1	Cost-based comparison between membrane systems and chemical absorption processes for
2	CO ₂ capture from flue gas
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13 Abstract

In this paper, a cost comparison between two-stage membrane-based systems and amine-14 15 based chemical absorption processes for post-combustion CO₂ capture from flue gas is performed for the same design specifications. The comparison is based on the optimal solutions obtained by 16 17 minimization of the total annual cost while meeting a target CO₂ recovery level of 90% and a CO₂ purity level of at least 0.95 mole fraction. A wide range of CO₂ concentration values in the feed 18 19 stream was considered, from 0.04 to 0.44 CO₂ mole fraction, which is representative of several CO₂generating processes (natural gas and coal-fired power plants, refinery processes, and cement and 20 21 steel production plants, among other industries). To this end, nonlinear mathematical programming 22 problems formulated for both processes were solved using gradient-based optimization algorithms, which allow the simultaneous optimization of the process configuration, sizes of the pieces of 23 equipment, and operating conditions. 24

Based on the considered cost model, the chemical absorption process resulted to be the 25 preferred technology -in terms of the total annual cost- over the membrane system when treating 26 diluted flue gases, with feed CO_2 mole fractions up to about 0.23; conversely, the membrane-based 27 system becomes the cheapest technology for CO₂ mole fractions higher than 0.24. For instance, for 28 0.16 mole fraction of CO₂, the optimal total annual cost obtained for the amine-based process is 29 30 23.24% lower than that for the membrane-based system; but for 0.41 mole fraction of CO_2 , the 31 optimal total annual cost obtained for the membrane system is 30.75% lower than that for the 32 chemical absorption process.

Based on this, the proposed optimization models constitute a valuable decision-support tool for designing, simulating, and optimizing amine-based chemical absorption processes and membrane-based systems for post-combustion CO₂ capture, and the obtained results can serve as a 36 guide to assist in selecting the best between both technologies, in terms of cost, for a particular37 industrial case.

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Keywords: Greenhouse gas emissions; CO₂ capture; Membrane; Chemical absorption; Amines;
Optimization; NLP; GAMS.

41

42 I. Introduction

43 Carbon dioxide (CO₂) capture and storage (CCS) and CO₂ capture and utilization (CCU) are 44 the most important strategies to reduce the global CO₂ emissions. They differ in the final destination 45 of the captured CO₂. In CCS, the captured CO₂ is transported to suitable burial sites. In CCU, the 46 captured CO₂ is utilized to produce value-added products. Recent advances in CCS and CCU can be 47 found in Cuéllar-Franca and Azapagic [1] and Kravanja et al. [2].

48 Carbon dioxide capture by means of amines is the most mature technology available today. Since it can be assembled at the end-of-the-pipe of existing power plants, it is appropriate to retrofit 49 50 them without requiring significant modifications [3]. However, this technology requires high energy consumption for amine regeneration [4,5]. For this reason, a considerable research effort has been 51 dedicated to minimizing the energy requirement. In this sense, some of the research activities are: a) 52 the optimization employing advanced computational tools considering the entire process [6], b) the 53 development of novel solvents at laboratory scale [7,8] and also by using computer-aided molecular 54 design (CAMD) [9–14], and c) the evaluation of several solvents in pilot plants [15–17]. In addition, 55 the amine loss and degradation, and the unavoidable equipment corrosion are also weaknesses of this 56 57 technology [18-20].

58 Membrane-based processes for post-combustion CO₂ capture have been studied by several researchers [21–29]. Unlike the amine-based systems, no steam is required to operate the separation 59 60 process and no environmental impact is caused by amine loss and degradation. However, with the current state of membrane development, high CO₂ capture levels and product purity values cannot be 61 62 simultaneously attained using a single-stage membrane configuration [24,30]. This limitation can be 63 overcome by means of multi-stage membrane configurations [22,24,29,30]. Despite the electric 64 power required for permeate recompression, Arias et al. [22], He et al. [24], and Zhai and Rubin [29] showed that the multi-stage membrane configurations compete with the amine-based post-65 66 combustion capture processes -in terms of energy consumption- to obtain same target levels of CO₂ recovery and purity. This comparison is based on the equivalent power of the steam used for the 67

amine regeneration in the absorption-based capture process and the electric power required by the
membrane-based capture process. The importance to optimize multi-stage membrane configurations
by using a cost-based approach and to consider all the trade-offs among the process variables at the
design stage has been highlighted by Arias et al. [22] and Lindqvist et al. [30].

This paper is a follow-up of the works previously presented by Arias et al. [22] and Mores et al. [31]. It proposes the application of the mathematical programming and simultaneous optimization approaches presented in Arias et al. [22] for multi-stage membrane systems and in Mores et al. [31] for amine-based chemical absorption processes to compare the optimal solutions obtained for both processes for the same design specifications. A wide range of CO₂ concentration values in the feed stream is investigated, which is representative of several CO₂-generating processes such as natural gas and coal-fired power plants, refinery processes, and cement and steel production plants.

The paper is outlined as follows. Section 2 describes the examined processes. Section 3 summarizes the main assumptions, considerations, and equations of the process mathematical models. Section 4 states the optimization problems to be solved. Section 5 presents and discusses the obtained results. Finally, Section 6 points out the conclusions of the investigation and future works.

83

84 **2. Process description**

2.1. Membrane-based systems for post-combustion CO₂ capture

Pressure-driven membrane processes operate on the principle of selective permeation, where 86 components with higher permeation rates pass through the membrane module faster than components 87 with lower permeation rates. The driving force for permeation is the partial pressure difference of the 88 gas components between the feed and permeate sides, which can be generated in different ways: a) 89 90 compression of the feed stream, b) application of vacuum on the permeate side, and c) application of a sweep gas on the permeate side. Due to the low pressure and CO₂ concentration of power plant flue 91 gases, the driving force is too low for membrane processes for post-combustion CO_2 capture. 92 Compression is usually preferred because the capital cost of vacuum piece of equipment is twice -or 93 94 even more-higher than that of compression piece of equipment of the same power rating [26], but 95 needs higher power consumption [32].

Membrane processes show increased separation performances with increasing CO₂
 concentration in the feed mixture [33–35].

The energy required for recovering CO₂ by means of membrane processes depends on several
factors such as the target purity level, flue gas composition, and membrane selectivity for CO₂. Even

though they are considered as energy-saving processes compared to the chemical absorption
 processes, they can require high energy consumptions for CO₂ capture from feed streams with low
 CO₂ partial pressures, especially when high levels of both recovery and purity are desired.

103 Membrane-based processes are simple and easy to operate. There are no moving parts (except 104 for pumps and compressors) and, therefore, no complex control schemes are required.

105 Figure 1 shows a schematic of the studied two-stage membrane system. The incoming gas stream F is directed to the feed compressor COM1 to increase the pressure and, afterward, it is 106 directed to the membrane stage MEM1 which generates two streams: a CO₂-lean retentate stream R1 107 108 and a CO₂-enriched permeate stream P1. Vacuum is usually applied at the permeate side through the vacuum pump PUMP1 to increase the driving force for the permeation process. The retentate stream 109 is passed through the expander EXP to recovery mechanical power and it is emitted to the 110 environment afterward. The permeate stream obtained in MEM1 increases its pressure by passing 111 through the compressor COM2 and it is fed to the second membrane stage MEM2. The resulting 112 113 retentate stream is recycled back to MEM1 and it is mixed with the incoming feed. While the permeate stream is compressed in COMP to the conditions required for transportation. 114

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- 117
- 118

Figure 1. Schematic of a two-stage membrane system for post-combustion CO₂ capture.

119 Multi-stage membrane systems are superior than single-stage ones to achieve both high 120 recovery and product purity levels but require greater membrane area and higher power for 121 compression. Indeed, there are several trade-offs among the CO_2 recovery and purity, membrane 122 area, and power required by the compressor and/or vacuum pump –depending on how the CO_2 partial pressure difference across the membrane is created— that have to be elucidated at the process synthesis and design stages. The lower the pressure difference, the lower the power requirement but the higher the membrane area. The number of trade-offs increases with the number of stages since more relationships are established among the process variables.

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128 2.2. Amine-based chemical absorption processes for post-combustion CO₂ capture

Figure 2 shows a schematic of the conventional chemical absorption process for post-129 combustion CO₂ capture using amines as solvent. It consists of three major sections: absorption, 130 131 amine regeneration, and CO₂ final compression. The main process units are the absorption column ABS and the regeneration column REG with a steam reboiler REB and a condenser COND. Packed 132 133 columns are usually used in order to improve the interphase contact requiring the inclusion of additional pieces of equipment. A blower BLOW is required to propel the feed gas stream to the 134 135 absorber ABS and a pump RAP is required to move the liquid stream to the regenerator REG. The CO₂ lean-rich amine solution heat exchanger AAHE and the CO₂ lean amine-water cooler AWHE 136 137 are included for energy integration. The flue gas is fed at the bottom of the absorber ABS and the lean amine solution is fed at the absorber top. The CO₂ content in the gas stream is chemically 138 139 absorbed by the amine forming a carbamate ion (MEACOO⁻). The amine is regenerated in the REG 140 and sent back to the ABS. The cleaned flue gas is emitted to the environment from the absorber top. The CO₂-enriched amine solution leaving the absorber bottom is pumped by RAP through the 141 solution heat exchanger AAHE –where is preheated with the CO₂-lean amine solution leaving the 142 REG- to the top of the regeneration column REG. The CO₂-lean amine solution is directed from the 143 steam reboiler REB of the REG to the top of the ABS through the heat exchanger AAHE and the 144 cooler AWHE for further CO₂ removal. While the gas mixture of steam, MEA, and CO₂ 145 is directed to the condenser COND for recovering and recycling H₂O and MEA. Finally, the obtained 146 CO₂-enriched stream leaving the COND is conveyed to successive stages of compression and inter-147 cooling to achieve a pressure of 13.8 MPa for pipe transportation. 148



Figure 2. Schematic of a conventional amine-based chemical absorption process for postcombustion CO₂ capture.

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There are many strong trade-offs among the type of the used solvent, steam temperature and 153 pressure, target CO_2 removal level, sizes of the pieces of equipment (heat exchangers, reboiler, 154 condenser, absorber, regenerator, pumps, and compressors), and operating conditions (flow rates, 155 pressures, and temperatures) that affect the overall efficiency and cost of the absorption-desorption 156 process. For instance, the solvent type and flow rate influence both the ABS and REG. For instance, 157 the higher the solvent concentration, the higher the CO₂ absorption capacity in the ABS with lower 158 flow rates and heat duties in the REG. However, the higher the solvent concentration, the higher the 159 risk of corrosion problems requiring materials more resistant in the ABS and REG and, 160 consequently, higher investments. Then, it is clear that the simultaneous optimization of the process 161 variables is essential to determine the best design of the amine-based CO₂ capture process. 162

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164 **3. Process modeling**

165 **3.1. Membrane-based CO₂ capture process**

166 **3.1.1. Main model assumptions and considerations**

- 167 The main assumptions made to formulate the mathematical model of a membrane unit are as168 follows [22]:
- 169 All components are able to permeate through the membrane.
- 170 The component permeability in the mixture is the same as the pure gas. The permeability does not
- 171 depend on the operating pressure.
- 172 Negligible pressure drops of the feed and permeate streams are assumed along the flow path.

