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PREPARATION AND CHARACTERIZATION OF LIGNIN MICROPARTICLES-IN-ALGINATE BEADS FOR ATRAZINE CONTROLLED RELEASE

--Manuscript Draft--

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Abstract:	<p>The use of lignin as polymeric matrices for controlled release systems in agriculture is a promising alternative for its revalorization. In this work, different atrazine delivery systems were studied. Lignin derived from ionic isolation was used for the preparation of atrazine-loaded microparticles by the solvent extraction/evaporation and microfluidic techniques. Microparticles were also encapsulated in sodium alginate beads. Lignin microparticles prepared by microfluidics presented a larger particle size, higher encapsulation efficiency and a narrow size distribution. The in vitro release of atrazine was evaluated in water. Atrazine release from microparticles prepared by the solvent extraction/evaporation technique showed a significant burst release, and this effect was reduced by incorporating microparticles within alginate beads. In addition, the phytotoxicity of the systems was evaluated employing <i>Lactuca sativa</i> seeds. The phytotoxicity results showed that lignin-based formulations are safe according to the parameters evaluated, in contrast with commercial atrazine that resulted phytotoxic.</p>	
Response to Reviewers:	<p>Reviewer 1</p> <p>The paper is highly recommended for publication in this journal but please consider the following comments and suggestions:</p> <ol style="list-style-type: none"> p.10 line 236 - "The dilution factor was taking..." - change taking into taken As the reviewer mentioned, the term "taking" was changed by "taken". p.11 line 244 - "Four atrazine standards....." - but you mentioned calibration (1, 5, 30, 60, 100 mg/L) in line 245 - kindly check and make necessary correction by mentioning "Five atrazine standards" instead of four. 	

As the reviewer noted, there was a mistake in the number of atrazine standards and it was corrected.

3. p.11 line 249 - change "with slightly modifications" to "with slight modifications"

The expression "with slightly modifications" was changed by "with slight modifications".

4. p.11 line 253 - "concentration of atrazine equivalent to 50 ppm was used" - why 50 ppm? please give justification why you use this particular concentration. Is this the minimum effective dose?

The concentration of 50 ppm was used in order to emulate an application rate of 2 kg ha⁻¹, which correspond to the recommended dose in field. This point was mentioned in the manuscript.

5. p.12 line 273 - "...were 66.26, 0.26, 0.71, and 8.27, respectively" - what is the unit of these values? % w/w? or ppm? - please indicate the unit

As the reviewer requested, the unit of the elemental analysis is "%" and it was incorporated in the indicated section.

6. p.19 line 395 - check spelling of "polidispersity" - is it not polydispersity?

As the reviewer noted, the spelling of polidispersity was wrong. It was corrected.

7. p.23 line 458 - "...statistically differences" - maybe changed to "statistical differences"

As the reviewer requested, the term "statistically differences" was changed by "statistical differences" along the manuscript.

I N T E C



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Prof. Kerry Kirwan

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Thank you for your mail regarding our manuscript: "Preparation and characterization of lignin microparticles-in-alginate beads for atrazine controlled release", by C. A. Busatto, M. E. Taverna, M. R. Lescano, C. Zalazar and D. A. Estenoz, submitted for publication in Journal of Polymers and the Environment.

The manuscript now includes all of the last reviewer suggestions.

Hoping that you will now find this final version acceptable for publication, please receive my kind regards.

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1 **PREPARATION AND CHARACTERIZATION OF LIGNIN MICROPARTICLES-IN-**
2 **ALGINATE BEADS FOR ATRAZINE CONTROLLED RELEASE**

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26 12 **Abstract**

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28 13 The use of lignin as polymeric matrices for controlled release systems in agriculture is a
29
30 14 promising alternative for its revalorization. In this work, different atrazine delivery
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32 15 systems were studied. Lignin derived from ionic isolation was used for the preparation
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51
52 25 parameters evaluated, in contrast with commercial atrazine that resulted phytotoxic.

27 Keywords: lignin; microparticles; atrazine; controlled release

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29 **1. Introduction**

30 Pesticides are widely used in agriculture to protect crops from potential yield losses. In
31 commercial pesticide formulations, most of the active principle is lost to the
32 environment and less than 1% remains on the target [1]. This fact has increased the
33 interest in developing efficient and safe formulations to reduce the harmful effects of
34 pesticides on the environment [1, 2]. In this direction, controlled release formulations
35 present several advantages over traditional commercial formulations, such as reduction
36 of pesticide use, protection of pesticides from environmental degradation, increased
37 safety for users and the environment, and reduced leaching of pesticides in soil [3, 4].

38 The conventional encapsulation techniques for pesticides include ionotropic gelation
39 [5], complex coacervation [6], *in situ* polymerization [7, 8], interfacial polymerization
40 [9], nanoprecipitation [10], and solvent extraction/evaporation [11]. However, the size
41 of particles is difficult to control and the size distribution can be broad via mechanical
42 stirring, homogenization or ultrasonication [12]. Dispersion in size and morphology of
43 the carriers may produce undesirable variation in the rate of particle degradation, drug
44 stability, and the kinetics of drug release [13], decreasing the effectiveness of
45 formulations. Fabrication of polymeric microparticles with controlled size and
46 morphology can be achieved by microfluidics [14]. Using microfluidic devices, streams
47 of immiscible fluids can be combined to generate highly monodisperse emulsion
48 droplets, which allow a precise control over the size of final particles. Microfluidic is a
49 promising technique for the development of pesticide delivery systems because it offers
50 low-cost and easy-to-use platforms [13].

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Atrazine is a triazine herbicide commonly used to prevent pre- and postemergence broadleaf weeds in crops [15]. However, several animal studies have related atrazine with a wide range of adverse health effects, including reproductive disruption and cancer [16, 17]. Based on the available evidence, the European Union banned the use of atrazine in 2004 although this herbicide is employed in many countries. Atrazine can be detected in soil, surface water, and groundwater at concentrations exceeding its maximum permissible limit [18]. Detection of atrazine in water is related to its intense usage, moderate persistence and mobility through the soil [19].

Several authors studied the herbicidal activity and phytotoxicity effects of atrazine delivery systems in plants and seeds compared to a commercial formulation. Grillo et al. [20] studied the incorporation of atrazine into poly(hydroxybutyrate-co-hydroxyvalerate) (PHBV) microspheres. The *in vitro* experiments indicated that atrazine-loaded PHBV microspheres produce lower genotoxic effects than free atrazine in *Lactuca sativa* plants. Pereira et al. [11] prepared nanoparticles of poly(ϵ -caprolactone) (PLC) containing atrazine and evaluated their herbicidal activity and genotoxicity. The nanoparticle formulations were shown to be effective for the control of the target species and demonstrated that they were able to reduce the genotoxicity of the herbicide. Oliveira et al. [21] demonstrated that encapsulation of atrazine in PCL nanocapsules not only maintained the action mechanism of the herbicide, but also potentiated its herbicidal activity against mustard plants when compared with the effects of the commercial atrazine product. Thus, it was possible to reduce the atrazine dosage, without compromising the biological activity of the herbicide. De Oliveira et al. [22] prepared solid lipid nanoparticles containing atrazine and simazine. The results indicated that the formulations were more effective, compared to the commercial formulation, and caused no toxicity in non-target organisms (*Z. mays* plants and mouse

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76 fibroblast cells). Chen and Wang [23] prepared atrazine-loaded poly(lactic-co-glycolic
77 acid) (PLGA) nanoparticles (NPs) by the emulsion-solvent evaporation method. The
78 results demonstrated that PLGA-NPs had a high encapsulation efficiency and slow
79 release rate. Also, Sharma et al. [24] studied a nanosorbent for atrazine release based on
80 hydroxyapatite, obtaining promising results related to the reduction of agricultural
81 runoffs.

82 Andrade [25] investigated the effects of nanoencapsulated atrazine compared to free
83 atrazine on biomarkers of the freshwater teleost *Prochilodus lineatus*, concluding that
84 the exposure to free atrazine promoted changes in a greater number of biomarkers
85 compared to encapsulated atrazine and thus indicating that the nanoencapsulation of the
86 herbicide protected the animal from the effects of atrazine.

87 In recent years, natural polymers have gained considerable acceptance over synthetic
88 polymers as matrices for controlled release formulations because of their eco-friendly
89 nature, cost effectiveness, easy availability, and biodegradability [26]. The most
90 commonly used are sodium alginate [27], cellulose [28], lignin [29, 30, 31], starch [32],
91 and chitosan [33]. Among synthetic polymers, PCL [21], polylactic acid [12],
92 poly(hydroxybutyrate) (PHB) and poly(hydroxybutyrate-valerate) (PHBV) [34] are the
93 most frequently used matrices for pesticide encapsulation.

94 Lignin is the second most abundant polymer from biomass after cellulose and is the
95 largest renewable source of aromatic groups in nature [35]. Nowadays, most
96 commercial lignins are obtained as a by-product from lignocellulose treatments
97 performed during pulp and paper processing [36]. Isolation of lignins using ionic liquids
98 is a promising alternative because it is an ecofriendly method and they promote
99 selective extraction of selected components. The physico-chemical characteristics of the
100 different lignins can vary noticeably depending on the original source and extraction

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101 method used [37]. The use of lignin for the synthesis of novel polymeric materials is the
102 most promising alternative for its revalorization. In the last decades, several authors
103 have reported the use of lignin as matrices for controlled release formulations of
104 pesticides. In our previous work, the preparation of organosolv and ionic lignin
105 microparticles for the controlled release of atrazine was studied [29]. About 98% and
106 95% of atrazine was released in 24 and 48 h approximately from organosolv and ionic
107 lignin microparticles, respectively. In addition, atrazine mobility experiments in soil
108 showed that atrazine-loaded microparticles could reduce leaching compared to a
109 commercial formulation of free atrazine. In order to improve the efficiency of
110 formulations by controlling the morphology of the particles and increasing the release
111 time, different preparation techniques and matrices need to be evaluated. On the other
112 hand, it is important to investigate the phytotoxicity of formulations to assess their
113 safety and herbicidal activity.

114 In the present work, lignin derived from spruce and obtained from ionic isolation
115 process was used for the preparation of atrazine-loaded microparticles. Lignin
116 microparticles were prepared by the solvent extraction/evaporation and microfluidic
117 techniques. Microparticles were also encapsulated in sodium alginate beads. The
118 systems were characterized in terms of particle size and distribution, morphology, drug
119 encapsulation efficiency and swelling behavior. The *in vitro* release of atrazine was
120 evaluated in water. In addition, phytotoxicity of the systems was evaluated employing
121 *Lactuca sativa* seeds in comparison with a commercial free atrazine formulation.

