( $\times 10^3$ m <sup>2</sup> )	
1,MP	Hot zone	0	10	0	7.71	47.17	3.27	
1,HP		0	10	0	9.04	45.81	3.95	
2,MP		16.23	32.90	9.87	6.11	80.04	1.53	
2,HP		21.86	32.95	13.26	12.37	82.47	3.00	
3,HP		26.45	49.33	12.27	33.32	54.84	13.90	
<b>Total</b>		<b>64.54</b>	-	<b>35.40</b>	<b>68.57</b>	-	<b>25.65</b>	
4,MP	Intermediate zone	-	27.73	-	1.01	29.01	0.70	
5,HP		5.19	30.65	3.97	22.00	49.12	10.51	
5,MP		2.93	30.95	1.89	10.51	43.54	4.83	
6,HP		10.19	48.58	4.92	0	79.20	0	
6,LP		0	121.71	0	0.58	62.18	0.19	
6,MP		3.26	48.39	1.35	2.09	61.15	0.68	
7,MP		24.70	37.62	15.03	26.06	44.34	13.45	
<b>Total</b>		<b>46.27</b>	-	<b>27.17</b>	<b>62.25</b>	-	<b>30.36</b>	
9,MP		Cold zone	9.15	28.20	7.62	0	23.26	0
10,HP			0	195.31	0	11.70	41.54	6.61
10,LP	2.11		57.43	0.735	1.79	39.50	0.91	
10,MP	14.11		57.43	5.77	5.05	40.95	2.90	
11,LP	28.41		41.88	15.52	21.68	39.29	12.63	
13,LP	25.63		38.85	15.49	3.73	38.82	2.25	
13,MP	0		92.32	0	5.17	39.11	3.10	
13,HP	0		92.32	0	12.19	39.07	7.32	
<b>Total</b>	<b>79.41</b>	-	<b>45.13</b>	<b>61.31</b>	-	<b>35.72</b>		
<b>Condenser</b>		126.31	14.36	2.58	128.83	14.36	2.64	
<b>Total</b>		<b>316.54</b>	-	<b>110.29</b>	<b>320.97</b>	-	<b>94.37</b>	

612  
613 Finally, when comparing the cold zone of the HRSG (sections  $i = 9-13$ ) between the RC and  
614 OS configurations (Figs. 8 and 9, respectively), it can be seen that both the number of heat exchangers  
615 and their configurations, as well as the amount of transferred heat and required transfer area, are  
616 different. Precisely, the OS and RC configurations require 7 and 5 heat exchangers, respectively, to  
617 transfer in total 61.31 MW and 79.41 MW, with a total area of 35720 m<sup>2</sup> and 45130 m<sup>2</sup> in each case  
618 (Tables 6 and 7). It is important to note that, although the temperature of the gas stream leaving the  
619 cold zone (section  $i = 13$ , stream #14) in OS is 4.1 K lower than in RC (394.5 vs. 398.6 K), the inlet  
620 temperature is 40.3 K lower (520.4 K vs. 560.7 K), resulting in a lower total heat load (Table 6).  
621 Except for the section  $i = 11$ , which consists of an evaporator in both configurations, Fig. 9 shows that  
622 the remaining sections ( $i = 10, 13$ ) are composed of 3 heat exchangers, in which the gas stream

623 exchanges heat in parallel with each of the circulating fluids at the three pressure levels (3 economizers  
624 in the section  $i = 13$  and 2 economizers and 1 reheater in the section  $i = 10$ ), unlike what is observed in  
625 Fig. 8 for RC, where only 1 heat exchanger is present in the section  $i = 13$  (economizer) and 2 heat  
626 exchangers in the section  $i = 10$  (economizer and reheater). Figure 9 clearly shows that the circulating  
627 fluid stream splits and enters the section  $i = 1$  in OS at the three pressure levels (LP, MP, and HP),  
628 unlike what happens in RC (Fig. 8), where the circulating fluid stream enters the section  $i = 1$  at the LP  
629 level only (stream #1). As indicated in Fig. 8 for RC, once the stream #1 is preheated in the  
630 economizer (13,LP), it is divided into the stream #3, which enters the evaporator (11,LP), and stream  
631 #58, which starts circulating at the MP level by the pump P1 that is selected from the model.  
632 Afterward, the stream #20 leaving the economizer (9,MP) is divided into the stream #21, which enters  
633 the evaporator (7,MP), and stream #65, which starts circulating at the HP level by the pump P6.

634 The T-H diagrams (Fig. 10) allow to see how the temperatures of the circulating fluids  
635 (water/steam) corresponding to the three pressure levels and the temperatures of the gas stream are  
636 distributed along the HRGS to transfer the amount of heat needed in each piece of equipment, in order  
637 to satisfy the total energy balance and obtain the necessary driving forces for a minimal total heat  
638 transfer area. Comparing the trends shown by the process units that are present in both configurations  
639 – (13,LP), (11,LP), (10,MP), and (10,LP) –, it can be concluded that, except for exchanger (10,LP), all  
640 of them have a heat load and an associated transfer area in RC greater than in OS. Differently, the heat  
641 exchanger (10,LP) presents the highest heat load but the lowest heat transfer area.

642  
643 As a summary of the analysis performed in each zone of the HRSG, it can be concluded that,  
644 although the total heat loads of the HRSG corresponding to both the RC and OS configurations are  
645 very similar (190.23 MW and 192.14 MW, respectively), the total heat transfer area required in OS is  
646 14.82% lower than in RC (91740 m<sup>2</sup> vs. 107700 m<sup>2</sup>). This is due to the inclusion in OS of 4 heat  
647 exchangers more than in RC, making it possible to modify the RC configuration, include parallel  
648 exchanges along the HRSG, and obtain more appropriate driving forces (temperature differences at the  
649 cold and hot sides) in each heat exchanger. Compared to the RC solution, the heat transfer area in the  
650 hot and cold zones of the HRSG required in the OS solution is 19160 m<sup>2</sup> smaller, but it is 3190 m<sup>2</sup>  
651 larger in the intermediate-temperature zone, resulting in a net reduction of 15970 m<sup>2</sup> in the HRSG. The  
652 results listed in Table 7 corresponding to the condenser indicate that the OS solution requires  
653 transferring 128.83 MW with an area of 2640 m<sup>2</sup>, compared with 126.31 MW and 2580 m<sup>2</sup>,  
654 respectively, required in the RC solution.