- The high operating pressure value is the same for all the membrane stages. 173
- Plug flow pattern is assumed at both membrane sides. 174
- Isothermal conditions are considered for all membrane stages. 175
- Component mass transfer rate is modeled by the Fick's first law. 176
- 177

178 3.1.2. Mathematical model

179 The main constraints of the mathematical model used in this work to describe a generic membrane stage are presented in Appendix A and the complete mathematical model is provided as 180 181 Supplementary Material to this article.

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3.2. Amine-based CO₂ capture process 183

3.2.1. Main model assumptions and considerations 184

The main assumptions made to formulate the mathematical model of an absorption-185 186 regeneration train are as follows [36–39]:

- The reaction system is modeled by reactions r1 to r7. The first five reactions are equilibrium 187 188 reactions while the last two are considered as pseudo-first-order reactions to take into account the reaction effect on the mass transfer through the enhancement factor. 189

$2H_2O \leftrightarrow H_3O^+ + OH^-$	r1
$2H O + CO \leftrightarrow H O^+ + HCO^-$	r?

$$H_{20} + H_{20}^{-} \leftrightarrow H_{30}^{+} + H_{20}^{-}$$

$$H_{2}O + HCO_{3}^{-} \leftrightarrow H_{3}O^{+} + CO_{3}^{2-}$$

$$H_{3}O + MEAH^{+} \leftrightarrow H_{3}O^{+} + MEA$$

$$r4$$

$$H_2O + MEAH \leftrightarrow H_3O + MEA$$
 14

$$MEACOO^{-} + H_2O \leftrightarrow MEA + HCO_3^{-}$$
r5

$$MEA + CO_2 + H_2O \leftrightarrow MEACOO^- + H_3O^+$$
r6

$$CO_2 + OH^- \leftrightarrow HCO_3^-$$
 r7

- Packed columns are used to carry out the CO₂ absorption and amine regeneration processes. They 190 are modeled as a series of non-equilibrium stages with chemical reactions. 191

- 192 - The height of the columns is calculated by the number of transfer units (NTU) and the height of a 193 transfer unit (HTU).
- 194 - The two-film resistance theory is used to describe the mass transfer phenomena.
- Reboiler and condenser are modeled as equilibrium stages. 195
- The solubility of CO₂ in MEA solutions is estimated using the Kent-Eisenberg model and the 196
- 197 fugacity coefficients are estimated using the Peng-Robinson EOS for multi-component mixtures.

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198 – A maximum temperature of 393 K is allowed in the reboiler to prevent amine degradation and
199 equipment corrosion [40–43].

Dependence of thermodynamic and physico-chemical properties (solubility, density, viscosity,
 diffusivity, fugacity, and enthalpy) with temperature and composition, pressure drop in the
 absorption and regeneration columns, and mass transfer coefficients are calculated by the
 correlations collected by Mores et al. [36,37].

- A centrifugal compressor with five intercooling stages is used for CO₂ compression. The CO₂enriched stream is compressed from the pressure at the regenerator top –which is an optimization variable because it depends on the pressure drop in the regenerator– to 7.38 MPa. Then, the CO₂enriched stream is pumped up to 13.8 MPa for transportation.

208 – Water that is removed in the cooling process is sent back to the capture plant.

209 – The global heat transfer coefficients of the heat exchangers are assumed to be constant values.

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211 **3.2.2. Mathematical model**

The main constraints of the mathematical model used in this work to describe a generic absorption-desorption train are presented in Appendix B and the complete mathematical model is provided as Supplementary Material to this article.

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216 **4. Process optimization**

217 **4.1. Problem statement**

The optimization work consists in obtaining the optimal configuration, operating conditions, and process unit sizes of the studied two-stage membrane system and amine-based chemical absorption process for post-combustion CO_2 capture that minimize the total annual cost to treat a flue gas stream with a flow rate of 22.32 kmol/s and CO_2 concentration values ranging between 0.04 and 0.44 mole fraction, while satisfying a fixed CO_2 recovery level of 90 % and a CO_2 purity level of at least 0.95 mole fraction.

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225 **4.2. Mathematical optimization model**

Formally, the nonlinear mathematical programming (NLP) optimization problem to be solved for each process can be formulated as follows:

Minimize TAC s.t.: $\begin{cases} \mathbf{h}_{s}(\mathbf{x}) = \mathbf{0}, \forall s \\ \mathbf{g}_{t}(\mathbf{x}) \leq \mathbf{0}, \forall t \\ F = 22.32 \text{ kmol / } s \\ x_{F,CO_{2}} = p, \ 0.04 \leq p \leq 0.44 \\ \mathbf{R}_{CO_{2}} = 90\% \\ x_{P,CO_{2}} \geq 0.95 \end{cases}$

228 where TAC is the total annual cost -objective function to be minimized- which considers both operating and investment costs; \mathbf{x} is the optimization variable vector (see Table 1); $\mathbf{h}_{s}(\mathbf{x})$ refers to 229 230 equality constraints (mass, energy, and momentum balances; correlations to estimate physicochemical properties; and expressions for process unit design); and $g_t(x)$ refers to inequality 231 constraints, which are used, for instance, to avoid temperature cross situations, and to impose lower 232 and upper bounds on some critical operating variables. F refers to the total feed flow rate, and x_{F,CO2} 233 is the CO₂ concentration in the feed stream which is assigned parametrically a fixed value p in each 234 optimization run. R_{CO2} is the target CO₂ recovery level, and x_{P,CO2} is the target CO₂ purity level i.e. 235 the CO₂ concentration in the product stream. 236

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Table 1. Optimization variables

Amine-based CO ₂ capture	Membrane-based CO ₂ capture			
- Pressure, composition, and temperature profiles along the	- Compression pressure in the			
absorber and regenerator units.	stages.			
– Amine and cooling water flow rates.	- Permeate and retentate flow rates			
	in the stages.			
- Sizes of process units: 1) heat transfer area of the condenser,	- Sizes of the process units: heat			
reboiler, MEA cooler, economizer, and inter-stage coolers, 2)	transfer area of heat exchangers and			
packing volume of the absorber and regenerator (both height	membrane area in the stages.			
and diameter).				
- Heat loads in the reboiler, condenser, and heat exchangers	- Heat loads in the heat exchangers			
(amine-amine and amine-cooling water).	involved in the stages.			
- Electric power required by pumps, blowers, and	- Electric power demanded by			
compressors.	compressors and electric power			
	generated by the expander.			

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The total annual cost TAC –expressed in M\$/yr.– is calculated using Eq. (2), which includes the capital expenditures (CAPEX) annualized by a capital recovery factor (CRF) and the annual

242 operating expenditures (OPEX):

 $TAC = CRF \cdot CAPEX + OPEX$

(2)

(1)

The CAPEX includes the total direct manufacturing cost (DMC), the total indirect manufacturing cost (IMC), working investment (WI), and the start-up cost. Each cost item is calculated in terms of the total equipment acquisition cost (C_{inv}) using economic indexes (Table 2) which are assumed according to the guidelines given by Abu-Zahra et al. [44] and Rao and Rubin [45]. As indicated in Eq. (3), CAPEX results to be approximately five times ($f_1 = 4.99$) the total equipment acquisition cost.

$$CAPEX = f_1 \cdot C_{inv}$$
(3)

The capital recovery factor is calculated by Eq. (4) in terms of the interest rate (i) and the project lifespan (n):

$$CRF = \frac{i(1+i)^{n}}{(1+i)^{n} - 1}$$
(4)

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Table 2. Capital expenditures (CAPEX)

Capital expenditures, CAPEX	$CAPEX = 1.35 \cdot IFC = 4.99 \cdot C_{inv}$
Invest. in fixed capital, IFC	1.0·IFC (=DMC+IMC)
Working investment, WI	0.25 · IFC
Start-up cost	0.10 · IFC
Direct manufacturing costs, DMC	$DMC = 2.688 \cdot C_{inv}$
Equipment acquisition cost, C _{inv}	$1.0 \cdot C_{inv}$
Equipment installation	$0.528 \cdot C_{inv}$
Piping	$0.4 \cdot C_{inv}$
Instrumentation and control	$0.2 \cdot C_{inv}$
Services facilities	$0.2 \cdot C_{inv}$
Electrical	$0.11 \cdot C_{inv}$
Building and services	$0.1 \cdot C_{inv}$
Yard improvements	$0.1 \cdot C_{inv}$
Land	$0.05 \cdot C_{inv}$
Indirect manufacturing costs, IMC	$IMC = 0.375 \cdot DMC = 0.375 \cdot 2.688 \cdot C_{inv}$
Contingencies	0.17 · DMC
Engineering	0.1 · DMC
Construction expenses	0.1 · DMC
Contractor's fee	0.005·DMC

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The total equipment acquisition cost is calculated by Eq. (5) as the sum of the acquisition costs $(C_{inv}{}^k)$ of the individual pieces of equipment (k) which depend on their sizes (X^k) and constructive characteristics, as expressed by Eq. (6):

$$C_{inv} = \sum_{k} C_{inv}^{k}$$
(5)

$$C_{inv}^{k} = C_{0}^{k} \left(\frac{X^{k}}{X_{0}^{k}}\right)^{0.6}$$

$$(6)$$

Table 3 lists the numerical values of the reference costs of the pieces of equipment considered to calculate the total capital investment. They were updated considering the 2014 CEPCI indexes [46]. In order to obtain a consistent costing model, the equipment reference cost C_0^k and reference capacity X_0^k were adopted from Seider et al. [47], except for the reference costs of the membrane, membrane frame, and CO_2 pump. The membrane and frame costs are taken from Roussanaly et al. [27] and the CO_2 pump cost from McCollum and Ogden [28].