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122 2. Experimental work

123 2.1 Materials

124 Ionic lignin from spruce was obtained through a patented isolation method that employs
125 ionic imines. It was kindly provided to us by Dr. Stefan Saur and Prof. Dr. Willi
126 Kantlehner from Hochschule Aalen (Aalen, Germany). Atrazine commercial
127 formulation ($\geq 90\%$, SYNGENTA) was used for control release and germination index
128 experiments. Atrazine standard (98%, Chem Service Inc., USA) was used for High
129 Pressure Liquid Chromatography (HPLC) calibration. The following chemical reagents
130 were used as received: sodium alginate (NaAg, Todo droga), calcium chloride (CaCl_2 ,
131 Sigma-Aldrich), polyvinyl alcohol (PVA; 205 kDa; 87.7% hydrolyzed; Sigma Aldrich),
132 dichloromethane (DCM; Anedra), methanol (Cicarelli), tetrahydrofuran (THF,
133 Cicarelli), acetonitrile (HPLC grade, Sintorgan), glacial acetic acid (analytical reagent,
134 Anedra). Ultrapure water ($0.055 \mu\text{S cm}^{-1}$) was used to prepare all solutions. This water
135 was obtained from an OSMOION purification equipment.

136 2.2 Characterization of lignin

137 The moisture, ash content, elemental composition and polymer molar mass distribution
138 of ionic lignin were determined as detailed in our previous work [29].
139 The moisture and ash content were determined gravimetrically. Elemental composition
140 including carbon (C), hydrogen (H), sulphur (S), and nitrogen (N) were performed by
141 an elemental CHNSO analyzer (SerieII, Perkin Elmer). For polymer mass distribution, a
142 Waters 1525 chromatograph fitted with an automatic injector (Waters 717plus) was
143 used. The chromatograph was fitted with a set of Waters Styragel HR 4 E 7.6×300 mm
144 columns and a differential refractometer detector (Waters 2414). The carrier solvent was
145 THF at 1 mL min^{-1} and the system was operated at $25 \text{ }^\circ\text{C}$. Dry samples were dissolved

146 in 0.25 mL THF with a nominal concentration of 1 mg mL⁻¹. Injection volumes were
147 200 µL. Polyethylene glycol standards were used for the calibration.

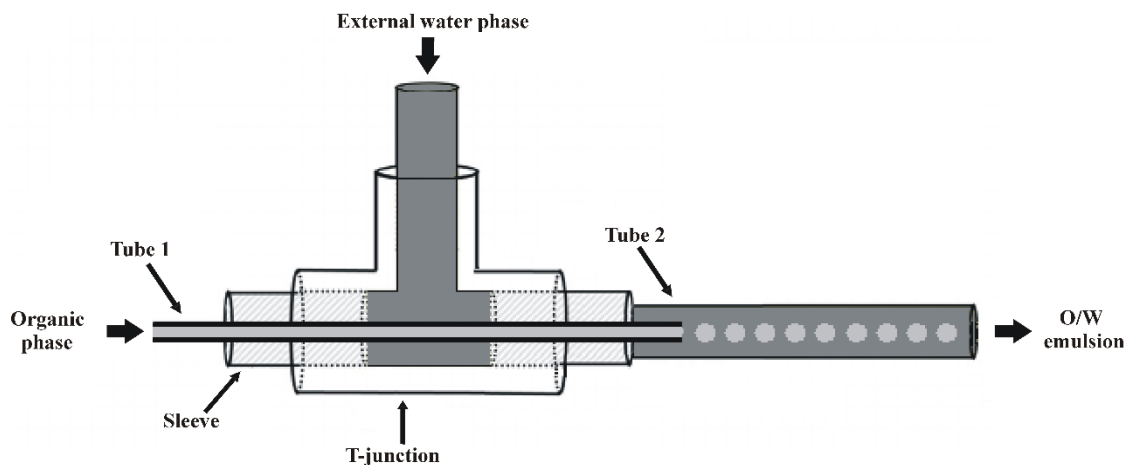
148 *2.3 Preparation of lignin microparticles by the solvent extraction/evaporation technique*

149 Microparticles were prepared according to the solvent extraction/evaporation method
150 described by Taverna et al. [29]. Briefly, ionic lignin (30 mg) was dissolved in 3 mL of
151 DCM and the suspension was filtered through a 0.45 µm nylon microfiltration
152 membrane (Microclar, Argentina) to remove the undissolved lignin. Atrazine standard
153 was added to the solution at a concentration of 20% w/w with respect to the polymer,
154 and the dispersion was sonicated for 1 min. Then, the solution was dropped onto a
155 aqueous solution of PVA (1% w/v) and stirred at 500 rpm using a homogenizer
156 (Kinematica Polytron PT 2500e, Switzerland) for 5 min. Afterwards, 70 mL of 0.3%
157 w/v PVA solution was added and the emulsion was stirred for 30 min. The organic
158 solvent was removed using a rotary evaporator (Büchi EL 130, Germany) for 2 h at
159 room temperature. Solid microparticles were collected by centrifugation using a Hettich
160 Universal 16 centrifuge (Germany) at 2000 rpm for 3 min, washed with water twice and
161 lyophilized using a Telstar Cryodos 80 lyophilizer (Spain). Dried microparticles were
162 stored in a desiccator at room temperature until further analysis.

163 *2.4 Preparation of microparticles by microfluidics*

164 Microparticles were prepared using a co-flow microfluidic device as described by
165 Busatto et al. [38]. The system consisted of two concentric capillary tubes (tube 1 and
166 tube 2) and a T-junction (Fig. 1). The fused silica tube 1 (inner diameter: 75 µm, outer
167 diameter: 148 µm) associated with adjusted tubing sleeves (1/16'', inner diameter of
168 180 µm) was inserted into the T-junction (1/16'') along its main axis. This tube crosses
169 the T-joint and ends in the center of the fused silica tube 2 (inner diameter: 250 µm,
170 outer diameter: 356 µm). The T-junction allows the injection of the dispersed liquid

171 phase into the continuous phase. The continuous phase consisted of a PVA solution (1%
 172 w/v). For the preparation of the dispersed phase, ionic lignin (30 mg) was dissolved in 3
 173 mL of DCM and the suspension was filtered through a 0.45 μm nylon microfiltration
 174 membrane (Microclar, Argentina) to remove the undissolved lignin. Atrazine standard
 175 was added to the solution at a concentration of 20% w/w with respect to the polymer,
 176 and the dispersion was sonicated for 1 min. The dispersed and continuous phases were
 177 injected using syringe pumps and the flow rates were 17 $\mu\text{L min}^{-1}$ and 21 $\mu\text{L min}^{-1}$,
 178 respectively. The resulting emulsion was collected in a beaker containing 100 mL of
 179 ultrapure water and then placed in a rotary evaporator (Büchi EL 130, Germany) for 2 h
 180 at room temperature to evaporate the remaining solvent. The particles were then
 181 separated by centrifugation at 500 rpm for 2 min, and washed twice with ultrapure
 182 water. Finally, microparticles were lyophilized and stored for further analysis.



183
 184 **Fig. 1.** Schematic representation of the microfluidic device used for lignin microparticles
 185 preparation.

187 2.5 Preparation of alginate beads containing lignin microparticles

188 About 4 mg of atrazine-loaded microparticles were dispersed in 0.5 mL of ultrapure
 189 water and vortexed during 1 min. Then, the dispersion was mixed with 1.5 mL of

190 sodium alginate solution in distilled water (2% w/v) and vortexed during 1 min. The
191 resulting dispersion was added dropwise to a 30 mL gellant bath of 0.25 M CaCl₂ using
192 a syringe pump. Alginate beads containing lignin microparticles were filtered, washed
193 twice with ultrapure water and dried in an oven at 40 °C until constant weight.

194 *2.6 Particle size determination*

195 Microparticles were dispersed in water and observed in an optical microscope (DM
196 2500 M, Leica, Germany) coupled with a camera (LEICA DFC 290 HD). The mean
197 particle diameter was determined using a free image processing program.
198 Approximately 300 particles were measured for each formulation.

199 The average diameter of dry alginate beads was determined using a Leica S8 APO
200 stereomicroscope (Leica AG, Wetzlar, Germany).

201 *2.7 Particle morphology*

202 The morphology of microparticles was studied by scanning electron microscopy (SEM).
203 Samples were put over an aluminum stub and sputter coated with gold under argon
204 atmosphere (SPI Supplies, 12157-AX) using soft conditions (two sputterings of 40 s
205 each with an intensity of 15 mA). Microparticle morphology was examined using an
206 acceleration voltage of 5 kV in a Phenom ProX microscope.

207 *2.8 Swelling Kinetics*

208 The water uptake was measured for alginate beads using a gravimetric procedure. A
209 known mass of beads was placed in a Petri dish containing 10 mL of ultrapure water
210 and incubated at 25 °C. The swollen beads were removed at predetermined times and
211 the excess water was blotted from the surface of the beads using filter paper. After that,
212 they were weighted. Three replicates (5 beads in each replicate) were carried out. The
213 swelling ratio (SR) was calculated by the following equation:

$$214 \quad SR = \frac{W_s - W_d}{W_d} \cdot 100 \quad (1)$$

215 where W_s and W_d are the swollen and dry weight of hydrogel beads at time t ,
216 respectively.

217 2.9 Encapsulation Efficiency

218 Approximately 3 mg of microparticles were dispersed in 5 mL of methanol and stirred
219 at 50 rpm during 24 h to extract the herbicide. Then, the samples were centrifuged at
220 2000 rpm during 3 min and atrazine concentration in the supernatant was measured by
221 HPLC following the procedure detailed in the *In vitro atrazine release assays* Section.
222 The assay was run in duplicate. Encapsulation efficiency (EE) was calculated as follow:

$$223 \quad EE = \frac{A_e}{A_t} \cdot 100 \quad (2)$$

224 where A_e and A_t are the experimental and theoretical loads of atrazine in lignin
225 microparticles, respectively.

226 2.10 Fourier Transform Infrared Spectroscopy (FT-IR)

227 FT-IR spectra of atrazine and different lignins microparticles systems were acquired on
228 a Shimadzu Model 8201 Fourier transform spectrophotometer in the frequency region
229 of 4000-500 cm^{-1} . KBr pellets were prepared with 3 wt% of dry sample. Spectra were
230 analyzed by Hyper IR software. Bands were assigned according to El Mansouri and
231 Salvado [39] and Czaplicka et al. [40].