655 **Insert Figure 10**

656 **Table 8.** Comparison of the operating conditions in RC and OS configurations.

water stream	RC			OS		
	P (bar)	T (K)	m (kg/s)	P (bar)	T (K)	m (kg/s)
1	6.0	330.15	59.421	4.024	330.15	10.166
5	6.0	431.98	13.620	4.024	416.98	10.166
6	6.0	431.98	13.620	4.024	416.98	10.166
7	6.0	501.15	13.620	4.024	498.87	10.166
12	6.0	501.15	13.620	4.024	526.46	10.166
14	54.387	330.15	0	25.01	416.60	14.166
18	54.387	501.15	45.801	25.01	497.13	14.166
23	54.387	542.40	15.353	25.01	497.13	14.166
24	54.387	542.40	15.353	25.01	497.13	14.166
25	54.387	542.40	15.353	25.01	506.78	47.80
26	54.387	603.44	15.353	25.01	521.70	47.80
27	54.387	602.27	45.801	25.01	521.70	47.80
28	54.387	624.95	45.801	25.01	609.98	47.80
31	54.387	624.95	45.801	25.01	619.16	47.80
32	54.387	768.15	45.801	25.01	675.74	47.80
34	54.387	768.15	45.801	25.01	748.23	47.80
36	168.525	330.15	0	151.451	416.66	33.634
40	168.525	330.15	0	151.451	496.29	33.634
46	168.525	603.15	30.449	151.451	496.29	33.634
48	168.525	624.73	30.449	151.451	616.08	33.634
49	168.525	624.73	30.449	151.451	616.08	33.634
50	168.525	768.15	30.449	151.451	673.88	33.634
51	168.525	768.15	30.449	151.451	751.16	33.634
52	54.387	601.68	30.449	25.01	511.11	33.634
72	6.0	489.65	45.801	4.024	516.79	47.80
73	6.0	492.27	59.421	4.024	518.49	57.966
74*	0.1733	330.15	59.421	0.1733	330.15	57.966
75	0.1733	330.15	59.421	0.1733	330.15	57.966

657 \* Stream with steam quality: 0.8988 in RC and 0.9398 in OS.

658

659 Finally, Table 9 compares the power generation in each steam turbine and the power  
 660 consumption in each pump obtained in both solutions. As can be seen, the net power generation in  
 661 both solutions is 63.026 MW, which is obtained in OS by producing 63.768 MW in the three steam  
 662 turbines (ST1, ST2, and ST3) since an amount of 0.742 MW is required to operate the pumps P8, P9,  
 663 and P10. While an amount of 64.001 MW is generated in RC, since the total consumption of the three  
 664 pumps (P1, P6, and P10) is 0.975 MW.

665

666

**Insert Figure 11**

667 **Table 9.** Comparison of the electric power generated and required in RC and OS configurations.

Turbine	W [MW]	
	RC (Franco and Giannini, 2006)	OS (This work)
HP steam turbine (ST1)	8.307	13.364
MP steam turbine (ST2)	24.329	21.784
LP steam turbine (ST3)	31.365	28.619
Total	64.001	63.768
Pump		
P1	0.325	0
P2	0	0
P3	0	0
P4	0	0
P5	0	0
P6	0.603	0
P7	0	0
P8	0	0.689
P9	0	0.048
P10	0.047	0.005
Total	0.975	0.742
Net electric power	63.026	63.026

668

669 Figure 11 illustrates the contribution of each steam turbine to the total power generation. In  
 670 both solutions, the largest fraction of the generated power is produced by the LP steam turbine (ST3)  
 671 and the lowest fraction by the HP steam turbine (ST1). Also, it can be seen that the HP steam turbine  
 672 generates more power in OS than in RC, contrary to what happens with the MP and LP steam turbines.

673 Table 10 summarizes the main differences between the RC and OS solutions.

674

675 **Table 10.** Main optimal (discrete and continuous) values associated with the synthesis and design of  
 676 the HRSG obtained in the RC and OS solutions.

	RC	OS
Total number of heat exchangers	13	18
Economizers	5	6
Evaporators	3	3
Superheaters	5	9
Number of sections with parallel heat exchangers	4	6
Number of inlet streams of the working fluid	1	3
Number of pumps	3	3
Location of the steam leaving turbine ST1 for reheating	After the first superheater in the MP level	After the evaporator in the MP level
Total flow rate of the working fluid (kg/s)	59.421	57.966
Total heat recovered in HRSG (MW)	190.23	192.14
Total heat transfer area required in HRSG ( $\times 10^3$ m <sup>2</sup> )	110290	94370
Total power generated in steam turbines (MW)	64.00	63.77
Total power required by pumps (MW)	0.975	0.742

677 **5.4 Comparison of results considering an existing CCPP.**

678 The proposed model was solved considering data reported in Almutairi et al. (2015)  
679 corresponding to a single block of the Sabiya CCPP, in Kuwait, which includes a 3P HRSG with 14  
680 heat exchangers arranged in series. Given the total electric power generated by the steam turbines –  
681 125.39 MW per HRSG i.e. 250.78 MW in total with two HRSGs – and the heat load – 351.69 MW  
682 required in each HRSG, the optimization problem consisted in finding the optimal HRSG  
683 configuration and operating conditions that minimize the total heat transfer area. The model is solved  
684 by allowing an economizer in each pressure level ( $EC_j=1$  in Eq.(22) for  $j=LP, MP, HP$ ), a superheater  
685 in the low pressure level and a superheater in the high pressure level ( $SH_j=1$  in Eq.(23) for  $j=LP,HP$ ),  
686 two superheaters in the medium pressure level ( $SH_j=2$  in Eq.(23) for  $j=MP$ ), and a maximum value of  
687 2 heat exchangers operating in parallel in each HRSG section ( $PE_i=2$  in Eq.(21)  $\forall i$ ).