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Table 3. Reference costs C_0^k used to calculate the equipment investment

Equipment	Ref. cost (M\$)	Ref. capacity		Characteristics
Vessel (ABS, REG)	1.07013	80079	Kg	Vertical, SS
Packaging(ABS,REG)	0.98808	1000	m ³	Intalox saddles, ceramic, nominal diameter 0.05 m
Pump (PUMP)	0.00882	0.221 7	m ³ s ⁻¹ m ^{0.5}	Centrifugal, SS (H=15.24 m; Q=0.0568 m ³ /s)
Pump motor	0.00671	75	kW	Electrical, 3600 rpm, open Shell
Blower (BLOW)	0.26917	750	kW	Centrifugal, electric motor
Heat exchanger (AAHE)	0.45417	929	m ²	Floating head, SS-SS
Heat exchanger (AWHE, COND, IC)	0.35736	929	m ²	Floating head, CS-SS
Reboiler (REB)	0.61307	929	m ²	Kettle, SS-SS
Tanks (WTK, ATK)	0.63075	4500	m ³	Floating roof
Membrane (MEM)	0.05234	1000	m^2	Polymeric
Membrane frame (MEM)	0.238	2000	m ²	_
Compressors (COM) / Expander (EXP)	2.79	2000	kW	Centrifugal, electric motor, SS
CO ₂ pump (CO ₂ P)	6.53	5000	kW	Centrifugal, electric motor

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The annual operating expenditures (OPEX) are calculated using Eq. (7):

$$OPEX = PC + AC$$

(7)

- where PC and AC refer to the production and additional costs, respectively, which can be calculated
- in terms of the cost of reposition materials and utilities (C_{rm}), manpower (C_{mp}), and other costs related to the total investment cost (C_{inv}), as given by Eq. (8):

$$OPEX = f_2 C_{m} + f_3 C_{mp} + f_4 C_{inv}$$
(8)

The economic indexes f_2 (1.0550), f_3 (2.4499), and f_4 (0.4648) can be estimated from Table 2 and Table 4, which are based on the guidelines given by Abu-Zahra et al. [44] and Rao and Rubin [45].

The cost of raw materials and utilities C_{rm} is calculated using Eq. (9), which is a function of the annual consumption (m^u) and the specific cost (C_{rm}^{u}). Specifically, Eq. (9) considers the consumption of electricity, low-pressure steam, cooling water, MEA make up, and membrane reposition; their associated specific costs are listed in Table 5. A nominal loss of 1.5 kg of MEA per tonne of CO₂ is assumed [45,49]. In addition, an extra 20 % of the cost of the nominal MEA loss is considered for the corrosion inhibitor cost [45]. The annual membrane replacement rate is assumed to be 20 % [29].

$$C_{\rm rm} = \sum_{\rm u} C_{\rm rm}^{\rm u} \, {\rm m}^{\rm u} \tag{9}$$

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 Table 4. Operating and maintenance expenditures (OPEX)

Operative expenditures, OPEX = PC+AC = $f_2 \cdot C_{rm} + f_3 \cdot C_{mp} + f_4 \cdot C_{inv}$								
Total production costs, PC=FC+DPC+POC								
- Fixed charges, FC=(1)+(2)								
(1) Local taxes	0.02 · IFC							
(2) Insurance	0.01 · IFC							
- Direct production costs, $DPC=(3)+(4)+(5)+(6)+(7)+(8)$								
(3) Reposition material and utilities (C_{rm})	1.0 · C _{rm}							
(4) Operative manpower (C_{mp})	1.0 · C _{mp}							
(5) Maintenance (C _m)	0.04 · IFC							
(6) Supervision and support labor (C_s)	$0.3 \cdot (C_m + C_{mp})$							
(7) Operative supplies	0.15 · C _m							
(8) Laboratory charges	$0.1 \cdot C_{mp}$							
- Plant overhead, POC $0.6 \cdot (C_m + C_{mp} + C_s)$								
Additional costs, AC=ADM+R&D								
 Administrative, ADM 	0.15 • C _{mp}							
 Research and development R&D 	0.055·PC							

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Table 5. Specific costs of reposition materials and utilities (C_{rm}^{u})

Reposition materials and utilities	Cost	Unit	Reference
Electricity	0.072	\$/kWh	[29]
Low-pressure steam	0.01251	\$/kg	[50]
Cooling wáter	0.05093	\$/t	[50]
MEA make-up	1858.35	\$/t	[45]
Membrane reposition	10.0	\$/m ²	[29]

- The manpower cost (C_{mp}) is estimated based on the guidelines given in Henao [51]. The estimated annual manpower costs to operate the membrane system, the chemical absorption process, and the final compression stage are 0.1089, 0.1927, and 0.2095 M\$/yr., respectively.
- The General Algebraic Modeling System (GAMS v. 23.6.5) [52] and the code CONOPT 3 (v. 3.14W) [53] were used to implement and solve the resulting NLP models, respectively. Since they are nonlinear and non-convex problems, the initialization strategy recently proposed by Mores at al. [31] for chemical absorption processes and that proposed by Arias et al. [22] for membrane systems were applied to overcome numerical convergence problems.
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292 5. Results and discussion

Figure 3 shows the optimal TAC values obtained for both capture processes for feed CO₂ molar fraction values $x_{F,CO2}$ included in the range 0.04-0.44, with an interval of 0.01. As illustrated, this composition range comprises typical CO₂ concentrations of flue gases emitted from different plants such as natural gas and coal-fired power plants, refinery processes, cement and steel production plants, among other industries [54]. In addition, Table 6 presents the TAC and OPEX values computed for $x_{F,CO2}$ values which correspond to the limits of each sub-range.



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Figure 3. Optimal total annual cost (TAC) versus feed CO₂ composition ($x_{F,CO2}$) obtained for the membrane system and chemical absorption process (feed flow rate: 22.32 kmol/s; CO₂ recovery level: 90%; CO₂ purity level: \geq 0.95).

As a first conclusion, it can be said that the best process -in terms of the TAC values-304 depends on the considered $x_{F,CO2}$ values, and that, for increasing $x_{F,CO2}$ values, the TAC value of the 305 chemical absorption process increases more rapidly than that of the membrane-based systems; for 306 example, for the typical CO₂ concentration values of natural gas combined cycle power plants (0.04-307 0.08), refinery processes (0.08-0.13), and coal-fired power plants (0.13-0.16), the chemical 308 absorption process is always preferred in terms of TAC over the membrane system, and such 309 preference becomes more evident with decreasing $x_{F,CO2}$ values. For the typical $x_{F,CO2}$ values of the 310 cement production plants (0.14-0.33) and steel production plants (0.16-0.33), the chemical 311 312 absorption process remains the cheapest technology up to around x_{F,CO2}=0.23, but the difference in TAC values between both processes decreases monotonically. At x_{F,CO2}=0.235, the TAC value 313 obtained for both processes is practically the same. For x_{F,CO2} values higher than 0.24, the 314 membrane-based system shows TAC values lower than the chemical absorption process, and it 315 becomes the preferred technology in terms of costs. 316

Also, it should be noted in Fig. 3 that the optimal number of CO_2 capture trains required by the chemical absorption process varies with the feed composition $x_{F,CO2}$. Indeed, for a specified 90% CO₂ recovery level, chemical absorption plants consisting of 2 to 13 absorption trains operating in parallel are required, depending on the $x_{F,CO2}$ value for a particular case.

321 Table 6 shows that the OPEX is the largest contributor to the TAC of both capture processes; it approximately represents between 64.9 and 74.1% of the TAC of the membrane system and 322 between 78.2 and 86.9% of the TAC of the chemical absorption process. It can also be observed that 323 the increase of the OPEX with increasing $x_{F,CO2}$ values (from 0.04 to 0.44) is more significant for the 324 325 chemical absorption process (326.10 M\$/yr., from 88.51 to 413.11 M\$/yr.) than for the membrane system (88.68 M\$/yr., from 144.58 to 233.26 M\$/yr.). A similar qualitative behavior but of less 326 quantitative importance was observed for the CAPEX and its contribution to the TAC of both 327 328 processes.

The complete optimal solutions obtained for $x_{F,CO2}=0.16$ –where the chemical absorption process is preferred over the membrane system in terms of TAC–, for $x_{F,CO2}=0.235$ –where the TAC value of both processes is practically the same–, and for $x_{F,CO2}=0.41$ –where the membrane system is the preferred technology– are next presented. Tables 7 to 13 compare the optimal values of TAC, CAPEX, annualized CAPEX, OPEX, as well as the contribution of each cost item, between both processes. Figures 4 to 9 show the corresponding optimal operating conditions and sizes of all process units for the above-mentioned $x_{F,CO2}$ values.

Table 6. Cost distribution resulting for both capture processes for typical CO2 compositions339of industrial flue gases.339Membrane-based processMembrane-based processAmine-based absorption process $X_{F,CO2}$ TACOPEX $X_{F,CO2}$ TACOPEXMembrane-based processAmine-based absorption process $X_{F,CO2}$ TACOPEXMembrane-based processCOPEXMembrane-based process<th colspan

64.87

65.67 - 67.27

71.24

107.25 - 158.99

78.22

82.53 - 83.82

0.13 - 0.16^c 234.94 - 244.14 67.27 - 68.28 158.99 - 187.38 83.82 - 84.79 0.14 - 0.33^d 238.03 - 289.05 67.61 - 72.74 168.46 - 361.57 84.18 - 86.40 0.16 - 0.44^e 244.14 - 314.84 68.28 - 74.09 187.38 - 475.61 84.79 - 86.86 ^a natural gas combined cycle power plants, ^b refinery plants, ^c coal-fired power plants, ^d cement

plants, ^e steel plants.

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 0.04^{a}

 $0.08 - 0.13^{b}$

The optimization results for $x_{F,CO2}=0.16$ presented in Table 7 indicate that the optimal TAC for the chemical absorption process with 4 absorption trains is 23.24% lower than that for the membrane-based system (187.38 vs. 244.14 M\$/yr.). In both capture processes, the contribution of the OPEX to the TAC is more significant than the contribution of the annualized CAPEX: 84.79% vs. 15.21% in the amine-based absorption process, and 68.28% vs. 31.72% in the membrane-based process.