232 2.11 In vitro atrazine release assays

233 About 4 mg of atrazine-loaded microparticles, free or encapsulated in sodium alginate
234 beads, were dispersed in 25 mL of ultrapure water and the vials were incubated at 25
235 °C. At predetermined times, 2 mL of samples were taken and replaced with an equal
236 volume of water in order to maintain constant volume. The dilution factor was taken
237 into account for calculations. Experiments were run in duplicate.

238 Atrazine quantification was performed by HPLC using an HPLC-UV/Visible Waters
239 chromatograph equipped with an YMC-Triart C18 column (5 μm particle size, 4.6×250

240 mm; inner diameter × length) and a Waters 2489 UV–vis detector. Atrazine retention
241 time was 5.32 min. The mobile phase consisted of an acetonitrile/water mixture (70:30
242 v/v) acidified with acetic acid at a flow rate of 1.0 mL min⁻¹. The column temperature
243 and the detection wavelength were 25 °C and 221 nm, respectively. A calibration curve
244 was performed in the 0–50 mg L⁻¹ range. Five atrazine standards were used for
245 calibration (1, 5, 30, 60, 100 mg L⁻¹). The limit of detection was 0.3 mg L⁻¹ and the
246 limit of quantification was 1 mg L⁻¹.

247 2.12 Phytotoxicity assays

248 Phytotoxicity assays were evaluated using an acute toxicity assay according to IRAM
249 29114 [41] and EPA 840.4200 [42] with slight modifications. Petri dishes with a
250 diameter of 9.1 cm were used. A filter paper disc was placed at the bottom of each dish.
251 4 mL of beads dispersion or free atrazine solution in water were added and twenty seeds
252 of the target species (*Lactuca sativa*) were sown in each Petri dish. In all experiments, a
253 concentration of atrazine equivalent to 50 ppm was used in order to emulate an
254 application rate of 2 kg ha⁻¹, which correspond to the recommended dose in field. In
255 addition, distilled water was used as a control. The samples were run in duplicate. After
256 a period of 3 days the root length of the germination seeds and the number of them were
257 evaluated in terms of the Germination Index (GI) and the Elongation Root (RE). These
258 parameters were analysed according to Ortega et al. [43], Zucconi et al. [44], Bagur-
259 Gonzalez et al. [45] that proposed different toxicity tables for each of them.

260 GI and RE were calculated employing the following equations:

$$261 \quad GI = \left(\frac{G}{G_c} \right) \cdot \left(\frac{RL}{RL_c} \right) \cdot 100 \quad (3)$$

$$262 \quad RE = \frac{RL - RL_c}{RL_c} \quad (4)$$

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263 where, G : number of germinated seeds in the sample; G_C : number of germinated seed in
264 the control; RL : average root length in the sample; RL_C : average root length in the
265 control.

266 Analysis of variance (one-way ANOVA) at 95% confidence level and subsequently
267 Duncan multiple range test of average root length were carried out. Statistical
268 analysis were performed using free software (R program version 2.3.3.3).

269

270 **3. Results and discussion**

271 *3.1 Characterization of ionic lignin*

272 According to our previous work [29], the ash and moisture content for ionic lignin were
273 0.6 and 23.34 wt%, respectively. Regarding elemental composition, C, N, S, H contents
274 were 66.26, 0.26, 0.71 and 8.27%, respectively. The values obtained for \overline{M}_w and \overline{M}_n
275 were 3718 and 768 g mol⁻¹, respectively. The values reported are in accordance with
276 those reported in the literature [46].

277 *3.2 Characterization of lignin microparticles and alginate beads*

278 Lignin microparticles were prepared by the solvent extraction/evaporation (Exp. A) and
279 microfluidic (Exp. B) techniques using similar experimental conditions. Table 1 shows
280 the mean particle size and encapsulation efficiency of microparticles from Exps. A-E.
281 The particle size was larger for microparticles prepared by microfluidics, and the
282 coefficient of variation indicates a relative narrow size distribution compared to the
283 conventional emulsion method. In addition, microparticles obtained by microfluidics
284 presented higher encapsulation efficiency of atrazine probably due to differences in the
285 diffusion rate of atrazine from the emulsion droplets to the aqueous phase during
286 particle solidification. The emulsions prepared by the solvent extraction/evaporation
287 method resulted in lower droplet size, decreasing the diffusion pathway of atrazine to

288 dissolve into the continuous phase, and thus resulting in lower entrapment efficiency.

289 As it can be observed in Fig. 2, both microparticles exhibited a smooth surface and

290 round shape. Microparticles prepared by microfluidics showed a better monodispersity

291 in size.

292 Lignin microparticles were subsequently encapsulated into alginate beads in order to

293 study the release behavior of these systems. Fig. 3 shows optical images of alginate

294 beads (Exp. C) and alginate beads containing microparticles (Exp. D and E) prepared by

295 both techniques. Alginate beads showed a smooth surface and a higher polydispersity

296 than beads encapsulating microparticles. As it can be observed, microparticles were

297 homogeneously distributed within alginate beads. Alginate beads containing

298 microparticles presented a rough surface and greater sphericity probably due to the

299 microparticles content. The particle size of alginates beads was not affected by the

300 incorporation of microparticles.

301

302 **Table 1. Characteristics of lignin microparticles and alginate beads**

Experiment	Microparticles		Alginate beads		Alginate beads containing microparticles	
	Solvent evaporation		Microfluidics		Solvent evaporation	
	A	B	C	D	E	
Particle size (μm)	25.3 ± 6.7	50.0 ± 3.0	999.3 ± 145.5	1061.5 ± 26.9	1025.1 ± 68.4	
Coefficient of variation (%)	26.5	6.1	14.6	2.5	6.7	
Encapsulation Efficiency (%)	47.4 ± 3.5	59.7 ± 8.2	-	-	-	

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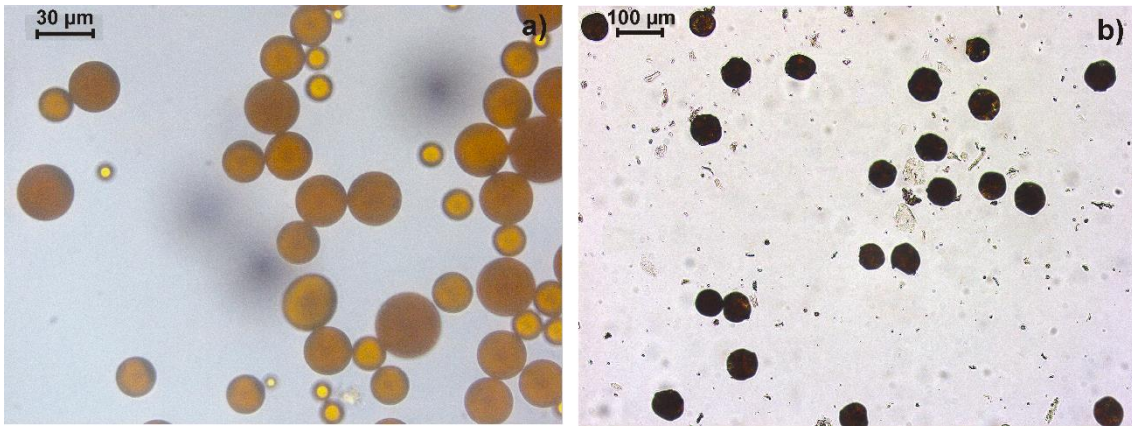


Fig. 2. Optical images of lignin microparticles prepared by the solvent extraction/evaporation method (a) and microfluidics (b).