688 **Insert Figure 12**

689  
690 Figure 12 shows the obtained best configuration and the optimal operating conditions and sizes.  
691 Table 11 compares the number of heat exchangers involved in the Sabiya CCPP with that obtained in  
692 the optimal solution and the corresponding values of total heat transfer area required in each pressure  
693 level. Table 12 compares the contribution of each steam turbine to the desired electric power  
694 generation (125.39 MW). In Tables 11 and 12, the values of heat transfer area and electric power  
695 generated by each turbine of the Sabiya CCPP are calculated using the operating condition values  
696 reported in Almutairi et al. (2015) and the overall heat transfer coefficient values assumed in this  
697 study. In addition, the operating pressures in the three evaporators of the HRSG are the same as in  
698 Almutairi et al. (2015).

699 Regarding the HRSG configuration, Fig. 12 shows that the optimal solution requires 4 heat  
700 exchangers less than Almutairi et al. (2015) (10 vs. 14) and that the superheater in the LP level (6,LP)  
701 and the economizer in the HP level (6,HP) are arranged in parallel (section #6) while the remaining  
702 heat exchangers are arranged in series. The optimal configuration requires 245330 m<sup>2</sup> of heat transfer  
703 area, which represents by around 74% of that calculated for the Sabiya CCPP (331820 m<sup>2</sup>).

704 The total mass flowrate of the working fluid in the steam cycle obtained in the current solution  
705 is slightly higher than that required in the Sabiya CCPP solution (99.5 kg/s vs. 96.55 kg/s). The flow  
706 rates of the streams leaving the HP, MP, and LP levels (#51, #34, and #12) in the current solution are  
707 40.4 kg/s, 95.1 kg/s, and 4.4 kg/s, respectively, while those in the Sabiya CCPP solution are 74.6 kg/s,  
708 88.36 kg/s, and 8.97 kg/s, respectively.

709

710 **Table 11.** Comparison of the number of heat exchangers and heat transfer area between the obtained  
 711 optimal solution with a solution corresponding to a single block of the Sabiya CCPP (125.39 MW).

	Number of HEXs		Total heat transfer area (x10 <sup>3</sup> m <sup>2</sup> )	
	Sabiya CCPP (Almutairi et al., 2015)	This work	Sabiya CCPP (Almutairi et al., 2015)	This work
Economizers	5	3	189.25	113.49
Evaporators	3	3	107.14	88.89
Superheaters	6	4	35.42	42.95
Total	14	10	331.82	245.33

712

713

714 **Table 22.** Comparison of the electric power generated by steam turbines (125.39 MW per HRSG).

	Sabiya CCPP (Almutairi et al., 2015)	This work
Total net electric power (MW)	125.39	125.39
HP turbine	29.49	16.89
IP turbine	39.52	46.46
LP turbine	29.49	62.04

716

717 As shown in Table 12, the contribution of each steam turbine to the total electric power  
 718 generation is different in both solutions. In the current solution, the largest contributor is the LP steam  
 719 turbine with 62.04 MW, followed by the IP turbine with 46.46 MW. However, in the Sabiya CCPP  
 720 solution, the largest contributor is the IP steam turbine with 39.52 MW, followed by the HP and LP  
 721 steam turbines with 29.49 MW each.

722

723 Finally, it should be mentioned that the proposed approach of combining GDP with external  
 724 routines for calculating the thermodynamic properties of fluids could be applied to other systems such  
 725 as seawater desalination processes, cryogenic energy storage and air liquefaction, heat exchanger  
 726 networks, water treatment processes, refrigeration processes. To this end, the first step is to develop a  
 727 GDP model including the corresponding mass and energy balances as well as the sizing constraints.  
 728 Then, the library containing the calculation of the thermodynamic properties of fluids is called from  
 729 GAMS by using *\$funclibin*. For other applications, it is possible to create new libraries (advanced  
 730 user) or to use the wide variety of existing libraries (common user).

731 Beside the library IAPWS.dll employed in this work, the authors developed three general-  
 732 purpose thermodynamic libraries that are available for their usage in the GAMS World Forum

733 (<https://forum.gamsworld.org/viewtopic.php?t=11547&p=27414>). The former is called *RaoultLaw.dll*  
734 and is applicable for ideal solution. The second one is called *NRTLideal.dll* and includes the  
735 Nonrandom Two-Liquid (NRTL) activity coefficient model which is widely used in phase equilibrium  
736 calculations. And the third one is called *PengRobinson.dll* which includes the Peng Robinson equation  
737 of state. These libraries contain a database of 430 pure compounds.

738

## 739 **6. Conclusions**

740 A superstructure-based representation of three-pressure reheat combined-cycle power plants  
741 was conceived to derive a model of the process for simultaneous optimization of the configuration,  
742 design, and operation by applying generalized disjunctive programming and mixed-integer nonlinear  
743 programming formulations.

744 The optimization problem consisted in determining the way the heat exchangers and pumps of  
745 the heat recovery steam generator (HRSG) should be connected and the operating conditions and sizes  
746 of each process unit that minimize the total heat transfer area of the HRSG, while achieving a fixed,  
747 specified total net power generation level, given a flow rate and inlet temperature of the flue gas.

748 The superstructure model includes the possibility of selecting parallel, series, or combined  
749 parallel-series arrangements of heat exchangers in the hot, cold, and medium-temperature zones of the  
750 HRSG, as well as allowing the presence of more than one economizer and superheater at each pressure  
751 level. The inlet of the working fluid to the HRSG coming from the steam turbines for reheating can be  
752 located in the low-pressure level only, or in the low- and medium-pressure levels, or in all three  
753 pressure levels.

754 A model solution strategy based on a local search optimization algorithm based on the  
755 generalized reduced gradient was implemented in the General Algebraic Modeling System platform  
756 (GAMS). Extrinsic functions executed outside GAMS from dynamic-link libraries (DLL) – coded in  
757 the C programming language – were used to estimate the thermodynamic properties of the working  
758 fluids (flue gas and water/steam).

759 As a main result, improved process configurations of triple-pressure reheat HRSGs were  
760 obtained compared with respect to the reference cases reported in the literature.