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Table 7. TAC, OPEX, and annualized CAPEX

214.55

220.16 - 234.94

	$x_{F,CO2} = 0.16$		$x_{F,CO2} = 0.235$		$x_{F,CO2} = 0.41$	
	Amine	Memb.	Amine	Memb.	Amine	Memb.
Total annual cost, TAC (M\$/yr.)	187.38	244.14	266.36	265.56	444.76	308.00
Annualized capital expenditures, annualized CAPEX (M\$/yr.)	28.50	77.43	38.63	77.34	59.01	80.86
Operating expenditures, OPEX (M\$/yr.)	158.88	166.71	227.73	188.21	385.74	227.14

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Table 8. Capital expenditures (CAPEX)

	$x_{F,CO2} = 0.16$ Amine Memb.		XF,CO2	= 0.235	$x_{F,CO2} = 0.41$	
			Amine	Amine Memb.		Memb.
Capital expenditures, CAPEX (M\$)	304.21	826.56	412.37	825.65	629.96	863.13
Investment in fixed capital, IFC	225.34	612.27	305.46	611.60	466.64	639.36
Working investment	56.34	153.07	76.36	152.90	116.66	159.84
Start-up cost	22.53	61.23	30.55	61.16	46.66	63.94

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Table 9. Investment in fixed capital (direct and indirect manufacturing costs)

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	XF,CO2	= 0.16	XF,CO2	= 0.235	XF,CO2	= 0.41
	Amine	Memb.	Amine	Memb.	Amine	Memb.
Invest. in fixed capital, IFC=DMC+IMC (M\$)	225.34	612.27	305.46	611.60	466.64	639.36
Direct manufacturing costs, DMC	163.88	445.28	222.15	444.80	339.37	464.99
Equipment acquisition cost, Cinv	60.97	165.66	82.65	165.47	126.26	172.99
Equipment installation	32.19	87.47	43.64	87.37	66.66	91.34
Piping	24.39	66.26	33.06	66.19	50.50	69.19
Instrumentation and control	12.19	33.13	16.53	33.09	25.25	34.60
Service facilities	12.19	33.13	16.53	33.09	25.25	34.60
Electrical	6.71	18.22	9.09	18.20	13.89	19.03
Building and services	6.10	16.57	8.26	16.55	12.63	17.30
Yard improvements	6.10	16.57	8.26	16.55	12.63	17.30
Land	3.05	8.28	4.13	8.27	6.31	8.65
Indirect manufacturing costs, IMC	61.46	166.98	83.31	166.80	127.27	174.37
Engineering	16.39	44.53	22.22	44.48	33.94	46.50
Construction expenses	16.39	44.53	22.22	44.48	33.94	46.50
Contractor's fee	0.82	2.23	1.11	2.22	1.70	2.32
Contingencies	27.86	75.70	37.77	75.62	57.69	79.05

Table 10. Operating expenditures (OPEX)

	$x_{F,CO2} = 0.16$		X F,CO2	= 0.235	$x_{F,CO2} = 0.41$	
	Amine	Memb.	Amine	Memb.	Amine	Memb.
Operating expenditures, OPEX (M\$/yr.)	158.88	166.71	227.73	188.21	385.74	227.14
Total production costs (M\$/yr.)	150.54	157.97	215.80	178.36	365.58	215.25
 Direct production costs 	136.43	120.26	196.79	140.68	336.70	175.88
Reposition material and utilities, C _{rm}	122.80	84.30	178.51	104.76	309.08	138.35
Operation manpower, C _{mp}	0.40	0.32	0.40	0.32	0.40	0.32
Maintenance, C _m	9.01	24.49	12.22	24.46	18.67	25.57
Supervision and support labor, C _s	2.82	7.44	3.79	7.43	5.72	7.77
Operation supplies	1.35	3.67	1.83	3.67	2.80	3.84
Laboratory charges	0.04	0.03	0.04	0.03	0.04	0.03
- Fixed charges	6.76	18.37	9.16	18.35	14.00	19.18
Local taxes	4.51	12.25	6.11	12.23	9.33	12.79
Insurance	2.25	6.12	3.05	6.12	4.67	6.39
– Plant overhead	7.34	19.35	9.84	19.33	14.87	20.20
Additional costs (M\$/yr.)	8.34	8.74	11.93	9.86	20.17	11.89
– Administrative	0.06	0.05	0.06	0.05	0.06	0.05
 Research and development 	8.28	8.69	11.87	9.81	20.11	11.84

Table 11. Equipment acquisition cost (C_{inv}) for the amine-based process and CO_2 final

362 compression

	$x_{F,CO2} = 0.16$	$x_{F,CO2} = 0.235$	$x_{F,CO2} = 0.41$
Equipment acquisition cost, Cinv (M\$)	60.97	82.65	126.26
Chemical absorption with amines	38.98	54.88	87.46
Absorber	12.93	15.63	22.29
(column/packing)	(3.95/8.98)	(5.48/10.15)	(8.51/13.78)
Heat exchanger (AAHE)	6.79	10.34	16.97
Reboiler (REB)	5.73	8.99	15.49
Heat exchangers (COND)	4.68	7.25	12.53
Regenerator	2.95	4.51	7.91
(column /packing)	(1.54/1.41)	(2.46/2.05)	(4.28/3.62)
Heat exchanger (AWHE)	2.15	2.82	3.86
Water tank (WTK)	1.75	2.76	4.78
Blower (BLOW)	1.59	1.95	2.54
MEA tank (ATK)	0.28	0.44	0.76
Rich amine pump (RAP)	0.12	0.19	0.33
CO ₂ final compression	21.99	27.77	38.79
Compressors (COMP)	17.24	21.76	30.40
CO_2 pump (CO_2P)	3.67	4.62	6.46
Heat exchangers (IC)	1.08	1.38	1.94

Table 12. Equipment acquisition cost (C_{inv}) for the membrane-based process and CO₂ final

366 compression

	$x_{F,CO2} = 0.16$	$x_{F,CO2} = 0.235$	$x_{F,CO2} = 0.41$
Equipment acquisition cost, C _{inv} (M\$)	165.66	165.47	172.99
Membrane system	142.20	135.92	131.72
Compressor (COM1)	40.53	41.27	37.74
Expander (EXP)	30.96	29.78	24.07
Membrane (MEM1)	27.85	31.49	34.19
Vacuum pump (PUMP1)	19.43	5.32	0.00
Compressor (COM2)	17.87	21.22	23.55
Membrane (MEM2)	3.18	4.43	9.95
Heat exchangers (HE1)	1.29	1.32	1.33
Heat exchangers (HE2)	0.63	0.75	0.90
Heat exchangers (HE3)	0.48	0.33	0.00
CO ₂ final compression	23.46	29.56	41.26
Compressors (COMP)	18.75	23.63	32.98
CO ₂ pump (CO ₂ P)	3.74	4.72	6.58
Heat exchangers (IC)	0.96	1.21	1.69

Table 13. Reposition material and utility cost (C_{rm})

	$x_{F,CO2} = 0.16$		$x_{F,CO2} = 0.235$		$x_{F,CO2} = 0.41$	
	Amine	Memb.	Amine	Memb.	Amine	Memb.
Reposition mat. and utilities, C _{rm} (M\$/yr.)	122.80	84.30	178.51	104.76	309.08	138.35
Electricity	23.44	79.86	33.16	99.54	56.16	132.07
Low pressure steam	77.46	_	113.23	-	196.68	-
Cooling water	6.21	3.27	9.04	3.86	15.95	4.61
MEA make-up	15.69	_	23.08	_	40.28	_
Membrane reposition	_	1.17	—	1.36	—	1.67

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Figure 4. Optimal solution for the membrane-based process for treating a flue gas stream with a fresh feed CO₂ composition $x_{F,CO2}$ of 0.16 mole fraction.

According to Fig. 4, the membrane-based process requires a total membrane area of 5867.66 377 dam² (5266.75 dam² in the first stage and 600.91 dam² in the second one), which represents 18.73% 378 379 of the total equipment acquisition cost Cinv (31.03 of 165.66 M\$ including the CO₂ final compression cost) as shown in Table 12. The electric power capacity required in the first membrane stage for feed 380 381 compression is 173.17 MW and for vacuum is 12.03 MW, the required in the second stage for permeate compression is 44.22 MW, and the required in the final compression stage is 49.93 MW 382 (47.95 MW in the compressor and 1.98 MW in the CO₂ pump), resulting in a total capacity of 279.35 383 MW which represents 60.55% of the C_{inv} (100.32 of 165.66 M\$). The power recovered from the 384 retentate stream in the expander EXP is 110.54 MW, requiring an investment of 30.96 M\$ which 385 represents 18.69% of the Cinv. 386

The total heat transfer area required in the membrane stages is 117.72 dam^2 and in the compression stage is 48.45 dam², which represent only 2.03% of the C_{inv} (3.36 of 165.66 M\$). In addition, the results presented in Table 12 allows seeing that the first membrane stage (formed by

MEM1, COMP1, PUMP1, HE1, and HE3) is the largest contributor to the C_{inv}, followed by the expander EXP and the second membrane stage (MEM2, COM2, HE2). The compressors of the first and second membrane stages are significantly more expensive than the membranes itself (40.53 vs. 27.85 M\$ and 17.87 vs. 3.18 M\$, respectively). Finally, the investment required by the second stage (21.67 M\$) is similar to the investment required by the compression stage (23.46 M\$). Also, similar investments are required by the vacuum pumps PUMP1 and compressors COM2 (19.43 and 17.87 M\$).

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Figure 5. Optimal solution for the amine-based absorption process for treating a flue gas
 stream with a fresh feed CO₂ composition x_{F,CO2} of 0.16 mole fraction.

Regarding the optimization results for the chemical absorption process, Figs. 3 and 5 show
that the optimal process configuration consists of 4 capture trains. The optimal diameter and packing
height of the absorption columns are 10.14 m and 22.30 m, respectively (Fig. 5), implying a total
packing volume of 7197.92 m³ (1799.48 m³ each) which represents 14.73% of the equipment
acquisition cost C_{inv} (8.98 of 60.97 M\$), as shown in Table 11. Taking into account the packing
material and the columns, the 4 absorbers are the process units that contribute most to the C_{inv}, with
21.21% (12.93 of 60.97 M\$). They are followed by the amine heat exchangers AAHE and reboilers

REB, which contribute 11.14% and 9.39%, respectively. The amine-amine heat exchangers require a total area of 334.82 dam² (83.71 dam² each) to transfer a total heat load of 453.98 MW (113.50 MW each) with a driving force of 17.82 K. While the reboilers require a total heat transfer area of 152.68 dam² (38.17 dam² each) to transfer in total 15.70 GJ/t CO₂. This heat load is supplied with 261.84 kg/s of saturated steam at 4.44 atm and a driving force value of 26.73 K. The fourth largest contributors to the C_{inv} are the condensers COND with 4.68 M\$, followed by the regenerators and amine-water heat exchangers AWHE with 2.95 M\$ and 2.15 M\$, respectively.

The total heat load in the condensers is 350.48 MW, which is rejected with 3351.3 kg/s of 416 cooling water, a total heat transfer area of 268.53 dam² (67.13 dam² each), and a driving force value 417 of 46.76 K. With respect to the amine regeneration section, the optimal diameter and packing height 418 of the regeneration columns are 5.56 m and 11.61 m, respectively (Fig. 5), resulting in a total 419 packing volume of 1126.87 m³ (281.72 m³ each). It represents only 2.31% of the C_{inv} (1.41 of 60.97 420 M\$). Taking into account the packing material and the columns, the 4 regenerators contribute to the 421 C_{inv} with 4.84% (2.95 of 60.97 M\$). Finally, the investments required by the blowers BLOW and 422 water tanks WTK are similar (1.59 and 1.75 M\$, respectively), which represent together 5.48% of 423 the C_{inv}. The investments associated with the MEA tanks ATK and amine pumps RPA are 0.28 and 424 0.12 M\$, respectively, with insignificant contributions to the C_{inv}. 425

The total electric power capacity required by the compression stage is 43.59 MW (41.67 MW by the compressor and 1.92 MW by the pump) which determines an investment of 20.91 M\$, representing 34.30% of the C_{inv}.