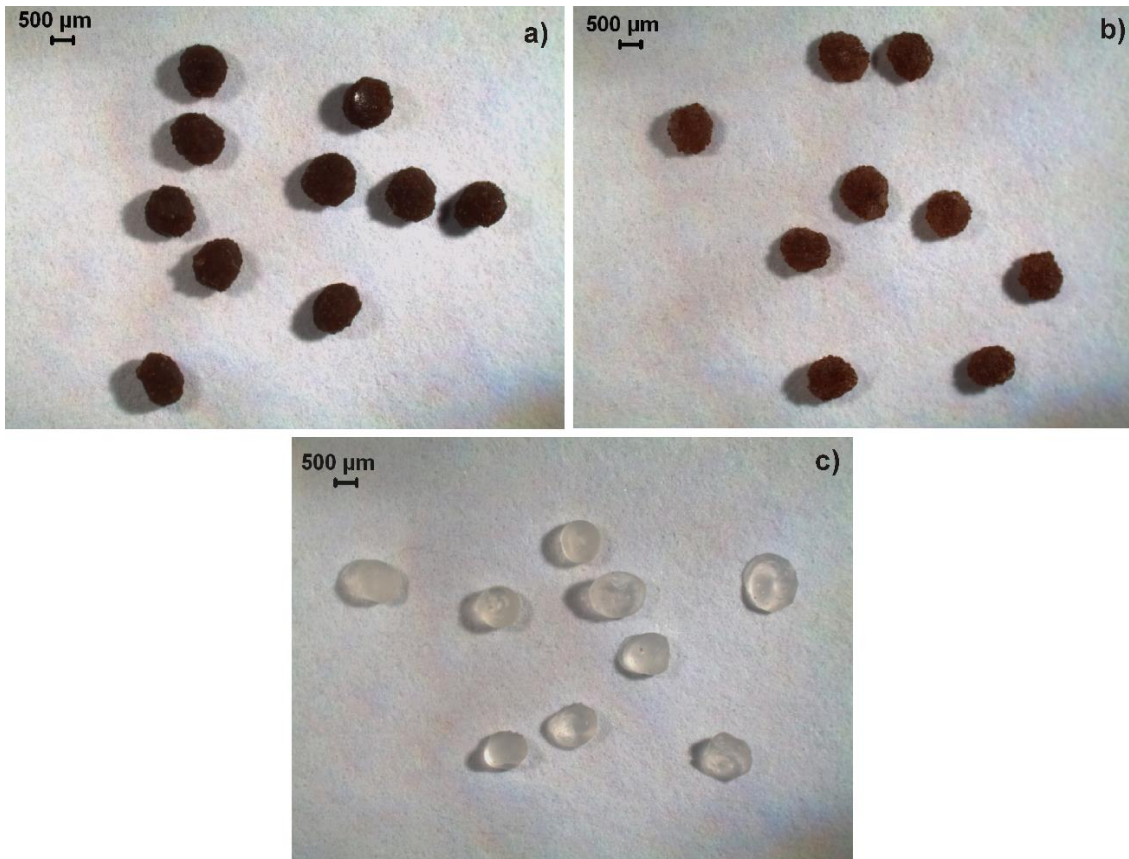
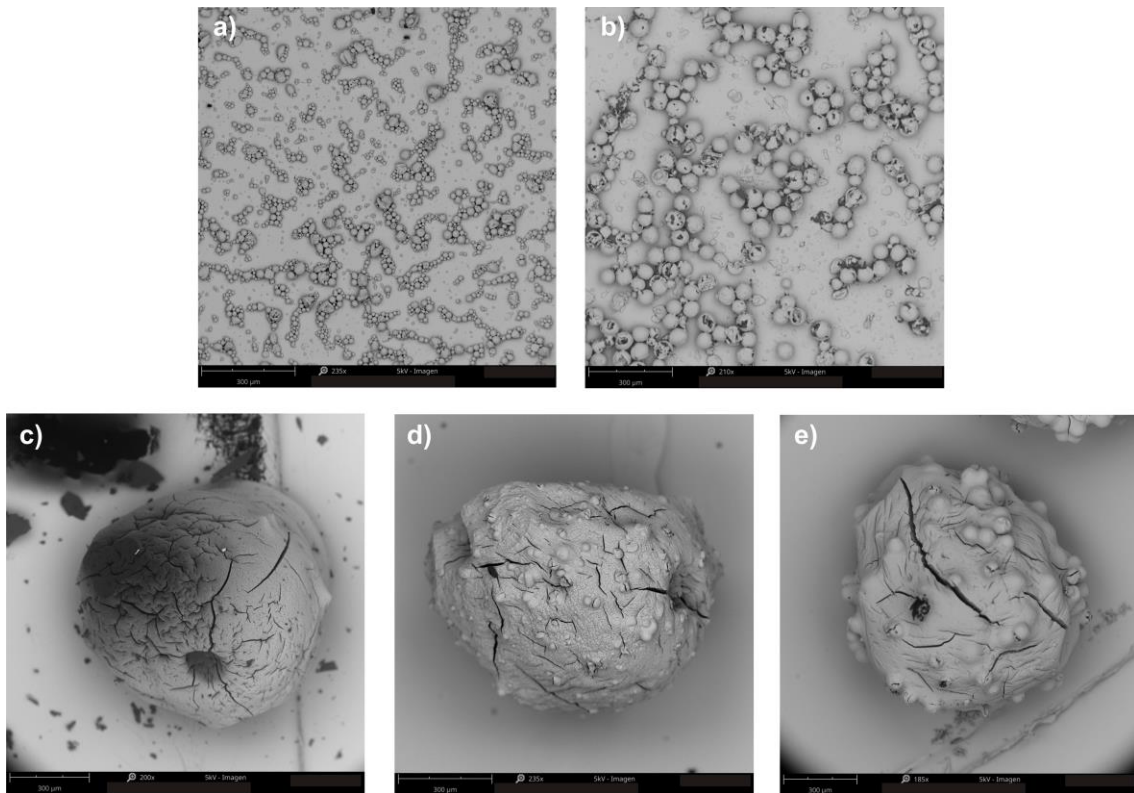


Fig. 3. Optical images of alginate beads: a) Alginate beads containing microparticles (Exp. D); b) Alginate beads containing microparticles (Exp. E); c) Alginate beads (Exp. C).

313 *3.3 Morphology studies*

314 The surface morphology of microparticles and alginate beads was studied by SEM and
315 the micrographs are depicted in Figure 4. As previously observed in optical images,
316 highly monodispersed microparticles were obtained by microfluidics. Alginate beads
317 showed a smooth surface and some cracks and fissures appeared on the surface as a
318 consequence of water removal during the analysis. As it can be seen in Fig. 4d-e, beads
319 of Exp. D and E presented dispersed particles on their surface, indicating a
320 homogeneous distribution of microparticles within the beads.
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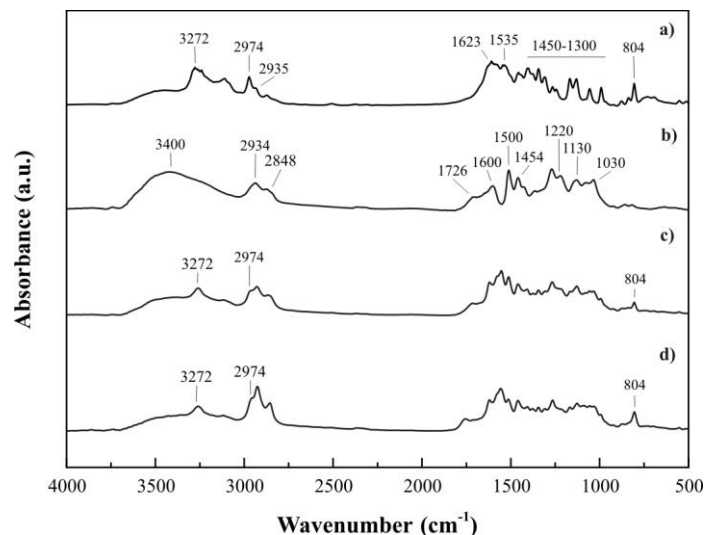


322
323 **Fig. 4.** SEM images of microparticles and microparticles-in-alginate beads: (a) Exp. A; b) Exp.
324 B; c) Exp. C; d) Exp. D; e) Exp. E.

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326 *3.4 FTIR studies*

327 Infrared spectra of atrazine, ionic lignin and atrazine-loaded microparticles (Exp. A and
328 B) are presented in Fig. 5.



330
331 **Fig. 5.** FTIR analysis: a) atrazine; b) ionic lignin; c) atrazine-loaded microparticles (Exp. A);
332 and d) atrazine-loaded microparticles (Exp. B).

333
334 The FTIR spectrum of atrazine exhibited a band at 3272 cm⁻¹ which is attributed to the
335 amine groups, while bands at 2974 and 2935 cm⁻¹ were assigned to CH₃ and CH groups
336 stretching vibrations [40]. The stretching vibrations of the 1,3,5-triazine ring appeared at
337 1623 and 1535 cm⁻¹. Several bands from 1450 to 1300 cm⁻¹ were observed due to
338 deformation vibrations of this ring. In addition, stretching vibrations of C–Cl groups
339 were observed 804 cm⁻¹.

340 The ionic lignin spectrum showed bands at 3400 cm⁻¹ related to OH groups, while bands
341 at 2934 and 2848 cm⁻¹ were attributed to C–H stretch in the methyl and methylene
342 groups [39]. The carbonyl stretching vibrations appeared at 1726 cm⁻¹. Signals at 1500
343 and 1600 cm⁻¹ correspond to the aromatic skeleton. Bands at 1454 cm⁻¹, and 1220 cm⁻¹
344 were related to C–H deformation, and C–C, C–O, and C=O stretch, respectively.

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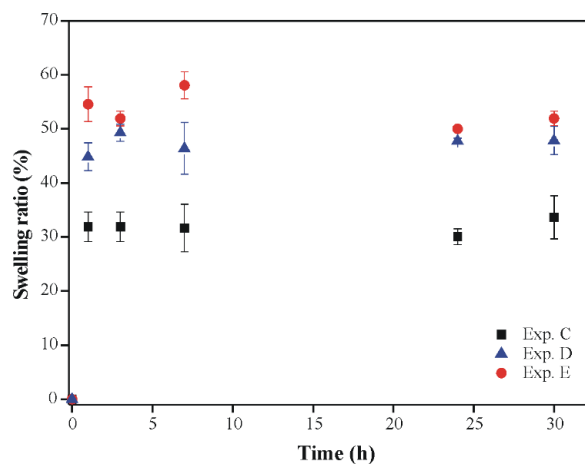
345 The band at 1030 cm^{-1} was attributed to C-O stretching while the band at 1130 cm^{-1} was
346 assigned to the C-O antisymmetric stretching.

347 The spectra of atrazine-loaded lignin microparticles exhibited characteristic bands of
348 atrazine (3272 , 2974 and 804 cm^{-1}) that confirm the successful encapsulation of the
349 herbicide.

350

351 *3.5 Water uptake*

352 The water uptake curves of alginate beads are shown in Fig. 6. As it can be seen, they
353 were characterized by a fast initial uptake of water, after which an apparent equilibrium
354 or slow water uptake was observed. Alginate beads containing lignin microparticles
355 (Exps. D and E) showed a similar water uptake behavior, with a higher water uptake
356 compared to empty alginate beads (Exp. C). This fact can be explained by differences in
357 the crosslinking degree of alginate beads caused by the incorporation of lignin
358 microparticles. The presence of microparticles decreases the crosslinking points
359 between calcium ions and carboxylic groups of alginate, increasing the distance
360 between the polymeric chains and favoring the fluid absorption and the swelling of the
361 systems [47].



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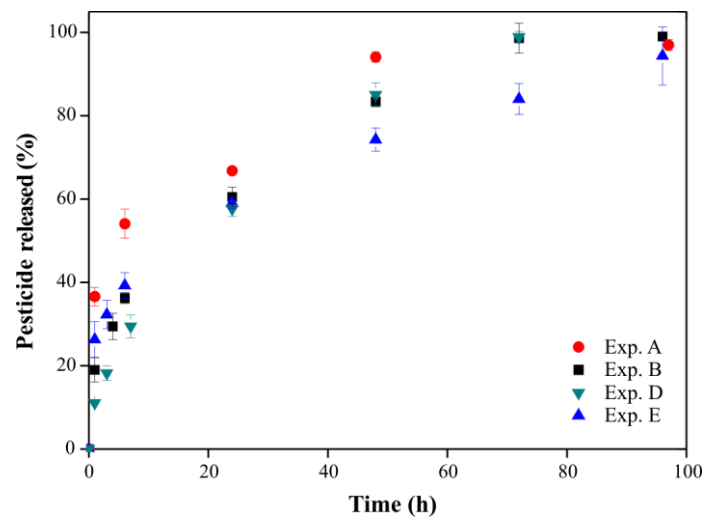
363 **Fig. 6.** Swelling ratio of alginate beads and alginate beads containing microparticles as a
364 function of time.