761 The optimal solution obtained from the proposed superstructure was compared with a first  
762 reference case reported in the literature. Although the total heat loads in the HRSG in both studies are  
763 very similar (190.23 MW in the reference case and 192.14 MW in this work), the total heat transfer  
764 area required in this work is around 15% lower than the required in the reference case (91.74 m<sup>2</sup> vs.  
765 107.70 m<sup>2</sup>). This is due to the inclusion of 4 heat exchangers more than the reference case, making it

766 possible to modify the configuration, include parallel exchanges along the HRSG, and obtain more  
767 appropriate driving forces in each heat exchanger. In both cases, the largest fraction of the generated  
768 power is produced by the low-pressure steam turbine and the smallest fraction by the high-pressure  
769 steam turbine.

770 Also, the optimal solution obtained from the proposed superstructure was compared with a  
771 second reference case corresponding to a single block of the existing Sabiya CCPP, located in Kuwait.  
772 For a same electric power generation (125.39 MW) and a total heat load in the HRSG (351.69 MW),  
773 the obtained optimal solution included 4 heat exchangers less (10 vs. 14) with a heat transfer area in  
774 the HRSG 26% less (245330 m<sup>2</sup> vs. 331820 m<sup>2</sup>).

775 This paper contributes to the literature with a solution strategy and a GDP mathematical  
776 optimization model of natural gas combined-cycle power plants operated at three pressure levels and  
777 the corresponding solution strategy, and with novel configurations of HRSG.

778 The proposed model relies on the calculation of several properties of streams through  
779 thermodynamic models that have several parameters subject to uncertainties. Additionally, the overall  
780 heat-transfer coefficients are subject to uncertainties. The discussed optimal designs may vary with  
781 these uncertainties. Therefore, sensitivity and uncertainty analysis are required to identify when and  
782 which parameters play a significant role in the error propagation. To this end, random sampling  
783 techniques such as Monte Carlo (MC) will be considered in future works.

784

## 785 **Acknowledgments**

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789

## 790 **Notation**

### 791 **Sets**

792 HE(*i,j,k*) contains the heat exchangers located in the section *i* and pressure level *j* with the stream *k*

793 EV(*i*) contains the sections where the evaporators are located.

794 PUMP(*n,k,k'*) contains the pump number *n* with the corresponding inlet stream *k* and outlet stream *k'*

795 NHNP(*i,j,n*) contains the economizers located in the section *i* and pressure level *j* associated to the  
796 pump *n*

797 HNP(*i,j,n*) contains the heat exchangers located in the section *i* and pressure level *j* associated to the  
798 pump *n*

799 **Indices**

- 800  $i$  sections of the heat recovery steam generator  
801  $j$  pressure levels in the heat recovery steam generator  
802  $k$  water stream number  
803  $n$  pump number

804 **Positive Variables**

- 805  $A_{\text{COND}}$  Heat transfer area of the condenser in the Rankine cycle ( $\text{m}^2$ )  
806  $A_{i,j}$  Heat transfer area of corresponding to the heat exchanger located in the section  $i$  and pressure  
807 level  $j$  ( $\text{m}^2$ )  
 $h_i^G$  Enthalpy of the flue gas stream  $G$  in the section  $i$  ( $\text{kJ kg}^{-1}$ )  
808  $h_k$  enthalpy of the stream  $k$  ( $\text{kJ kg}^{-1}$ )  
809  $m_k$  mass flowrate of the stream  $k$  ( $\text{kg s}^{-1}$ )  
810  $m^G$  mass flowrate of the flue gas stream  $G$  ( $\text{kg s}^{-1}$ )  
811  $Q_{i,j}$  heat load in the heat exchanger located in the section  $i$  and pressure level  $j$  (MW)  
812  $\Delta T_{i,j}$  driving force corresponding to the heat exchanger located in the section  $i$  and pressure level  $j$   
813 (K)  
 $T_i^G$  temperature of the flue gas stream  $G$  in the section  $i$  (K)  
814  $T_k$  temperature of the stream  $k$  (K)  
815  $W$  net electrical power (MW)  
816  $\Delta T_{i,j}$  driving force corresponding to the heat exchanger located in the section  $i$  and pressure level  $j$   
817 (K)

818 **Variables**

- 819 THTA total heat transfer area ( $\text{m}^2$ )

820 **Binary variables**

- 821  $x_{i,j}$  existence of the heat exchanger in the section  $i$  and pressure level  $j$   
822  $y_n$  existence of the pump  $n$   
823  $z_k$  existence of the stream  $k$  associated to reheating

824 **Parameter**

- 825  $EC_j$  maximum number of economizers operating in the pressure level  $j$   
826  $PE_i$  maximum number of heat exchangers operating in parallel at the section  $i$   
827  $SH_j$  maximum number of superheaters operating in the pressure level  $j$   
828  $U_{i,j}$  overall heat transfer coefficient ( $\text{W m}^{-2} \text{K}^{-1}$ )

829 **Acronyms**

830	BARON	branch-and-reduce optimization navigator
831	CCPPs	combined-cycle power plants
832	CHP	combined heat and power
833	DLL	dynamic-link library
834	GA	genetic algorithms
835	GAMS	general algebraic modeling system
836	GDP	generalized disjunctive programming
837	HP	high pressure
838	HRSGs	heat recovery steam generators
839	IGCC	integrated gasification combined cycle
840	LP	low pressure
841	MINLP	mixed-integer nonlinear programming
842	MP	medium pressure
843	NGCC	natural gas combined cycle power plants
844	NLP	nonlinear programming
845	ORC	organic Rankine cycles
846	PUMP	pump
847	SA	simulated annealing
848	SBB	standard branch and bound
849	ST1	steam turbine 1
850	ST2	steam turbine 2
851	ST3	steam turbine 3