When comparing the optimal TAC values between both processes for $x_{F,CO2}=0.16$ (Table 7), it can be observed that the difference in these values is mainly due to the annualized CAPEX rather than the OPEX values, which are both greater in the membrane process. Precisely, the annualized CAPEX obtained for the membrane process is more than twice the obtained for the chemical absorption process (77.43 vs. 28.50 M\$/yr.). The difference in the OPEX values is less significant (166.71 vs. 155.88 M\$/yr.).

The cost for electric power demanded by the membrane-based process represents 94.73% of the C_{rm} . It is much higher than that demanded by the amine-based process (79.86 vs. 23.44 M\$/yr.) (Table 13), and it is close to the cost related to steam consumption for amine regeneration (79.86 vs. 77.46 M\$/yr.). In the amine-based process, electricity and MEA make-up are the second and third contributors to the C_{rm} with 23.44 and 15.69 M\$/yr., respectively. The cost required by the cooling water is twice compared to that required by the membrane-based process. Regarding the optimization results obtained for $x_{F,CO2}=0.41$, it can be seen in Table 7 that the optimal TAC value for the membrane-based system is 30.75 % lower than the obtained for the chemical absorption system (308.00 vs. 444.76 M\$), which is contrary to the behavior found for $x_{F,CO2}=0.16$. The optimal annualized CAPEX obtained for the membrane-based process is 37.03% greater than the obtained for the amine-based process (80.86 vs. 59.01 M\$/yr.). But, unlike for $x_{F,CO2}=0.16$, the optimal OPEX value is 41.12% lower than the amine-based process, resulting in a decreasing value of TAC.



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Figure 6. Optimal solution for the membrane-based process for treating a flue gas stream
with a fresh feed CO₂ composition x_{F,CO2} of 0.41 mole fraction.

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The comparison of results in Table 7 indicates that the costs obtained for $x_{F,CO2}=0.41$ increase with respect to $x_{F,CO2}=0.16$ in both processes, but the increases in the chemical absorption process are more significant than the increases in the membrane-based process. Indeed, the OPEX increases by 219.03 M\$/yr. and 60.43 M\$/yr. for the amine- and membrane-based processes, respectively; and the annualized CAPEX increases by 30.52 M\$/yr. and only 3.43 M\$/yr. for the amine- and membranebased processes, respectively.

Similar to $x_{F,CO2}=0.16$, Table 12 indicates that for $x_{F,CO2}=0.41$ the compressors COM1 and COM2 involved in the membrane stages contribute to the C_{inv} more than the area of membranes MEM1 and MEM2 (61.29 vs. 44.14 M\$), with the particularity that the contribution of the membrane area in the first stage MEM1 is similar to the contribution of the compressor COM1 (37.74 vs. 34.19 M\$), contrary to what happens with MEM2 and COM2 in the second stage (23.55 vs. 9.95 M\$).

464 It is important to mention the trade-offs that exist between the operating pressure, the total 465 power required for the separation, the power recovered in the expander, and the total membrane area 466 for $x_{F,CO2}=0.16$ and 0.41. Figure 4 shows that for $x_{F,CO2}=0.16$ the total power required by the membrane stages is 229.42 MW (12.03 MW required by the vacuum pumps) and the power 467 recovered in the expander is 110.54 MW, resulting in a required net power of 118.88 MW (excluding 468 the power required in compression stage). While for $x_{F,CO2}=0.41$ (Fig. 6) these values are 223.89 469 MW, 62.65 MW, and 161.39 MW, respectively. Thus, the net electric power required by the 470 membrane process for $x_{F,CO2}=0.41$ is 42.51 MW higher than for $x_{F,CO2}=0.16$. This is because of the 471 operating pressure for x_{E,CO2}=0.41 is lower than for x_{E,CO2}=0.16 (0.5570 vs. 0.6812 MPa) but 472 implying a larger membrane area (8350.24 vs. 5867.66 dam²). Also, it is worth to mention that no 473 474 vacuum pump is required in the first stage, contrary to what was obtained for x_{F,CO2}=0.16. In this sense, the benefit of including a vacuum pump in the first membrane stage was investigated for the 475 whole range of x_{F,CO2} values examined. The optimization results (not shown) indicated that a vacuum 476 pump is included in the optimal solutions corresponding to x_{F,CO2} values lower than 0.24 and that the 477 optimal ratio between the atmospheric and vacuum pressure values decrease from 5.02 for 478 479 $x_{F,CO2}=0.04$ to 1.11 for $x_{F,CO2}=0.24$; for mole fractions higher than 0.24 this ratio is equal to 1.0 and, 480 consequently, no vacuum is required.

Regarding the CO₂ final compression stage, the power required for $x_{F,CO2}=0.41$ is greater than for $x_{F,CO2}=0.16$ since it is necessary to compress a greater amount of CO₂ to the same pressure level (13.79 MPa). Then, it can be concluded that the higher is the flue gas CO₂ composition, the higher is the total membrane area, the higher is the power required to compress the CO₂ enriched stream, the lower is the operating pressure in the membrane stages, and the higher is the total net power required for separation only i.e. without considering the power required for the final CO₂ compression.



Figure 7. Optimal solution for the amine-based absorption process for treating a flue gas
 stream with a fresh feed CO₂ composition x_{F,CO2} of 0.41 mole fraction.



492 Figure 8. Optimal solution for the membrane-based process for treating a flue gas stream 493 with a fresh feed CO₂ composition $x_{F,CO2}$ of 0.235 mole fraction.



498 **Figure 9.** Optimal solution for the amine-based absorption process for treating a flue gas 499 stream with a fresh feed CO₂ composition $x_{F,CO2}$ of 0.235 mole fraction.

Finally, it is interesting to mention some features of the optimal solutions obtained for both processes at $x_{F,CO2}$ =0.235. As previously mentioned, the TAC obtained for both processes is almost the same (266.36 and 265.56 M\$/yr. for the amine-based process and the membrane system, respectively –Table 7–). It should be noted that it is not exactly the same because the points plotted in Fig. 3 were systematically obtained by varying parametrically the $x_{F,CO2}$ value in 0.01; therefore, the coordinate corresponding to the crossing point, i.e. where both TAC values are identical, does not coincide exactly with a $x_{F,CO2}$ value used in an optimization run.

Figures 8 and 9 illustrate the optimal solutions obtained for both processes, which include the optimal values of flow rates, compositions, pressures, and temperatures of the process streams, and sizes of the process units. According to the values listed in Table 7, the optimal annualized CAPEX value for the amine-based process is twice that required by the membrane-based system, thus implying a lower OPEX value since both processes have practically the same TAC values. According to Table 9, the equipment acquisition cost C_{inv} represents 27.05% of the fixed capital investment IFC in both processes (82.65 of 305.46 M\$ in the amine-based process, and 165.47 of

611.60 M\$ in the membrane system), followed by the equipment installation and piping costs, which 515 represent 14.28 and 10.82%, respectively, in both processes. Table 11 indicates that the chemical 516 absorption process -excluding the compression stage- represents 66.40% of the Cinv, with the 517 absorption columns (7 columns in total) being the largest contributor (15.63 of 54.88 M\$). The final 518 compression stage represents 33.60% of the C_{inv}, which is strongly influenced by the compressors 519 which contribute with 78.35% (21.76 of 27.77 M\$). On the other side, Table 12 indicates that the 520 521 membrane system -excluding the compression stage- represents 82.14% of the C_{inv}, out of which 522 79.35% corresponds to process units involved in the first stage: COM1 (30.36%), MEM1 (23.16%), 523 EXP (21.90%), and PUMP1 (3.91%).

Regarding the optimal OPEX values, Table 13 shows that, although the cost of electricity for the amine-based process is 3 times lower than that for the membrane system (33.16 vs. 99.54 M\$/yr.), the OPEX value for the former is 70.0% higher than the latter (178.1 vs. 104.76 M\$/yr.) due to the steam demand by amine regeneration (113.23 M\$/yr.) and amine make-up (23.08 M\$/yr.).

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529 CONCLUSIONS

This paper compared the cost performance -in terms of the total annual cost, annual 530 operation cost, and annualized investment cost- between two-stage membrane-based systems and 531 532 amine-based chemical absorption processes for CO₂ capture from flue gas for the same design specifications. A wide range of CO₂ concentration values in the feed stream was considered, from 533 534 0.04 to 0.44 CO₂ mole fractions, which is representative of several CO₂-generating processes (natural gas and coal-fired power plants, refinery processes, and cement and steel production plants). As a 535 536 result, the optimal process configuration, sizes of the process units, and operation conditions that minimize the total annual cost while satisfying a specified CO₂ recovery of 90% and a CO₂ purity of 537 538 at least 0.95 were obtained for both processes. To this end, the nonlinear mathematical programming approach was applied, using gradient-based optimization algorithms. 539

540 Based on the considered cost model, the optimization results show that the chemical absorption process is always preferred in terms of TAC over the membrane system for the typical 541 CO₂ concentration values of natural gas combined cycle power plants (0.04-0.08), refinery processes 542 (0.08-0.13), and coal-fired power plants (0.13-0.16), and that such preference becomes more 543 544 noticeable with decreasing $x_{F,CO2}$ values. For the typical $x_{F,CO2}$ values of the cement production plants (0.14-0.33) and steel production plants (0.16-0.33), the chemical absorption process remains the 545 cheapest technology only up to about x_{F,CO2}=0.23 since at x_{F,CO2}=0.235 the TAC value obtained for 546 both processes is practically the same. For x_{F,CO2} values higher than 0.24, the membrane-based 547

548 system shows TAC values lower than the chemical absorption process, and it becomes the preferred 549 technology in terms of costs. For instance, for $x_{F,CO2}=0.16$, the optimal TAC obtained for the amine-550 based process is 23.24% lower than that for the membrane-based system; conversely, for 551 $x_{F,CO2}=0.41$, the optimal TAC obtained for the membrane-based system is 30.75% lower than that for 552 the chemical absorption process.

The proposed optimization models constitute a valuable decision-support tool for designing, simulating and optimizing amine-based chemical absorption processes and membrane-based systems for post-combustion CO_2 capture, and the obtained results can serve as a guide to assist in selecting the best between both technologies, in terms of cost, for a particular industrial case.

In addition, the obtained results motivate to continue the study of alternative process configurations for CO_2 capture in order to reduce costs. In this sense, hybrid processes combining membranes with chemical absorption processes may offer cost-effective alternatives to the standalone processes. Several hybrid systems will be investigated in terms of efficiency and cost. To this end, a superstructure-based optimization approach using the mathematical models presented in this paper will be proposed.