365 *3.6 Release assays*

1
2 366 The controlled release of atrazine from the different formulations based on lignin
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4 367 microparticles was investigated. All systems released atrazine in a relatively slow rate
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7 368 and maintained its sustained release for longer periods. Fig. 7 illustrates the release
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9 369 profiles of atrazine from lignin microparticles prepared by the solvent
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11 370 extraction/evaporation and microfluidic techniques as a function of time (up to
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13 371 approximately 4 days). Analysis of the release kinetics curves indicated that a
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15 372 significant fraction of the encapsulated herbicide (about 40%) was release rapidly at the
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17 373 beginning of the experiment for microparticles obtained from the conventional emulsion
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19 374 method. After that, a sustained release of atrazine was observed, with nearly 100% of
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21 375 herbicide released after 2 days. In contrast, microparticles prepared by microfluidics
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23 376 presented a lower burst release (about 20% of the encapsulated herbicide) and a
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25 377 sustained release over 3 days approximately. This fact can be attributed to differences in
26
27 378 particle size, since smaller particles exhibit a higher surface area and the initial pesticide
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29 379 release is related with pesticide located near the surface of microparticles. Moreover,
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31 380 microparticles prepared by microfluidics presented a relative narrow size distribution
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33 381 which can improve the control over drug release. Polydispersity in sizes is also one of
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35 382 the main causes of the initial pesticide release due to the presence of small
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37 383 microparticles that encapsulate a significant fraction of drug that is released more
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39 384 rapidly.

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41 385 Lignin microparticles were also trapped within sodium alginate beads. A homogeneous
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43 386 distribution of microparticles was observed inside alginate beads. The release profiles of
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45 387 atrazine from microparticles contained in alginate beads are also shown in Fig. 7. As it
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47 388 can be noted, the initial burst release was significantly reduced for microparticles
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49 389 prepared by the conventional emulsion method. This behavior can be explained by the
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390 longer diffusion pathways for atrazine generated by the alginate matrix. Alginate beads
391 containing microparticles prepared by microfluidics showed a similar initial release rate
392 of atrazine in comparison to free microparticles. However, after 24 h, the sustained
393 release of atrazine was extended up to approximately 96 h. In the case of beads from
394 Exp. D, atrazine was released more rapidly at longer times in comparison to beads from
395 Exp. E. This fact could be related to microparticles diffusion from alginate beads to the
396 surrounding medium due to the smaller mean particle size and higher polydispersity,
397 accelerating atrazine release.



400
401 **Fig. 7.** Cumulative release of atrazine in water from lignin microparticles and alginate beads
402 containing microparticles.

403
404 In order to investigate the mechanism of pesticide release from lignin microparticles,
405 the release data were analysed with the following mathematical models: zero-order,
406 first-order, Higuchi, and Korsmeyer-Peppas. The kinetic models for drug release were
407 estimated using the following graphical plots: cumulative drug released vs. time (zero-
408 order model), log drug remaining vs. time (first-order model), cumulative drug release

409 vs. square root of time (Higuchi model), and log cumulative drug release vs. log time
 410 (Korsmeyer model). The values of the regression coefficients (R^2) are presented in
 411 Table 2. It was found that the obtained data were fitted very well with the Higuchi
 412 model with R^2 values higher than 0.9672, indicating that Fickian diffusion is the main
 413 mechanism for atrazine release from lignin microparticles and alginate beads containing
 414 microparticles. To further characterize the release mechanism, the Korsmeyer exponent
 415 (n) was calculated. The value of n was between 0.1907 and 0.5241, indicating that the
 416 pesticide release mechanism from the different formulations was diffusion controlled
 417 [48].

418

419 **Table 2. Kinetic models for atrazine release from lignin-based matrices**

Exp.	Zero-order model	First-order model	Higuchi model	Korsmeyer model	
	R^2	R^2	R^2	R^2	n
A	0.6649	0.9131	0.9672	0.9905	0.1907
B	0.8663	0.9589	0.9993	0.9955	0.3667
D	0.9364	0.9368	0.9983	0.9978	0.5241
E	0.8457	0.9722	0.9981	0.9905	0.2728

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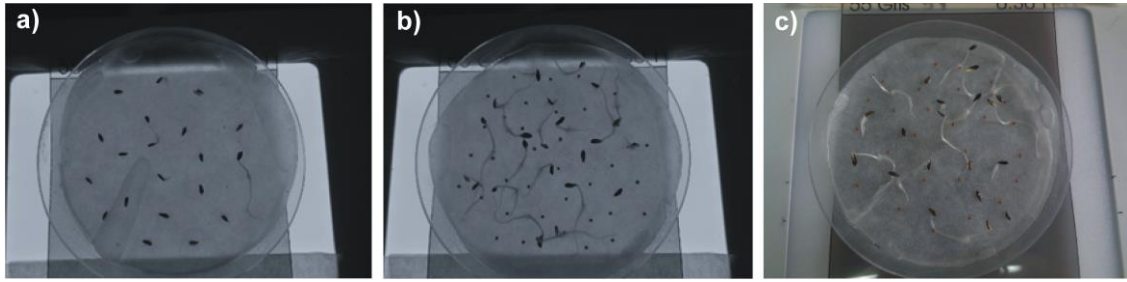
422 3.7 Phytotoxicity assays

423 The root elongation bioassay is one of the most commonly used test method for
 424 environmental monitoring in terms of simplicity, rapidity and economy [49]. Plant and
 425 seed growth and development are largely influenced by environmental stimuli [50]. In
 426 particular, as germination is the first step of material exchange between the developing
 427 plant and the environment, both the number of germinated seeds and the root elongation
 428 are sensible parameters for phytotoxicity testing [49, 51]. Therefore, in order to evaluate

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429 the reduction of the undesirable impacts of atrazine on the environment and the human
430 health through the release technique presented in this work, a phytotoxicity test was
431 performed employing *Lactuca sativa* seeds. The use of sustained release systems in
432 agriculture offers several advantages over conventional techniques, including more
433 prolonged action of the pesticide active principle. This may help to reduce the number
434 of applications required and improve targeting, hence reducing the negative effect of
435 high pesticide concentration in the environment. Fig. 8 shows photographs of the
436 different assays performed after three days of incubation.

437



438

439 **Fig. 8.** Photographs of the phytotoxicity assays: a) Free commercial atrazine; b)
 440 Alginate beads containing microparticles (Exp. D); c) Alginate beads containing microparticles
 441 (Exp. E). All photographs were taken after three days of incubation.

442

443 The GI and RE were calculated after the assay for free commercial atrazine and alginate
 444 beads containing atrazine-loaded microparticles prepared by the solvent
 445 extraction/evaporation and microfluidic techniques. The results are shown in Table 3.
 446 These parameters are compared with the control (water) employing equations shown in
 447 Section 2.10. In addition, the average root length (RL) is shown for each system.

448

449 **Table 3. Phytotoxicity assays parameters**

Atrazine System	RL* (mm)	GI (%)	RE
Free commercial atrazine	6.32	28.4	-0.49
Exp. D: Alginate beads containing microparticles of solvent evaporation method	16.8	124.1	0.33
Exp. E: Alginate beads containing microparticles of microfluidics	12.7	70.7	0.01

450

*RL for control was 12.6 mm

451

452 **Statistical** significant differences between experiments were observed in terms of the
 453 average root length ($p > 0.05$). The results of Duncan test showed that there are two
 454 homogeneous groups statistically different: *i*) free atrazine and *ii*) alginate beads of Exp.
 455 D, alginate beads of Exp. E and the control. According to this result, the average root

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456 length for the experiment of free atrazine was lower than for encapsulated atrazine and
457 the control, indicating no toxicity for formulations encapsulating atrazine. In addition,
458 the average root length in experiments using microparticles and the control has no
459 **statistical** differences, probably due to the N and S content of lignin that could increase
460 the seed germination.

461 Comparing the values obtained based on the bibliography cited on Section 2.10, it can
462 be demonstrated that free atrazine is phytotoxic (GI lower than 60%) [44]. According to
463 Bagur-Gonzalez et al. [45], free atrazine toxicity is moderate (RE = -0.45) compared
464 with alginate beads of Exp. D and Exp. E (RE = 0.33 and 0.01, respectively) that
465 showed no toxicity. The phytotoxicity assay reinforced the hypothesis that the release
466 systems used in this work could help to reduce undesirable impacts on the environment
467 with the plus benefit for the cultivation that the active principle release is prolonged
468 with time.

469

470 **4. Conclusions**

471 Microparticles prepared by microfluidics presented a larger particle size, higher
472 encapsulation efficiency and a narrow size distribution. The incorporation of
473 microparticles within alginate beads allowed to reduce the burst release of free
474 microparticles and to extent the release period. In addition, acute phytotoxicity effects
475 of formulations were evaluated on *Lactuca sativa* seeds. The phytotoxicity results
476 showed that lignin-based formulations are safe according to the parameters evaluated, in
477 contrast with commercial atrazine that resulted phytotoxic. The studied lignin-based
478 formulations could improve the effectiveness of pesticides and reduce its undesirable
479 impacts on the environment.