852

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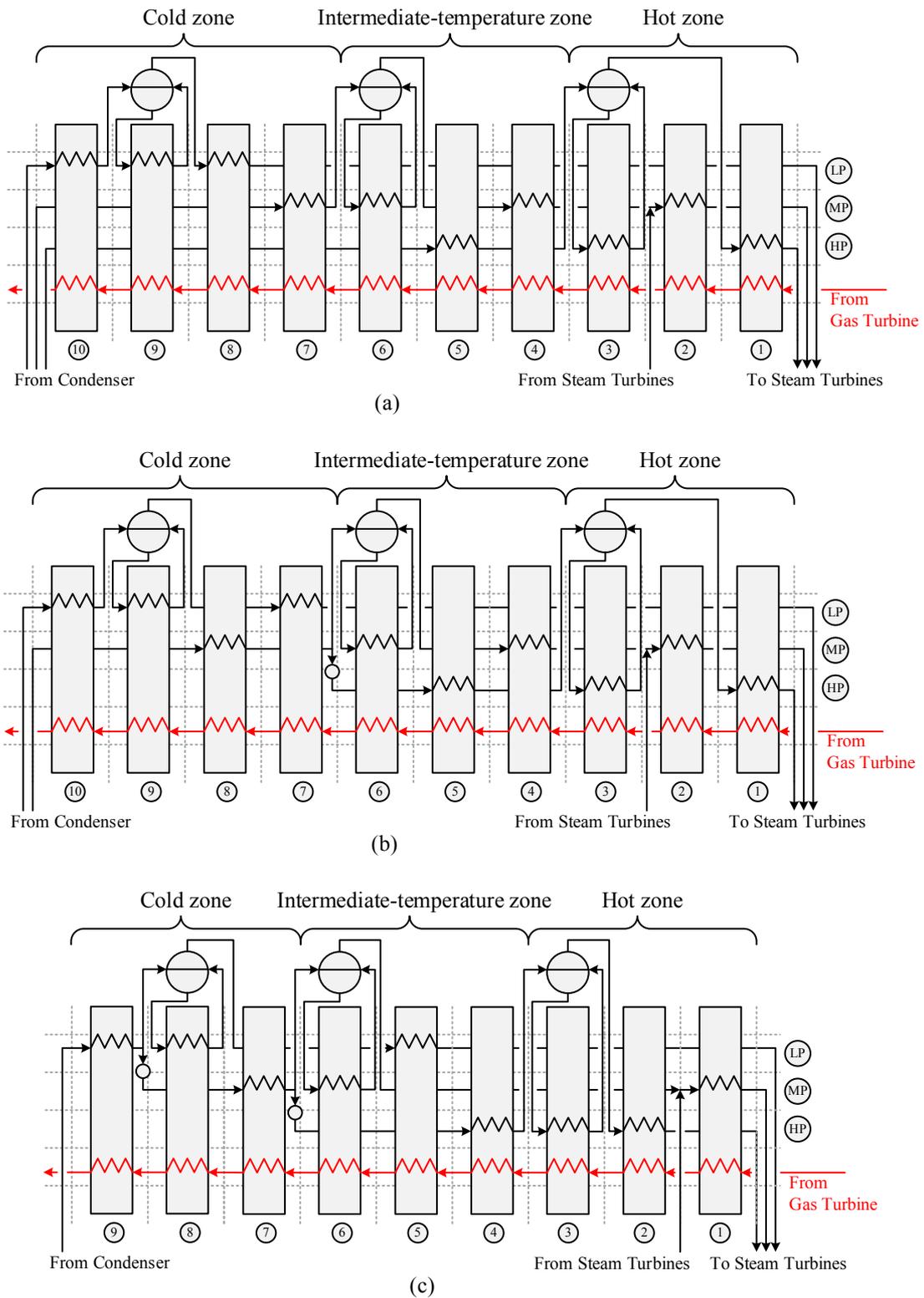
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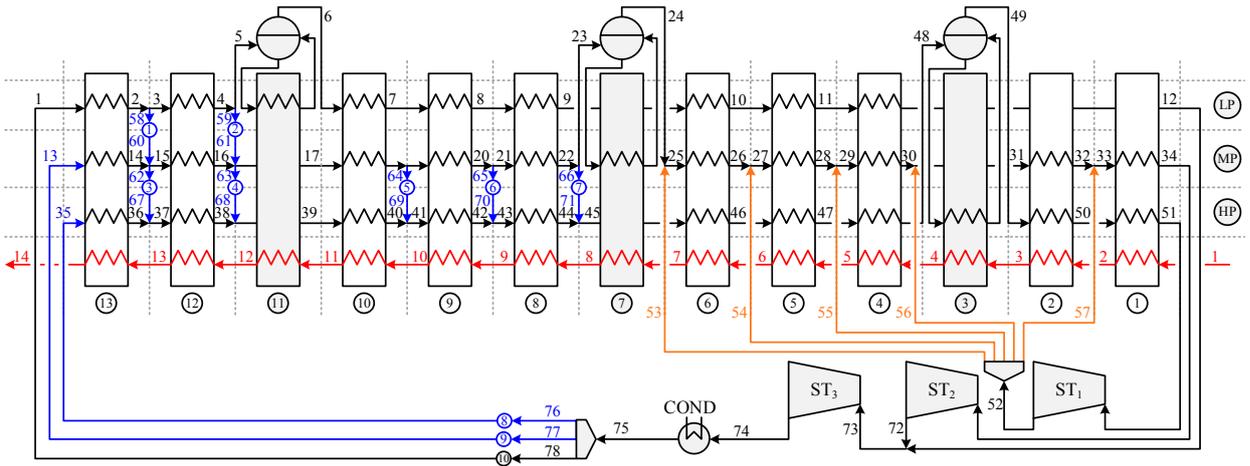
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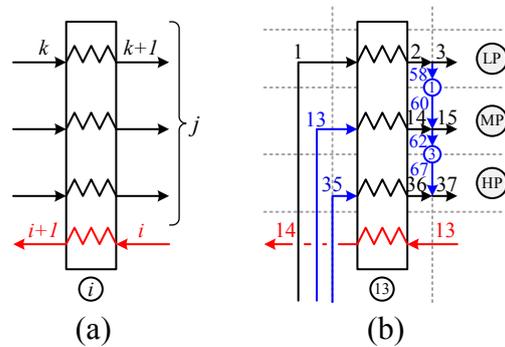
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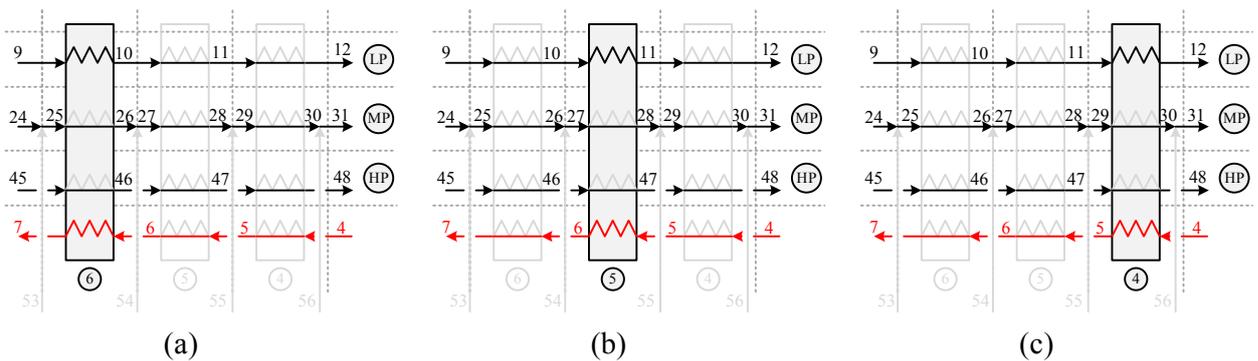
**Figure 1.** Three candidate HRSG configurations differing in the way of feeding the working fluid at the different pressure levels and in the location of some heat exchangers: (a) simultaneous feeds in the three pressure levels, (b) simultaneous feeds in the low pressure (LP) and medium pressure (MP) levels, (c) feed in the LP level.