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564 Acknowledgements

565 The financial support from the Consejo Nacional de Investigaciones Científicas y Técnicas 566 (CONICET) and the Facultad Regional Rosario of the Universidad Tecnológica Nacional from 567 Argentina are gratefully acknowledged.

- 568
- 569 Nomenclature
- 570 Symbols
- 571 AC: total additional cost (M\$/yr.).
- 572 *CAPEX*: capital expenditures (M\$).
- 573 *CRF*: capital recovery factor (dimensionless).
- 574 C_{inv} : total equipment acquisition cost (M\$).
- 575 C_{inv}^{k} : individual acquisition cost of the pieces of equipment (k) (M\$).
- 576 C_m : cost of maintenance (M\$/yr.).
- 577 C_{mp} : cost of manpower (M\$/yr.).
- 578 C_{rm} : cost of raw materials and utilities (M\$/yr.).
- 579 C_{rm}^{u} : specific cost of raw materials and utilities (\$/t, \$/GW).
- 580 C_S : supervision and support labor (M\$/yr.).
- 581 DMC: total direct manufacturing cost (M\$).
- 582 *DPC*: direct production costs (M/yr.).
- 583 f_1, f_2, f_3 : economic indexes (dimensionless).
- 584 FC: fixed charge (M\$/yr.).
- 585 g_t : set of inequality constraints t.
- 586 *HTU*: height of a transfer unit (dimensionless).

- h_s : set of equality constraints s.
- *i*: interest rate (%).
- *IFC*: investment in fixed capital (M\$).
- *IMC*: total indirect manufacturing cost (M\$).
- m^{u} : annual consumption of raw materials and utilities (kg/yr.).
- *NTU*: number of transfer units (dimensionless).
- *n*: project lifespan (yr.).
- *OPEX*: operating expenditures (M\$/yr.).
- *PC*: production cost (M/yr.).
- *POC*: plant overhead (M/yr.).
- R_{CO2} : CO₂ recovery (%).
- TAC: total annual cost (M\$/yr.).
- *WI*: working investment (M\$).
- X^{k} : size of the process unit k (dam², MW, m³).
- $x_{CO2,F}$: CO₂ concentration in the feed stream (mole fraction).

- 603 Acronyms
- CCS: CO₂ capture and storage.
- $605 \quad CCU: CO_2 \text{ capture and utilization.}$
- *GAMS*: General Algebraic Modeling System.
- *HTU*: height of a transfer unit.
- *NLP*: nonlinear programming.
- *NTU*: number of transfer units.
- 611 Abbreviations
- *AAHE*: amine-amine heat exchanger.
- *AWHE*: amine-water cooler.
- *ABS*: absorption column.
- *IC*: heat exchangers (intercooling in the compression stage).
- *ATK*: amine tank.
- *BLOW*: blower.
- *COM1*, *COM2*: compressor in the first and second membrane stage.
- *COMP*: compressor in the compression stage.
- *COND*: condenser.
- *EXP*: expander.
- *MEA*: monoethanolamine.
- *MEM1*, *MEM2*: first and second membrane stages.
- *P1*: CO₂-enriched permeate stream.
- *PUMP1*, *CO*₂*P*: pump.
- R1: CO₂-lean retentate stream.
- *RAP*: rich amine solution pump.
- *REB*: reboiler.
- *REG*: regeneration column.
- *WTK*: water tank.

636 Appendix A. Membrane-based system

Figures A1 and A2 illustrate, respectively, the entire membrane-based process and thenomenclature used to derive the mathematical model of each membrane stage (MEM1 and MEM2).



653 The differential ordinary equation that describes the mass balance for the component *i* around 654 a differential volume element of area ΔA in steady state is expressed in Eq. (A1):

$$-d \frac{n_7 \cdot x_{7,i}}{dA_{MEM1}} = \xi_i \cdot \left(P^H \cdot x_{7,i} - P^L \cdot x_{8,i} \right) \tag{A1}$$

where ξ_i is the permeance of component *i*, A is the membrane surface area, and P^H and P^L are the retentate and permeate side pressures, respectively. The set of algebraic equations obtained for the discretization of the ODE (A1) by applying the backward finite difference method (BFDM) is expressed in Eq. (A2) to (A4):

$$-d \frac{n_7 \cdot x_{7,i}}{dA_{MEM1}} = \xi_i \cdot \left(P^H \cdot x_{7,i} - P^L \cdot x_{8,i} \right), \quad i = CO_2, N_2$$
(A2)

$$\frac{1}{2} \frac{(J-1)}{A_{MEM1}} \Big(-n_{5,j} \cdot x_{5,i,j} + n_{5,j+2} \cdot x_{5,i,j+2} \Big) + \xi_i \Big(P^H \cdot x_{5,i,j+1} - P^L \cdot x_{6,i,j+1} \Big) = 0, \quad j = 1; i = CO_2, N_2$$
(A3)

$$\frac{1}{2} \frac{(J-1)}{A_{MEM1}} \cdot \left(n_{5,j} \cdot x_{5,i,j} - 4 \cdot n_{5,j+1} \cdot x_{5,i,j+1} + 3 \cdot n_{5,j+2} \cdot x_{5,i,j+2} \right) + \qquad j = 1, \dots, J-2$$

$$\xi_i \cdot \left(P^H \cdot x_{5,i,j+2} - P^L \cdot x_{8,i,j+2} \right) = 0 \qquad \qquad i = CO_2, N_2$$
(A4)

659 where the subscript *j* represents the discretization points of the spatial domain.

660 The relationships between the flow rate and composition in the permeate stream in each 661 discretization point are given by the following constraints:

$$n_{5,j} - n_{5,j=J} - n_{6,j} = 0, \quad \forall j$$
(A5)

$$n_{5,j} \cdot x_{5,i,j} - n_{5,j=J} \cdot x_{5,i,j=J} - n_{6,j} \cdot x_{6,i,j} = 0, \quad \forall i, \forall j$$
(A6)

$$\sum_{i=1}^{2} x_{5,i,j} = 1, \quad \forall j \tag{A7}$$

$$\sum_{i=1}^{2} x_{6,i,j} = 1, \quad \forall j$$
(A8)

662 The stage cut φ_j , which represents the fraction of the feed flow rate that permeates at the 663 discretization point j of the membrane, is expressed as:

664

$$\varphi_{j,MEM1} \cdot n_{5,j=1} - n_{5,j=1} + n_{5,j} = 0, \quad \forall j$$
(A9)

665 Also, the following total and component mass balances in both membrane stages are 666 considered:

$$n_4 = n_7 + n_8$$
 (A10)

$$n_4 \cdot x_{4,i} = n_7 \cdot x_{7,i} + n_8 \cdot x_{8,i}, \quad i = CO_2, N_2$$
(A11)

$$n_{12} = n_{15} + n_{16} \tag{A12}$$

$$n_{12} \cdot x_{12,i} = n_{15} \cdot x_{15,i} + n_{16} \cdot x_{16,i}, \quad i = CO_2, N_2$$
(A13)

670

For an easier model implementation, the model variables that do not involve the index "j" used for discretization are defined to refer the inlet and outlet flow rate and composition in each stage (left-hand side), which are related to the discretized variables (right-hand side) as follows:

$$n_4 = n_{5,j}, \quad j = 1$$
 (A14)

$$n_8 = n_{6,j}, \quad j = 1$$
 (A15)

$$n_7 = n_{5,j}, \quad j = J \tag{A16}$$

$$x_{4,i} = x_{5,i,j}, \quad i = CO_2, N_2; j = 1$$
 (A17)

$$x_{8,i} = x_{6,i,j}, \quad i = CO_2, N_2; j = 1$$
 (A18)

$$x_{7,i} = x_{5,i,j}, \quad i = CO_2, N_2; j = J$$
 (A19)

$$n_{12} = n_{13,j}, \quad j = 1 \tag{A20}$$

$$n_{16} = n_{14,j}, \quad j = 1$$
 (A21)

$$n_{15} = n_{13,j}, \quad j = J$$
 (A22)

$$x_{12,i} = x_{13,i,j}, \quad i = CO_2, N_2; j = 1$$
 (A23)

$$x_{16,i} = x_{14,i,j}, \quad i = CO_2, N_2; j = 1$$
 (A24)

$$x_{15,i} = x_{13,i,j}, \quad i = CO_2, N_2; j = 1$$
 (A25)

671

672 Similar equations presented below are proposed for the membrane stage MEM2.

673

674 B.2 Power requirements

675 The power W_{MEM1} required for compressing the process feed is estimated by Eq. (A26):

$$W_{MEM1} = \frac{n_1}{\eta_C} \cdot \left(\frac{\gamma}{\gamma - 1}\right) \cdot R \cdot T_1 \cdot \left[\left(\frac{P^H}{P^L}\right)^{\frac{\gamma - 1}{\gamma}} - 1\right]$$
(A26)

676 where the relationship between P^H and T_2 is given by Eq. (A27):

$$\frac{T_2}{T_1} = \left(\frac{P^H}{P^L}\right)^{\frac{\gamma}{\gamma-1}}$$
(A27)

677 The power W_{MEM2} required for compressing the feed stream of the 2nd membrane stage is 678 estimated by Eq. (A28):

$$W_{MEM\,2} = \frac{n_{10}}{\eta_C} \cdot \left(\frac{\gamma}{\gamma - 1}\right) \cdot R \cdot T_{10} \cdot \left[\left(\frac{P^H}{P^L}\right)^{\frac{\gamma - 1}{\gamma}} - 1\right]$$
(A28)

679 The power W_E recovered through an isothermal expansion of the retentate stream is 680 calculated by Eq. (A29):

$$W_E = \frac{n_7}{\eta_E} \cdot R \cdot T_7 \cdot \ln\left(\frac{P^H}{P^L}\right)$$
(A29)

681 B.3 Energy balances

682 The required amount of cooling water in each heat exchanger can be obtained from Eqs.683 (A30) and (A31):

$$n_{cw,MEM\,1,1} \cdot c_P^{cw} \cdot \left(T_{cw,MEM\,1,2} - T_{cw,MEM\,1,2}\right) = n_2 \cdot c_P^{g} \cdot \left(T_2 - T_3\right) \tag{A30}$$

$$n_{cw,MEM\,2} \cdot c_P^{cw} \cdot \left(T_{cw,MEM\,2,2} - T_{cw,MEM\,2,1}\right) = n_{11} \cdot c_P^{g} \cdot \left(T_{11} - T_{12}\right) \tag{A31}$$

684

685 B.4 Heat transfer areas

The heat transfer area for removing the heat generated to compress the process feed (HTA_{MEM1}) and the permeate stream in a stage *s* (*HTA_{MEM2}*) are estimated by Eqs. (A32) and (A33), respectively:

$$HTA_{MEM1} = \frac{n_{cw,MEM1} \cdot c_P^{cw} \cdot \left(T_{cw,2,MEM1} - T_{cw,1,MEM1}\right)}{U \cdot LMTD_{MEM1}}$$
(A32)

$$HTA_{MEM\,2} = \frac{n_{cw,MEM\,2} \cdot c_P^{cw} \cdot \left(T_{cw,1,MEM\,2} - T_{cw,2,MEM\,2}\right)}{U \cdot LMTD_{MEM\,2}} \tag{A33}$$