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487

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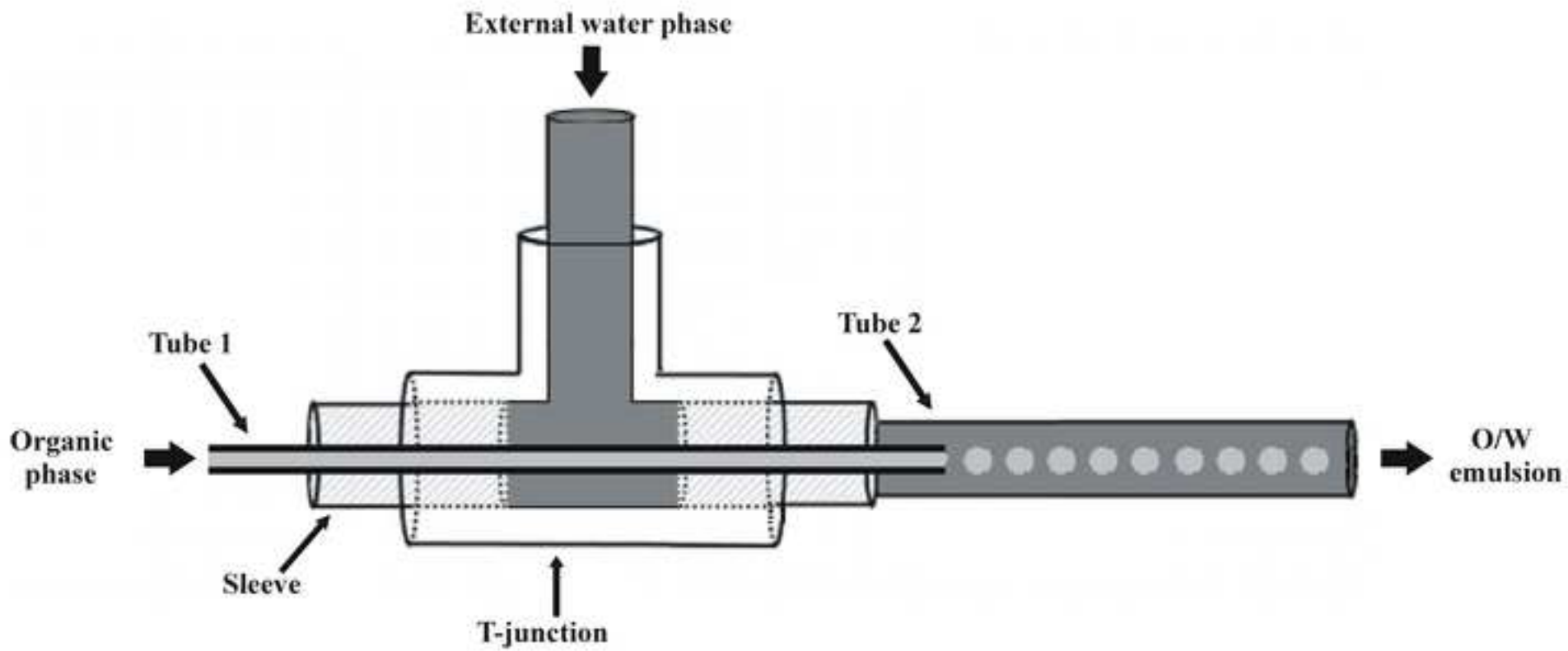
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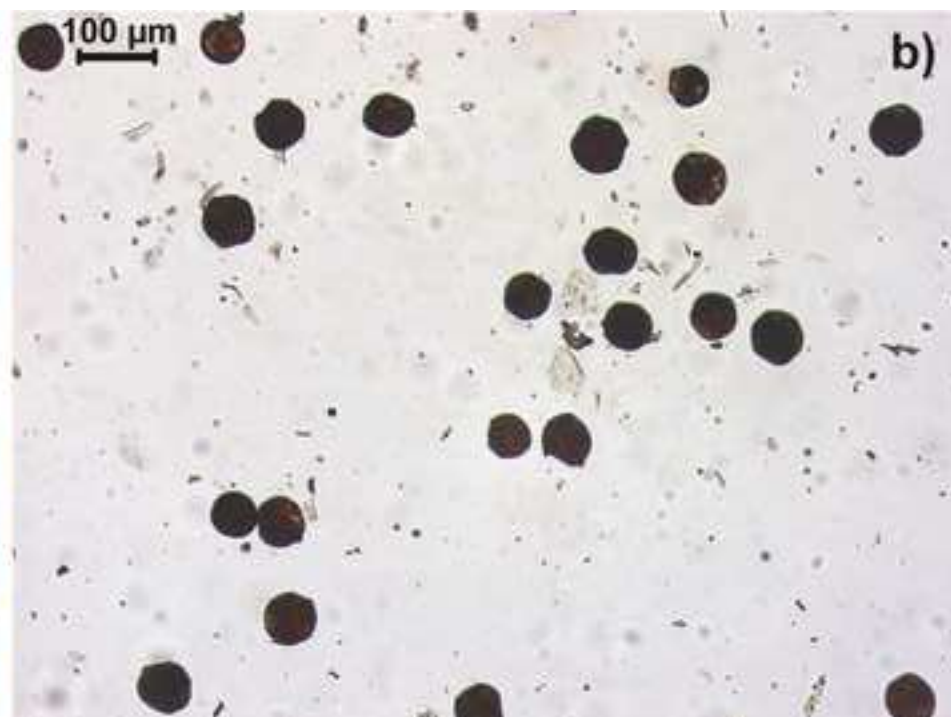
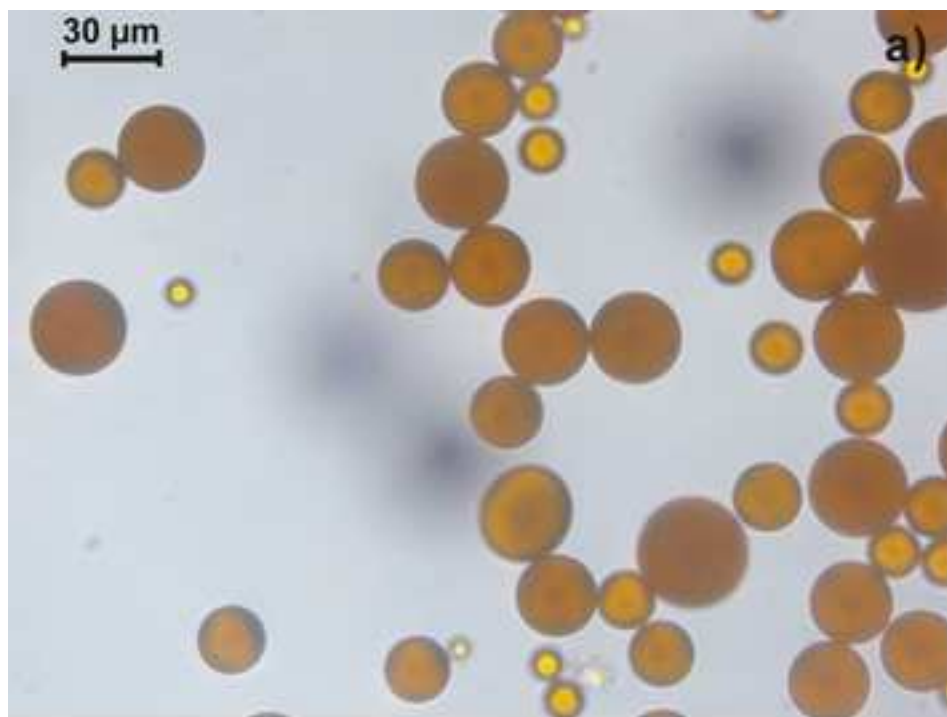
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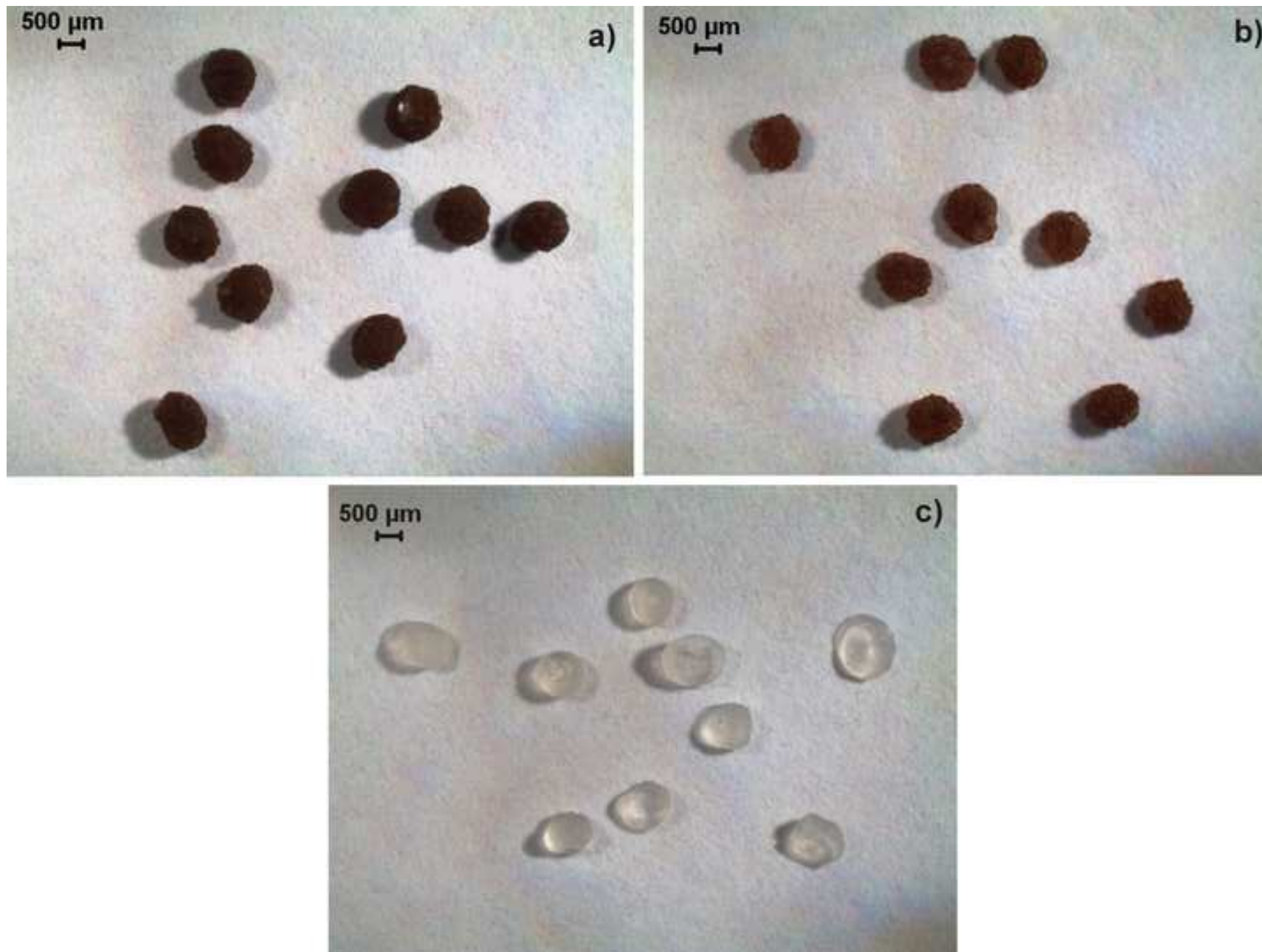