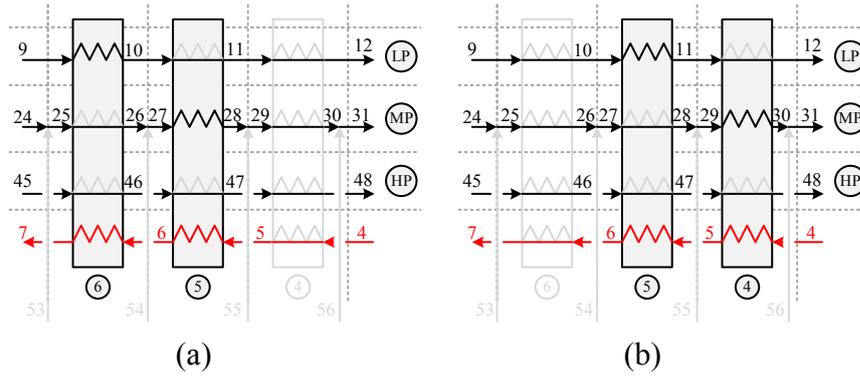
**Figure 2.** Process superstructure representation embedding many alternative HRSG configurations.



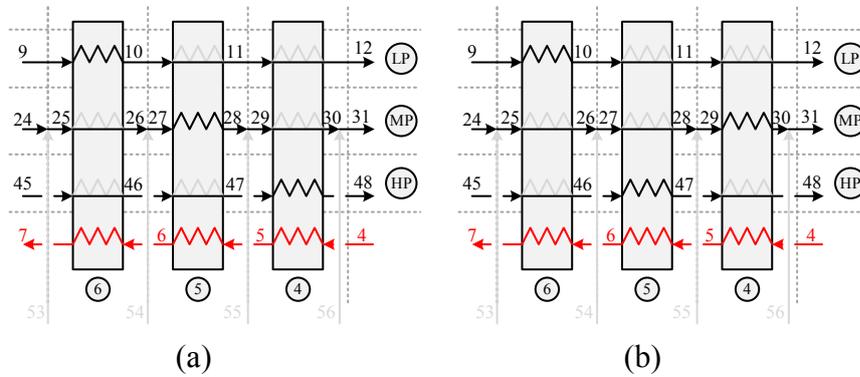
**Figure 3.** Representation and used nomenclature corresponding to a generic section ‘i’ (a) and to the section  $i=13$  as example (b).



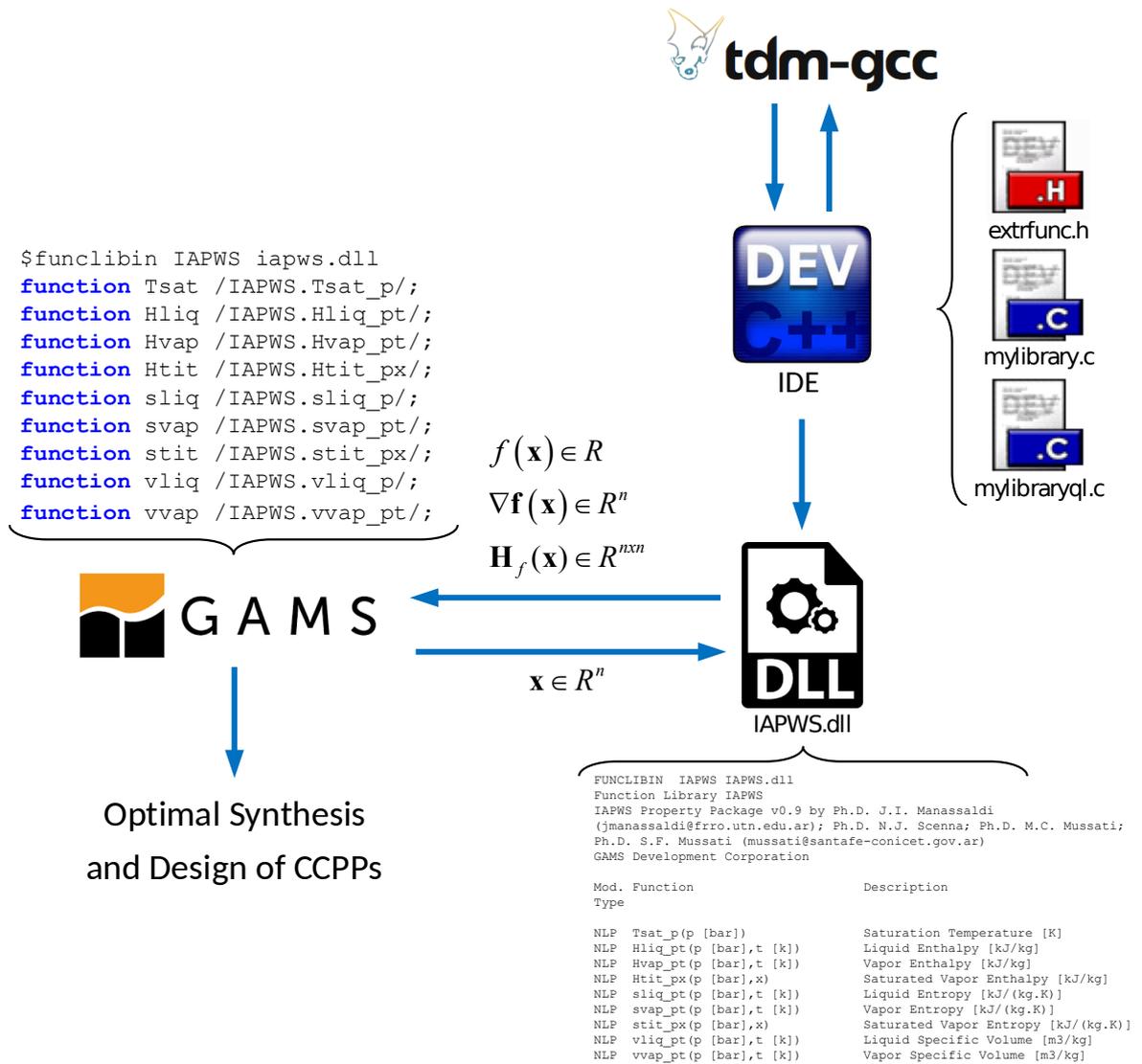
**Figure 4.** Equivalent solutions obtained when only one low-pressure (LP) superheater is selected in the sections  $i=4, 5,$  and  $6$ .