689 The logarithmic mean temperature difference *LMTD*, which is given by Eqs. (A34) and690 (A35):

$$LMTD_{MEM1} = \frac{\left(T_2 - T_{cw,MEM1,2}\right) - \left(T_3 - T_{cw,MEM1,1}\right)}{\ln \frac{\left(T_2 - T_{cw,MEM1,2}\right)}{\left(T_3 - T_{cw,MEM1,1}\right)}}$$
(A34)

$$LMTD_{MEM 2} = \frac{\left(T_{11} - T_{cw,MEM 2,2}\right) - \left(T_{12} - T_{cw,MEM 2,1}\right)}{\ln \frac{\left(T_{11} - T_{cw,MEM 2,2}\right)}{\left(T_{12} - T_{cw,MEM 2,1}\right)}}$$
(A35)

691 B.5 Performance variables

692 The total membrane stage area *TMA* is computed by Eq. (A36) and the total heat transfer area693 *THTA* by Eq. (A37):

$$TMA = \sum_{s} MA_{s}, \quad s = MEM1, MEM2$$
(A36)

$$THTA = \sum_{s} HTA_{s}, \quad s = MEM1, MEM2$$
(A37)

The total power requirement TW is computed as follows:

$$TW = W_{MEM1} + W_{MEM2} + W_{Final\ Compression} \tag{A38}$$

 $W_{\text{Final Compression}}$ refers to the work required for the final compression.

Then, the total net power TNW is given by:

$$TNW = TW - W_E \tag{A39}$$

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696

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699 Appendix B. Amine-based chemical absorption process

Figures B1 and B2 illustrate, respectively, the entire amine-based chemical process and a generic absorption column. Figure B3 includes the nomenclature used to derive the mathematical model.





Figure B1. Schematic of the amine-based chemical absorption process.



Figure B2. Schematic of the absorption process.





709 710

Figure B3. Schematic of a generic stage z of the absorption column.

As shown in Figs. B2 and B3, the gas stream #2 (and thereby #3) goes up from stage z-1 to stage z and the amine solution stream #7 (and thereby #6) flows down from stage z+1 to stage z. The stages z=1 and z=Z refer, respectively, to the column bottom and top. The total number of stages Z is a model parameter.

By considering that *n*, *T*, and *P* represent the molar flow, temperature, and pressure of each stream, and x_i is the molar fraction of component *i* (MEA, CO₂, H₂O, N₂, and O₂), the mathematical model can be presented as follow.

- 719
- 720 B.1 Absorption column mathematical model
- 721 B1.1 Overall mass balance in stage z

$$n_{3,z-1} + n_{6,z+1} - n_{3,z} - n_{6,z} = 0 \tag{B1}$$

722 B.1.2 Mass balance for component i in stage z

$$n_{3,z-1} \cdot x_{3,i,z-1} + n_{6,z+1} \cdot x_{6,i,z+1} - n_{3,z} \cdot x_{3,i,z} - n_{6,z} \cdot x_{6,i,z} = 0, \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B2)

$$\sum_{i} x_{s,z,i} = 1, \quad s = 3,6; \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B3)

723 B.1.3 Ionic charge relationships in stage z (liquid phase)

$$X_{6,MEAH^+,z} + X_{6,H_3O^+,z} = X_{6,MEACOO^-,z} + X_{6,HCO_3^-,z} + 2X_{6,CO_3^{2-},z} + X_{6,OH^-,z}$$
(B4)

$$x_{6,MEA,z} = X_{6,MEAH^+,z} + X_{6,MEACOO^-,z} + X_{6,MEA,z}$$
(B5)

$$x_{6,CO_{2},z} = X_{6,HCO_{3}^{-},z} + X_{6,CO_{3}^{2^{-}},z} + X_{6,CO_{2},z} + X_{6,MEACOO^{-},z}$$
(B6)

724 B.1.4 Energy balance in stage z

$$n_{3,z-1} \cdot h_{3,z-1} - n_{3,z} \cdot h_{3,z} + n_{6,z+1} \cdot (h_{6,z+1} \cdot x_{6,CO_{2},z+1} + \Delta H_{R,6,z+1} + x_{6,H_{2}O,z+1} \cdot \Delta H_{V,6,H_{2}O,z+1} + x_{6,MEA,z+1} \cdot \Delta H_{V,6,MEA,z+1})$$

$$-n_{6,z} \cdot (h_{6,z} + x_{6,CO_{2},z} \cdot \Delta H_{R,44,z} + x_{6,H_{2}O,z} \cdot \Delta H_{V,6,H_{2}O,z} + x_{6,MEA,z} \cdot \Delta H_{V,6,MEA,z}) = 0$$
(B7)

where *h* refers to the enthalpy (molar base), and ΔH_R and ΔH_V are the reaction and vaporization heats, respectively. They are calculated in terms of the temperature and the CO₂ loading factor using correlations suggested in [55] and [56]. The corresponding correlations are presented in the Supplementary Material associated with this article.

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730 B.1.5 Chemical and phase equilibrium relationships

Figure 2731 Equilibrium constants K_m of reactions r_1 - r_5 are calculated by Eqs. (B8) and (B9), with 2732 compositions in molar fraction and temperature in Kelvin:

$$m = r1, r2, r3, r4, r5$$

$$K_{m,z} = \prod_{j} \left(a_{6,j,z} \right)^{\nu_{j}} = \prod_{j} \left(X_{6,j,z} \cdot \gamma_{6,j,z} \right)^{\nu_{j}}, \quad j = MEA, MEAH^{+}, MEACOO^{-},$$

$$CO_{2}, HCO_{3}^{-}, CO_{3}^{2-}, H_{3}O^{+}, OH^{-}$$
(B8)

$$K_{m,z} = exp\left(A + \frac{B}{T_{6,z}} + C \cdot l n(T_{6,z}) + D \cdot T_{6,z} + E \cdot T_{6,z}^{2}\right), \quad m = r1, r2, r3, r4, r5$$
(B9)

 $a_{i,z}$, $\gamma_{i,z}$, and v_i , are, respectively, activity, activity coefficient, and stoichiometric coefficient for component i in reaction m at stage z. As ideal gas behavior is assumed for the liquid phase, the activity coefficients are set to one (Kent-Eisenberg model). The coefficients used in Eq. (B9) are given by [57] and [58], which are provided in the Supplementary Material.

The equilibrium phase relationships for reaction r1 and r2-r3 are estimated, respectively, by Eqs. (B10) and (B11): 738

$$x_{3,i,z} \cdot \varphi_{3,i,z} \cdot P_{3,z} = H_{6,i,z} \cdot \frac{x_{6,i,z}}{\rho_{6,z}}, \quad i = CO_2$$
(B10)

$$x_{3,i,z} \cdot \varphi_{3,i,z} \cdot P_{3,z} = p_{6,i,z} \cdot x_{6,i,z}, \quad i = MEA, H_2O$$
(B11)

where ρ is the molar density (kmol m⁻³), P the total pressure (kPa), φ the fugacity coefficient in the 739 gas phase (dimensionless), x the composition of gas and liquid streams (molar fraction), H the 740 Henry's law constant (kPa m^3 kmol⁻¹), and *P* the vapor pressure (kPa). 741

742

B.1.6 Stream properties 743

744 The solubility of CO₂ in MEA solution $H_{6,CO2}$ corrected for solution ionic strength I is calculated by Eqs. (B12) to (B14) which are taken from [58] and [59]. 745

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$$H_{6,CO_{2},z} = 10^{0.152 I_{z}} \left(x_{6,H_{2}O,z} \cdot H_{6,CO_{2}-MEA,z} + x_{6,CO_{2},z} \cdot H_{6,CO_{2}-H_{2}O,z} \right)$$
(B12)

$$H_{6,CO_2-i,z} = \frac{10^{-3}}{\rho_{6,z}} \cdot exp\left(A + \frac{B}{T_{6,z}} + C \cdot ln(T_{6,z}) + D \cdot T_{6,z} + E \cdot T_{6,z}^2\right), \quad i = MEA, H_2O$$
(B13)

$$I_{z} = 0.5 \frac{\sum_{j} \psi_{j} \cdot X_{6,j,z}}{\rho_{6,z}}, \quad j = MEAH^{+}, MEACOO^{-}, H_{3}O^{+}, OH^{-}, HCO_{3}^{-}, CO_{3}^{-2}$$
(B14)

where ψ_i is the ion charge. 747

Vapor pressure (kPa) is calculated by the Antoine expression:

$$p_{6,i,z} = exp\left(A_i + \frac{B_i}{T_{6,z}} + C_i \cdot ln(T_{6,z}) + D_i \cdot T_{6,z} + E_i \cdot T_{6,z}^2\right), \quad i = MEA, H_2O$$
(B15)

749 The corresponding coefficients and the coefficients used in Eq. (B13) and (B15) are provided in the 750 Supplementary Material.

- The calculation of the gas and liquid viscosities are based on a logarithmic form of the mixing 751 752 rule as suggested in [59]. The solvent viscosity is corrected for the CO₂ presence as in [60].
- The gas diffusivity is calculated by a modified version of the Chapman-Enskog correlation 753 754 [61] (Eq. B16). The estimation of CO₂ diffusivity in MEA solution (Eq. B17) is based on the N₂O

analogy [62] which corrects the effect of the CO₂–MEA reaction. The expression is adapted from
[63] and [64].

$$D_{3,z} = \sum_{i} x_{3,i,z} \frac{a_i \cdot T_{3,z}^{1.75}}{P_{3,z}}, \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B16)

$$D_{6,z} = \left(2.35 \times 10^{-6} e^{-2119 \cdot T_{6,z}^{-1}}\right) \cdot \left(\frac{\mu_{6,H_2O,z}}{\mu_{6,z}}\right)^{0.51}$$
(B17)

757 Correlations taken from [59] are used to compute the enthalpies in the gas and liquid phases758 (see Supplementary Material).