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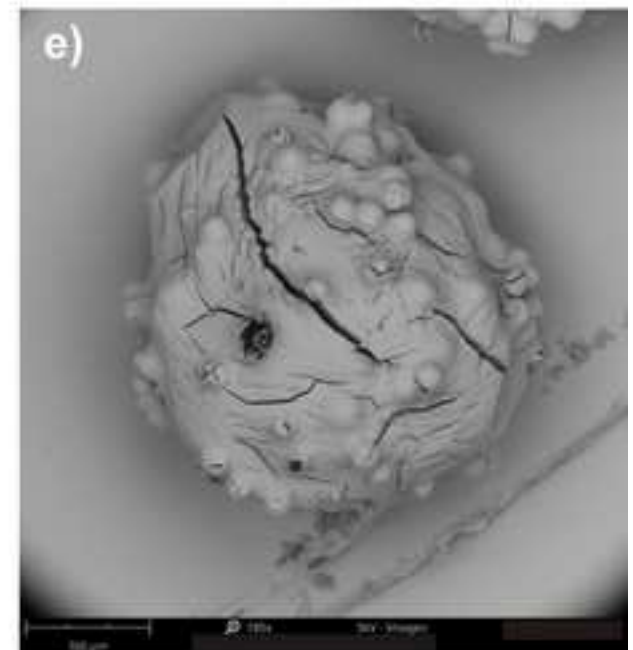
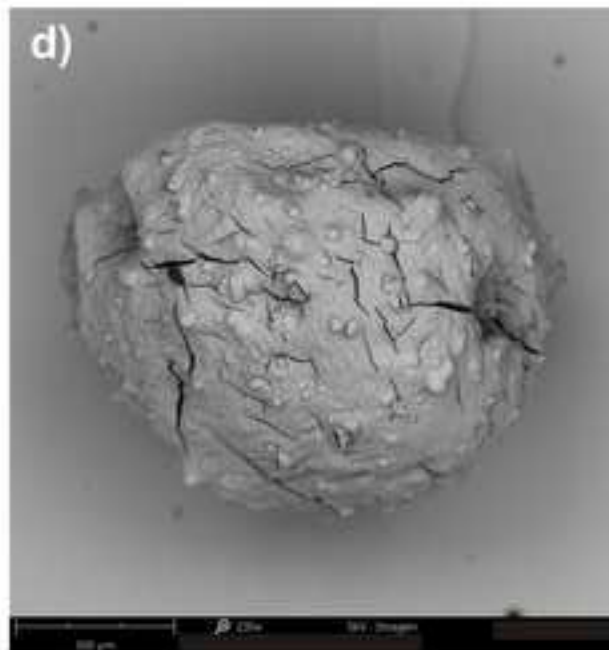
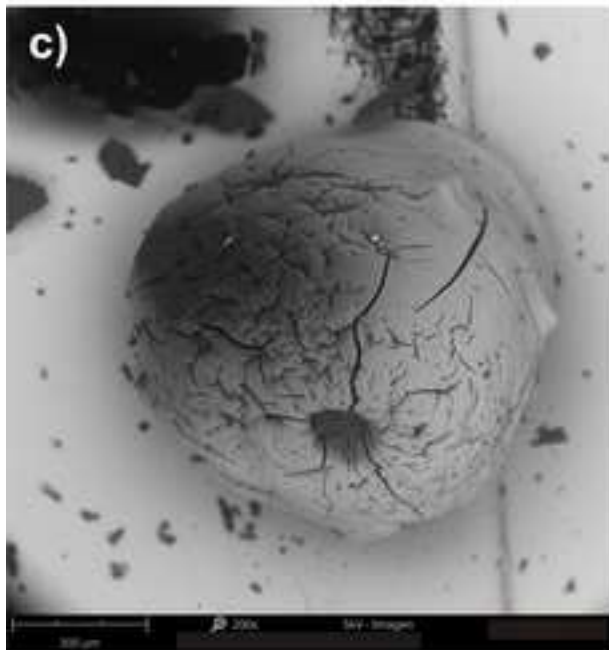
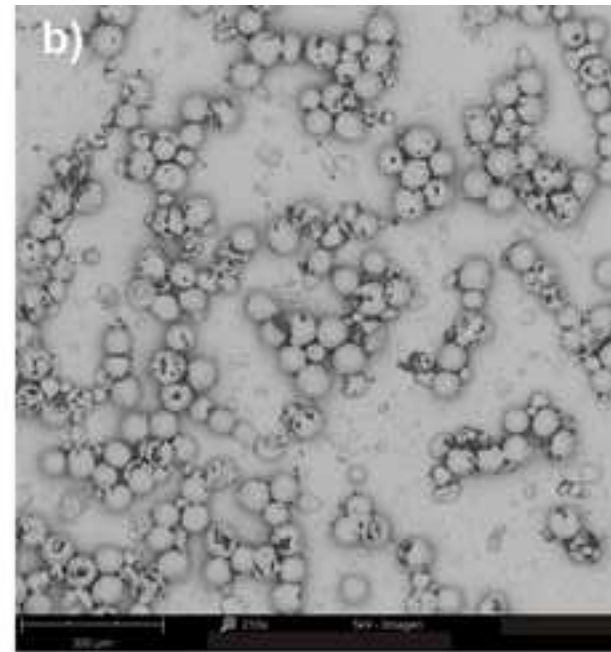
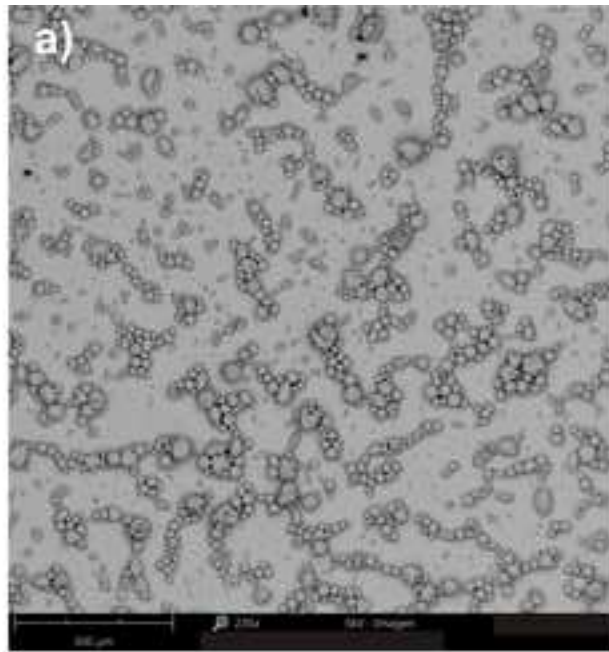
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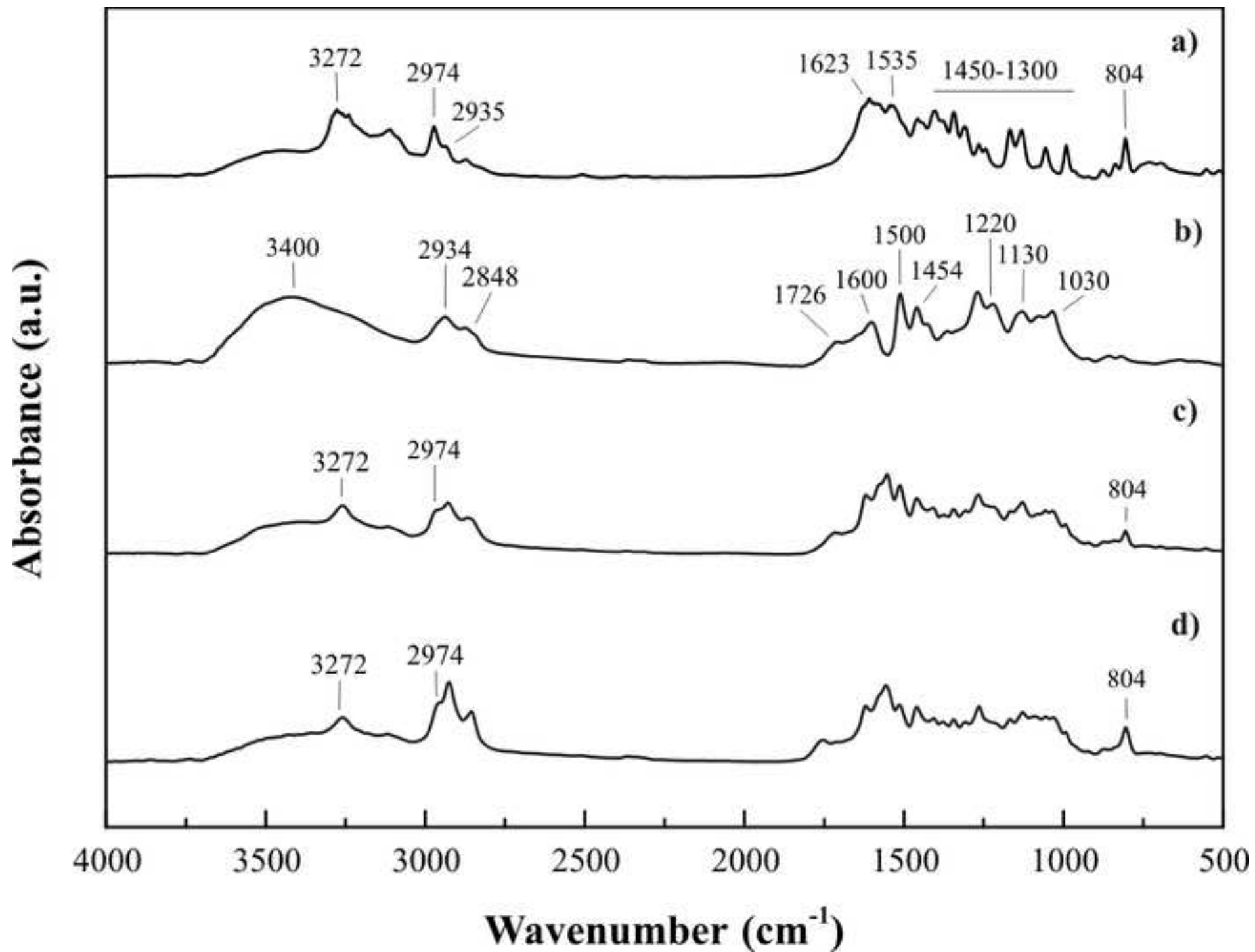
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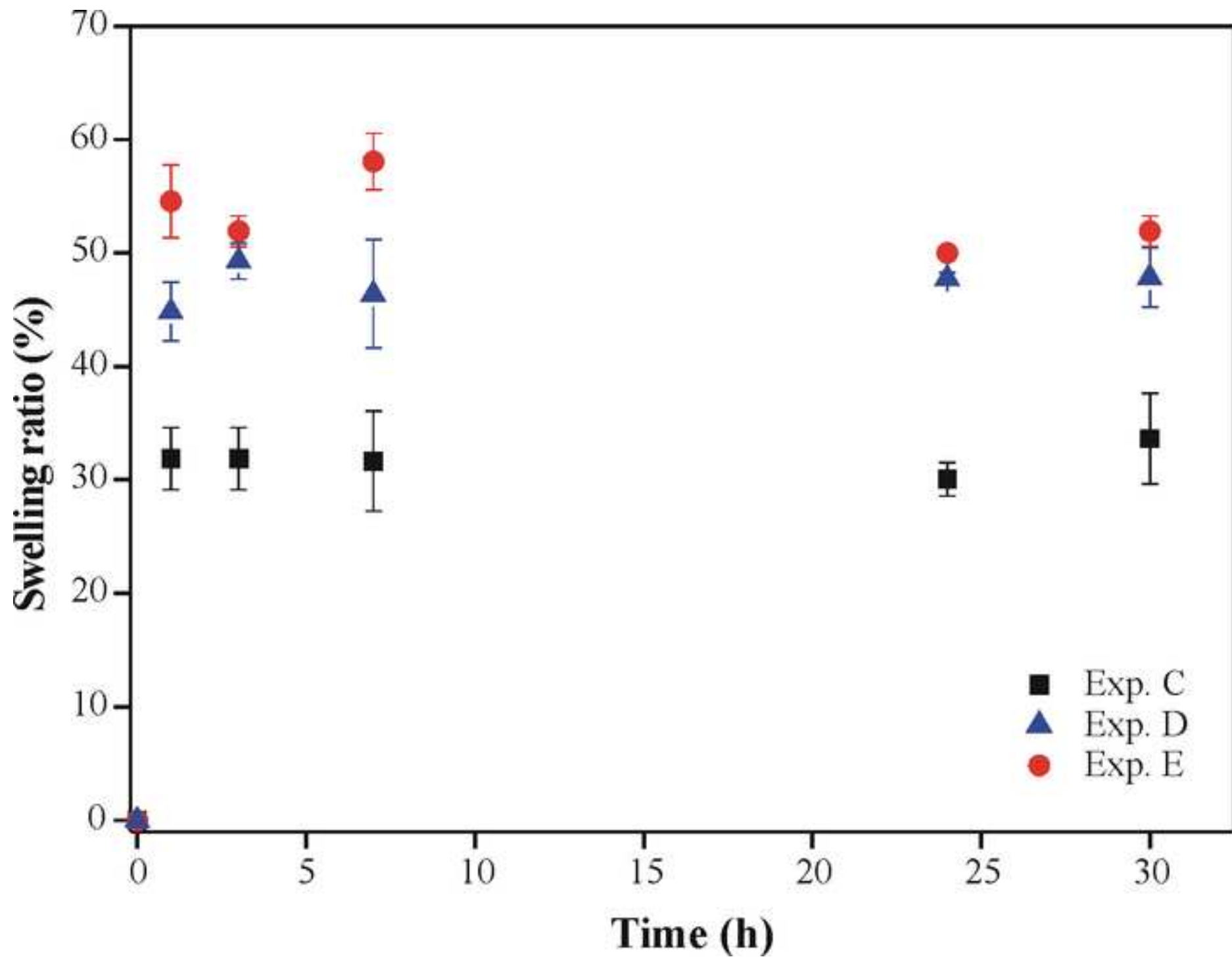