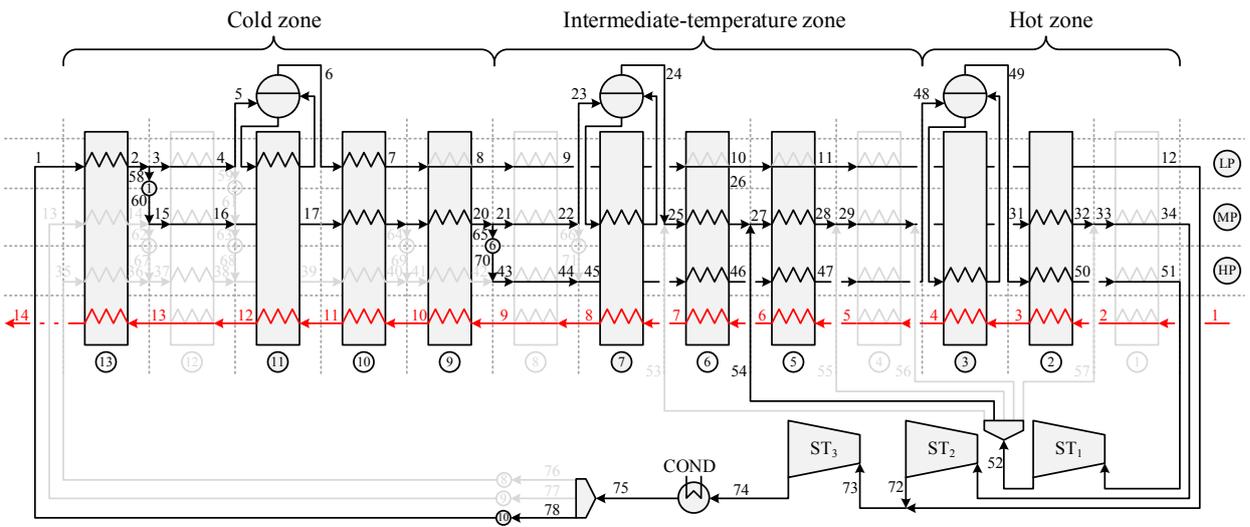
**Figure 5.** Equivalent solutions obtained when two heat exchangers are selected from the sections  $i=4$ , 5, and 6 and at the low-pressure (LP) and medium-pressure (MP) levels.



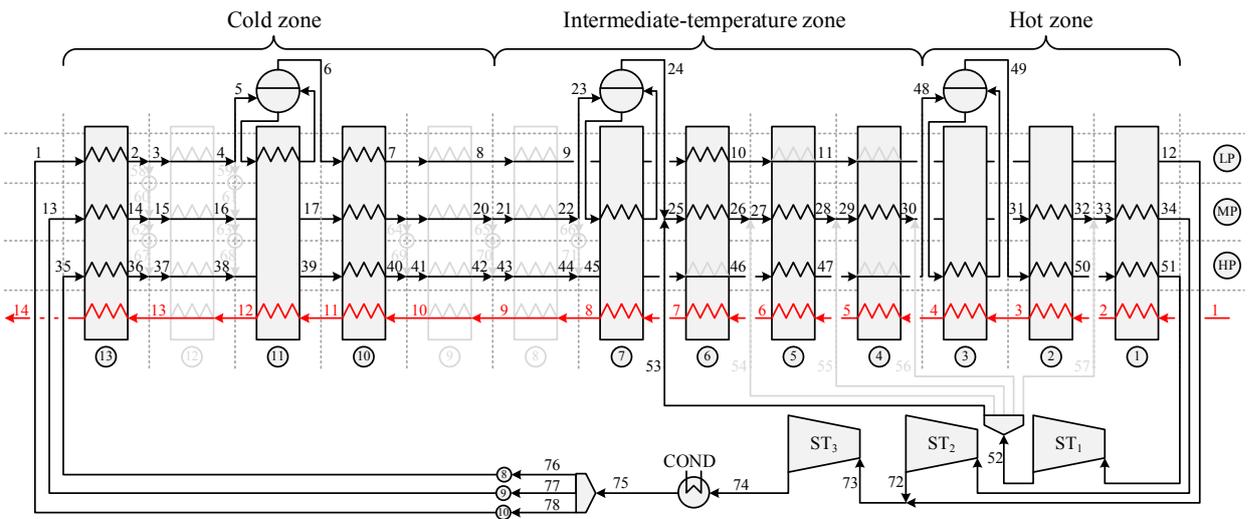
**Figure 6.** Different (no equivalent) solutions obtained when three heat exchangers are selected from the sections  $i=4$ , 5, and 6.