760 B.1.6.1 Fugacity coefficient and compressibility factor

The gas-phase fugacity coefficient φ and the compressibility factor fc of a component k are
estimated by using the Peng-Robinson equation of state for multi-component systems [65] by Eqs.
(B18) and (B19), respectively.

$$\ln\left(\varphi_{k,z}\right) = \frac{b_{PR,k}}{b_{PR,3,z}} (fc_{3,z} - 1) - \ln\left(fc_{3,z} - B_{PR,3,z}\right)$$

$$-\frac{1}{2\sqrt{2}} \cdot \frac{A_{PR,3,z}}{B_{PR,3,z}} \cdot \left(\frac{2\sum_{i} (x_{3,i,z} \cdot a_{PR,i,k,z})}{a_{PR,3,z}} - \frac{b_{PR,k}}{b_{PR,3,z}}\right) \qquad i \neq k; i = MEA, CO_2, H_2O, N_2, O_2 \qquad (B18)$$

$$\ln\left(\frac{fc_{3,z} - 2.414 \cdot B_{PR,3,z}}{fc_{3,z} - 0.414 \cdot B_{PR,3,z}}\right)$$

$$fc_{3,z}^3 - fc_{3,z}^2 \cdot (1 - B_{PR,3,z}) + fc_{3,z}^3 \cdot (A_{PR,3,z} - 3 \cdot B_{PR,3,z}^2 - 2 \cdot B_{PR,3,z}) + -(A_{PR,3,z} \cdot B_{PR,3,z} - B_{PR,3,z}^2 - B_{PR,3,z}^3) = 0$$
(B19)

764

The mixture values A and B are calculated by the mixing rules by Eqs. (B20)–(B27):

$$A_{PR,3,z} = \frac{a_{PR,3,z} \cdot P_{3,z}}{R^2 \cdot T_{3,z}^2}$$
(B20)

$$a_{PR,3,z} = \sum_{i} \sum_{k} (x_{3,i,z} \cdot x_{3,k,z} \cdot a_{PR,i,k}), \quad i \neq k, \, i = MEA, CO_2, H_2O, N_2, O_2$$
(B21)

$$a_{PR,i,k,z} = a_{PR,i,z}^{1/2} \cdot a_{PR,k,z}^{1/2} \cdot \left(1 - \delta_{PR,i,k}\right), \quad i \neq k, \, i = MEA, CO_2, H_2O, N_2, O_2$$
(B22)

$$a_{PR,i,z} = \left(0.45724 \cdot \frac{R^2 \cdot T_{c,i}^2}{P_{c,i}}\right) \cdot \left(1 + \left(1 - \kappa_{PR,i} \cdot \left(\frac{T_{3,z}}{T_{c,i}}\right)^{1/2}\right)\right)^2, \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B23)

$$\kappa_{PR,i} = 0.37464 + 1.54226 \cdot \omega_{PR,i} - 0.26992 \cdot \omega_{PR,i}^2, \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B24)

$$B_{PR,3,z} = \frac{b_{PR,3,z} \cdot P_{3,z}}{R \cdot T_{3,z}}$$
(B25)

$$b_{PR,3,z} = \sum_{i} \sum_{i} (x_{3,i,z} \cdot b_{PR,i}), \quad i = MEA, CO_2, H_2O, N_2, O_2$$
(B26)

$$b_{PR,i} = 0.07780 \cdot \frac{R \cdot Tc_i}{Pc_i}, \quad i = MEA, CO_2, H_2O, N_2, O_2$$
 (B27)

where T_c and P_c are the critical temperature and pressure, ω_{PR} the acentric factor, and δ_{PR} a binary interaction coefficient (see Supplementary Material).

- 767
- 768 B.1.7. Design of the absorption column
- 769 B.1.7.1. Column diameter

The diameter of each stage $D_{ABS,z}$ is calculated by:

$$D_{ABS,z} = \left(\frac{4 \cdot n_{3,z}}{\pi \cdot f_{ABS,z} \cdot u_{f,ABS,z} \cdot \rho_{3,z}}\right)^{0.5}$$
(B28)

where u_f is the flooding velocity (m s⁻¹) and *f* is the flooding factor (dimensionless) which ranges from 0.6 to 0.85. The flooding velocity for random packing is calculated according to [66] by Eqs. (B29)–(B31).

$$\Upsilon_{z} = f_{2,z} \cdot f_{3,z} \cdot \left(\frac{F_{p} \cdot \left(u_{f^{3,z}}\right)^{2}}{g}\right) \cdot \left(\frac{\rho_{3,z} \cdot MW_{3,z}}{999.53}\right)$$
(B29)

$$\Upsilon_{z} = \exp\left(-3.7121 - 1.0371 \cdot \ln\left(f_{1,z}\right) - 0.1501 \cdot \left(\ln\left(f_{1,z}\right)\right)^{2} - 0.00754 \cdot \left(\ln\left(f_{1,z}\right)\right)^{3}\right)$$
(B30)

$$0.01 \le \Upsilon_z \le 10 \tag{B31}$$

where f_1 , f_2 , and f_3 are dimensionless factors and are provided in the Supplementary Material.

According to [47] and [67], the column diameter expressed in meter should be restricted to:

776

$$10 d_p \le D_{ABS,z} \le 12.8$$
 (B32)

where d_p is the nominal diameter of packing (model parameter).

778

779 B.1.7.2 Column height

780 The height of the absorption column H_{ABS} depends on the separation requirements and the 781 packing efficiency. The classical NTU–HTU approach is used to compute the stage height $h_{ABS,z}$:

$$H_{ABS} = \sum_{z=1}^{Z} h_{ABS,z}$$
(B33)

$$h_{ABS,z} = HTU_z \cdot NTU_z \tag{B34}$$

$$HTU_{z} = \left(\frac{n_{3,z}}{A_{ABS,z} \cdot R \cdot T_{3,z} \cdot a_{e,z} \cdot k_{3,z} \cdot \rho_{3,z}}\right) + \Gamma_{z} \left(\frac{n_{6,z}}{A_{ABS,z} \cdot k_{6,z} \cdot a_{e,z} \cdot \rho_{6,z} \cdot E_{z}}\right)$$
(B35)

$$NTU_z = -\ln\left(1 - \eta_z\right) \tag{B36}$$

$$\eta_z = \frac{x_{3,CO_2,z} - x_{3,CO_2,z-1}}{x_{3,CO_2,z}^* - x_{3,CO_2,z-1}}$$
(B37)

$$\Gamma_{z} = m_{6,z} \cdot \frac{n_{3,z}}{n_{6,z}}$$
(B38)

where $a_{e,z}$ is the effective interfacial area for mass transfer (m² m⁻³); Γ the stripping factor; m the slope of the equilibrium line; k_3 (kmol kPa⁻¹ s⁻¹ m⁻²) and k_6 (m s⁻¹) the gas-side and liquid-side mass transfer coefficients, respectively; E the enhancement factor (dimensionless); η the Murphree efficiency (dimensionless); R the universal gas constant (kPa m³ kmol⁻¹ K⁻¹). The superscript * refers to the equilibrium conditions.

787 The effective *interfacial area* for mass transfer a_e is calculated by the correlation proposed by
788 [68] which is expressed in Eq. (B39):

$$\frac{a_{e,z}}{a_t} = 1 - \exp\left[-1.45 \left(\frac{\sigma_c}{\sigma_{6,z}}\right)^{0.75} \operatorname{Re}_z^{0.1} \cdot Fr_z^{-0.05} \cdot We_z^{0.2}\right]$$
(B39)

where Re, Fr, and We are the Reynolds, Froude, and Weber numbers, respectively; σ_c and a_t refer to the surface tension (N m⁻¹) and total surface area (m² m⁻³) of the packing material (model parameters), respectively; σ_6 is the liquid surface tension (N/m⁻¹).

The influence of reactions r6 and r7 on the CO_2 mass transfer is considered by the enhancement factor E:

$$E_{z} = \frac{\left[D_{6,CO_{2},z}\left(k_{r6,z} \cdot x_{6,\text{MEA},z} + k_{r7,z} \cdot x_{6,CO_{2}A,z}\right)\right]^{1/2}}{k_{6,z}}$$
(B40)

where D is the diffusivity (m²/s). The forward constants k_{r6} and k_{r7} of the parallel and kinetically controlled reactions are taken from [69] and [57] and are provided in the Supplementary Material.

797 A.1.7.3. Column pressure drop

798 The total pressure drop ΔP_{ABS} (kPa) in the absorption column is calculated by Eq. (B41):

$$\Delta P_{ABS} = \sum_{z} \Delta P_{ABS,z} \cdot h_{ABS,z}$$
(B41)

where the pressure drop per unit of packing ΔP_z (kPa/m) is estimated by correlations given in [70], which consider the pressure drop associated to the dry packing and to the liquid presence (Eqs. B42–B46):

$$\Delta P_{ABS,z} = 0.8160 \cdot \left(f_{4,z} + 0.4 \cdot f_{4,z}^4 \left(\frac{f_{5,z}}{20000} \right)^{0.1} \right)$$
(B42)

$$f_{4,z} = 7.4 \times 10^{-8} \cdot \left(f_{6,z}^2 \cdot 10^{2.7 \times 10^{-5}} \right)$$
(B43)

$$f_{5,z} = \left(737.38 \frac{n_{6,z} \cdot MW_{6,z}}{A_z}\right) \cdot \left(\frac{999.53}{\rho_{6,z} \cdot MW_{6,z}}\right) \cdot \left(\frac{Fp_d}{64.056}\right)^{0.5} \cdot \left(\frac{\mu_{6,z}}{1000}\right)^{0.2}, Fp_d > 61m^2 / m^3$$
(B44)

$$f_{5,z} = \left(737.38 \cdot \frac{n_{6,z} \cdot MW_{6,z}}{A_z}\right) \cdot \left(\frac{999.53}{\rho_{6,z} \cdot MW_{6,z}}\right) \cdot \left(\frac{64.056}{Fp_d}\right)^{0.5} \cdot \left(\frac{\mu_{6,z}}{1000}\right)^{0.1}, \ Fp_d \le 61 \, m^2 \, / \, m^3 \tag{B45}$$

$$f_{6,z} = \left(0.8197 \cdot \frac{n_{3,z} \cdot MW_{3,z}^{0.5}}{A_z \cdot \rho_{3,z}^{0.5}}\right) \cdot \left(\frac{Fp_d}{64.056}\right)^{0.5} \left(10^{0.019\rho_{3,z} \cdot MW_{3,z}}\right)$$
(B46)

The following constraints impose minimum and maximum permissible column pressure drops per unit of packing height to ensure a minimum vapor flow rate for avoiding laminar vapor flow and having a good vapor distribution [59,71]:

$$0.08 \, kPa \,/\, m \le \Delta P_{ABS,z} \le 1 \, kPa \,/\, m \tag{B47}$$

 $B.2 CO_2$ capture level

806 The percentage of the CO_2 captured in the absorber ($R_{CO2,ABS}$) and the total percentage 807 (R_{CO2}) are calculated by Eqs. (B48) and (B49), respectively:

808

$$R_{CO_2,ABS} = 100 \ \frac{n_2 x_{CO_2,2} - n_4 x_{CO_2,4}}{n_2 x_{CO_2,2}} \tag{B48}$$

$$R_{CO_2} = 100 \ \frac{n_{26} x_{CO_2,26}}{n_2 x_{CO_2,2}} \tag{B49}$$

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