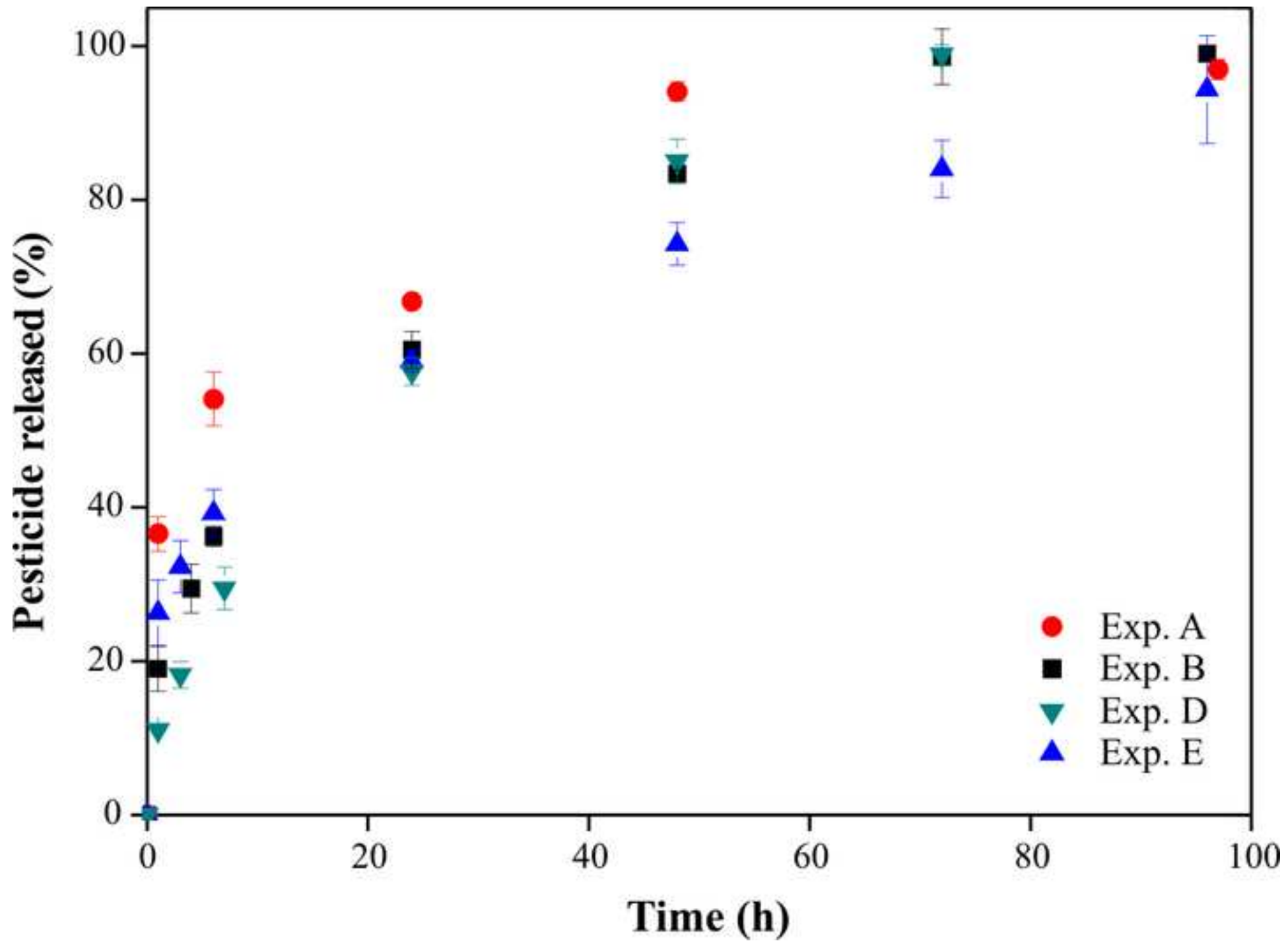












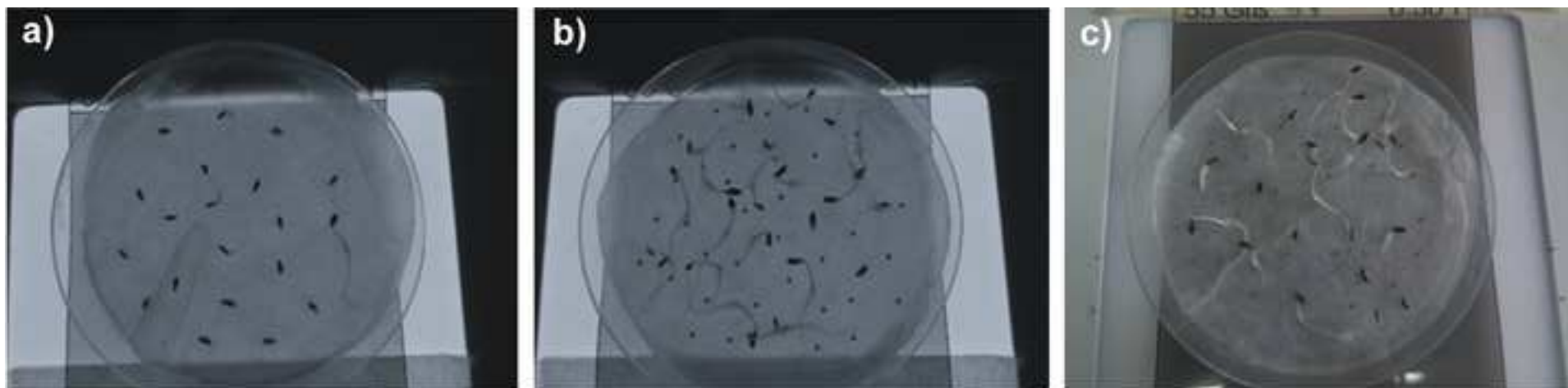


Table 1. Characteristics of lignin microparticles and alginate beads

Experiment	Microparticles		Alginate beads	Alginate beads containing microparticles	
	Solvent evaporation	Microfluidics	C	Solvent evaporation	Microfluidics
	A	B		D	E
Particle size (μm)	25.3 ± 6.7	50.0 ± 3.0	999.3 ± 145.5	1061.5 ± 26.9	1025.1 ± 68.4
Coefficient of variation (%)	26.5	6.1	14.6	2.5	6.7
Encapsulation Efficiency (%)	47.4 ± 3.5	59.7 ± 8.2	-	-	-

Table 2. Kinetic models for atrazine release from lignin-based matrices

Exp.	Zero-order model	First-order model	Higuchi model	Korsmeyer model	
	R ²	R ²	R ²	R ²	n
A	0.6649	0.9131	0.9672	0.9905	0.1907
B	0.8663	0.9589	0.9993	0.9955	0.3667
D	0.9364	0.9368	0.9983	0.9978	0.5241
E	0.8457	0.9722	0.9981	0.9905	0.2728

Table 3. Phytotoxicity assays parameters

Atrazine System	RL* (mm)	GI (%)	RE
Free commercial atrazine	6.32	28.4	-0.49
Exp. D: Alginate beads containing microparticles of solvent evaporation method	16.8	124.1	0.33
Exp. E: Alginate beads containing microparticles of microfluidics	12.7	70.7	0.01

*RL for control was 12.6 mm