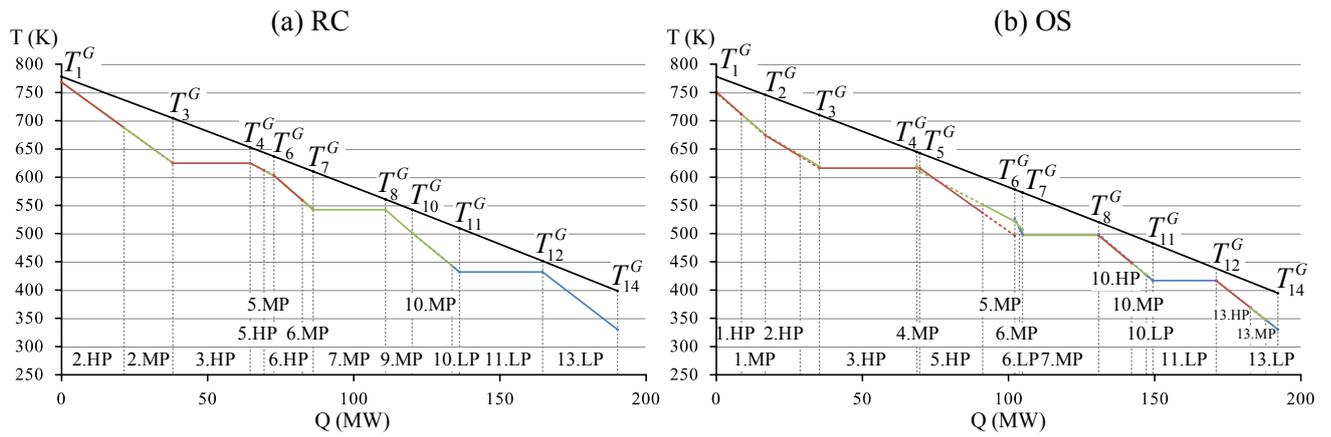
**Figure 7.** Main steps from the declaration to the execution of the extrinsic functions.



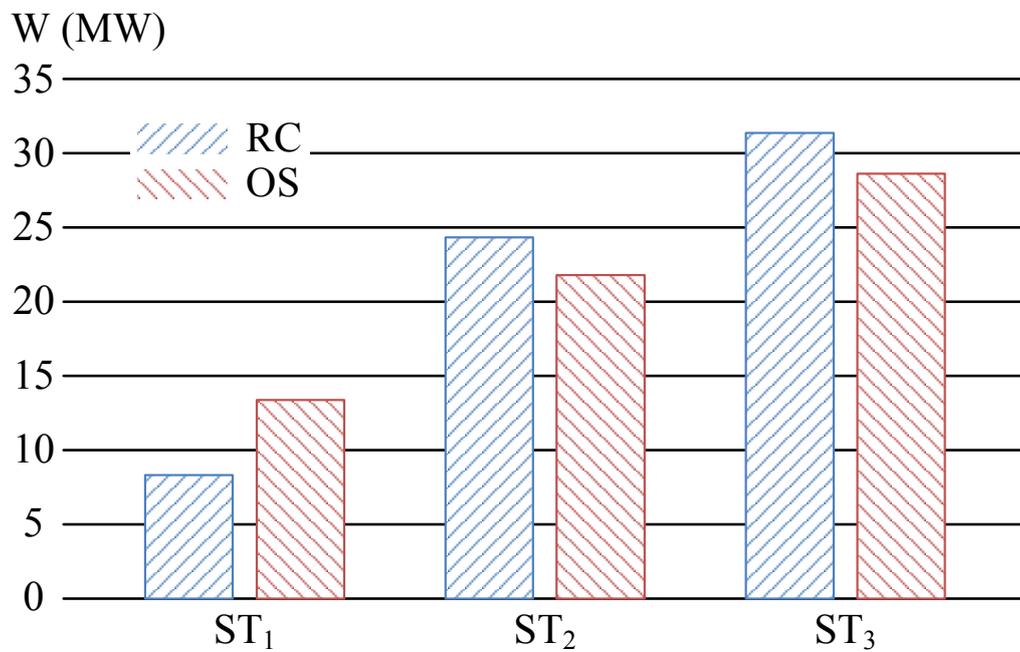
**Figure 8.** RC solution. Optimal configuration discussed in Franco and Giannini (2006).



**Figure 9.** OS solution. Optimal configuration obtained considering the possibility of using parallel heat exchangers and repetition of economizers and superheaters at the same pressure level.



**Figure 10.** Temperature-enthalpy (T-H) diagram obtained for each configuration: **(a)** RC (Franco and Giannini, 2006), **(b)** OS (this work).



**Figure 11.** Comparison of the optimal electric power generated in each steam turbine in the configurations RC and OS.



## Figure captions

**Figure 1.** Three candidate HRSG configurations differing in the way of feeding the working fluid at the different pressure levels and in the location of some heat exchangers: **(a)** simultaneous feeds in the three pressure levels, **(b)** simultaneous feeds in the low pressure (LP) and medium pressure (MP) levels, **(c)** feed in the LP level.

**Figure 2.** Process superstructure representation embedding many alternative HRSG configurations.

**Figure 3.** Representation and used nomenclature corresponding to a generic section ‘i’ **(a)** and to the section  $i=13$  as example **(b)**.

**Figure 4.** Equivalent solutions obtained when only one low-pressure (LP) superheater is selected in the sections  $i=4, 5,$  and  $6$ .

**Figure 5.** Equivalent solutions obtained when two heat exchangers are selected from the sections  $i=4, 5,$  and  $6$  and at the low-pressure (LP) and medium-pressure (MP) levels.

**Figure 6.** Different (no equivalent) solutions obtained when three heat exchangers are selected from the sections  $i=4, 5,$  and  $6$ .

**Figure 7.** Main steps from the declaration to the execution of the extrinsic functions.

**Figure 8.** RC solution. Optimal configuration discussed in Franco and Giannini (2006).

**Figure 9.** OS solution. Optimal configuration obtained considering the possibility of using parallel heat exchangers and repetition of economizers and superheaters at the same pressure level.

**Figure 10.** Temperature-enthalpy (T-H) diagram obtained for each configuration: **(a)** RC (Franco and Giannini (2006)), **(b)** OS (this work).

**Figure 11.** Comparison of the optimal electric power generated in each steam turbine in the configurations RC and OS.

**Figure 12.** Optimal solution obtained for design specifications corresponding to the Sabiya combined-cycle power plant (Almutairi et al., 2015).

**Author statement**

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